Clutter Non Gaussiano e controllo dei falsi allarmi

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Statistical modeling of radar clutter

- Empirically observed models (Rayleigh, Weibull, K, generalized K, log-normal, etc.)
- Extension of the Central Limit Theorem (CLT): the compound-Gaussian model
- Multidimensional models of random clutter vectors

The problem of radar clutter modeling

- In early studies the resolution capabilities of radar systems were relatively low, and the scattered return from clutter was thought to comprise a large number of scatterers
- From the Central Limit Theorem (CLT), Researchers in the field were led to conclude that the appropriate statistical model for clutter was the **Gaussian** model (i.e., the amplitude is **Rayleigh** distributed)

$$R = |Z| = \sqrt{Z_I^2 + Z_Q^2}$$

$$Z = Z_I + jZ_Q$$

$$p_R(r) = \frac{r}{\sigma^2} \exp\left(-\frac{r^2}{2\sigma^2}\right) u(r) \qquad p_Z(z) = \frac{1}{2\pi\sigma^2} \exp\left(-\frac{|z|^2}{2\sigma^2}\right)$$

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The problem of radar clutter modeling

- Presently, the resolution capabilities of radar systems have been improved
- For detection performance, the belief originally was that a higher resolution radar system would intercept less clutter than a lower resolution system, thereby increasing detection performance
- However, as resolution has increased, the statistics of the noise have no longer been observed to be Gaussian, and the detection performance has not improved directly
- The radar system is now plagued by <u>target-like "spikes" that give rise to</u> <u>non-Gaussian observations</u>
- These spikes are passed by the detector as targets at a much higher false alarm rate (FAR) than the system is designed to tolerate
- The reason for the poor performance can be traced to the fact that the traditional radar detector is designed to operate against Gaussian noise
- <u>New clutter models and new detection strategies are required to reduce</u> <u>the effects of the spikes and to improve detection performance</u>

Empirically observed models

• Empirical studies have produced several candidate models for spiky non-Gaussian clutter, the most popular being the Weibull distribution, the K distribution, and the log-normal distribution (two-parameters PDFs)



Clutter PDF (I)

AMPLITUDE PDF ANALYSIS

Log-normal distribution

 θ scale parameter

 δ shape parameter

PDF:
$$p_Z(z) = \frac{\delta}{z\sqrt{2\pi}} \exp\left(-\frac{1}{2}(\vartheta + \delta \ln(z))^2\right) u(z)$$

Moments: $E\{Z^n\} = \exp\left[\frac{n}{\delta}\left(\frac{n}{2\delta} - \vartheta\right)\right]$

PDF:
$$p_Z(z) = \frac{c}{b} \left(\frac{z}{b}\right)^{c-1} \exp\left[-(z/b)^c\right] \mu(z)$$

Moments:
$$E\{Z^n\}=b^n\Gamma(n/c+1)$$

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The compound-Gaussian model

• After studying the problem of <u>sea clutter</u> modeling, Trunk concluded that some types of non-Rayleigh sea clutter may be modeled as a **locally homogeneous Rayleigh process** whose parameter (which represents the local clutter power in this case) is modulated due to the radar's large scale spatial sampling of the environment

• The amplitude PDF (APDF) of such a model may be written as:

$$p_R(r) = \int_0^\infty \frac{r}{\tau} e^{-\frac{r^2}{2\tau}} p_\tau(\tau) d\tau$$

it was referred to as the **Rayleigh mixture** model (others called it the **compound-Gaussian** model)

• Jakeman and Pusey showed that a modification of the CLT to include random fluctuations of the number *N* of scatterers could give rise to the K distribution (for APDF):

$$Z = \frac{1}{\sqrt{N}} \sum_{i=1}^{N} a_i e^{j\varphi_i} \xrightarrow{\overline{N} \to \infty} R = |Z|$$

$$Z = \frac{1}{\sqrt{N}} \sum_{i=1}^{N} a_i e^{j\varphi_i} \xrightarrow{\overline{N} \to \infty} R = |Z|$$

$$\overline{N} = |Z|$$

$$K \text{ distributed if } N \text{ is a negative binomial r.v. (Gaussian distributed if } N \text{ is deterministic, Poisson, or binomial)}$$

$$\overline{N} = E\{N\}, \{a_i\} i.i.d., \{\varphi_i\} i.i.d.$$
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The K model



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Clutter PDF (II)

AMPLITUDE PDF ANALYSIS

<u>Rayleigh distribution</u>

b scale parameter

PDF:
$$p_z(z) = \frac{2z}{b^2} \exp[-(z/b)^2] \mu(z)$$

Moments: $E\{Z^n\} = b^n \Gamma(n/2+1)$

$$\frac{\text{K-distribution}}{\mu \text{ scale parameter}} \text{ pDF: } p_Z(z) = \frac{\sqrt{2\nu/\mu}}{\Gamma(\nu)2^{\nu-1}} \left(\sqrt{\frac{2\nu}{\mu}z}\right)^{\nu} K_{\nu-1} \left(\sqrt{\frac{2\nu}{\mu}z}\right) u(z)$$

$$\text{Moments: } E\left\{Z^n\right\} = \frac{(2\mu)^{n/2} \Gamma(\nu+n/2) \Gamma(n/2+1)}{\nu^{n/2} \Gamma(\nu)}$$

	1 st order	2 nd order
Time	Rayleigh Rice (Weibull, log-normal)	Gaussian Spectrum
Space	Weibull Log-normal	Independent clutter returns from different cells

First order statistics:

because of the large spatial variability of land clutter the statistics in space and time are different.

The homogeneity of sea allows to consider its spatial distribution equivalent to the temporal distribution. The same is not true for land clutter.

Weibull distribution

Weibull p.d.f. is in use to represent the spatial statistics of land clutter. Recently the MIT Lincoln Laboratory has proposed the Weibull model in consequence of extensive ground clutter measurement campaign.

The model consists of 27 combinations of terrain type and depression angle. For each combination the clutter model provides:

• the mean clutter strength $\sigma^0(f)$ as a function of radar frequency (VHF through X-band)

• the slope parameter α as a function of the radar spatial resolution over the range between 10³ m² and 10⁶ m²...

•At all frequencies a significant trend of increasing strength with increasing depression angle can be seen.

- •Strong dependence from depression angle is observed for continuous forest and desert/grassland.
- •As general trend σ^0 shows the tendency to increase with increasing frequency
- •Exceptions can be found looking at urban area, general rural and forest data, in which σ^0 shows a fairly constant value at L, S and X-band.
- •There is an opposite trend for forest at relatively high depression angle (1° and 2°). We can see decreasing strength with increasing frequency. This is caused by the absorption characteristics of the foliage.

- •In general at low angles the distribution is very broad and differs substantially from Rayleigh (α =1).
- •With increasing angle the spread decreases. For high angles the statistics tends to be very close to Rayleigh.
- •The spread parameter is decreasing with increasing cell size. As the cell increases, the amount of scatterers within the cell increases and the variability from cell to cell decreases.

•Spread is dependent on the homogeneity of the surface. So it tends to be very high for urban areas while less spread occurs for example in forest because it is more homogeneous surface.

Weibull parameters

	Depression	σ_{W}° (dB)				aw		
Terrain Type	Angle (deg)	Frequency Band				Resolution (m ²)		
		VHF	UHF	L-	S-	X-BAND	103	106
Rural/Low-Relief		1		1	1		1	+
a) General Rural	0.00 to 0.25 0.25 to 0.75 0.75 to 1.50 1.50 to 4.00 >4.00	-33 -32 -30 -27 -25	-33 -32 -30 -27 -25	-33 -32 -30 -27 -25	-33 -32 -30 -27 -25	-33 -32 -30 -27 -25	3.8 3.5 3.0 2.7 2.6	2.5 2.2 1.8 1.6 1.5
b) Continuous forest	0.00 to 0.30 0.30 to 1.00 >1.00	-45 -30 -15	-42 -30 -19	-40 -30 -22	-39 -30 -24	-37 -30 -26	3.2 2.7 2.0	1.8 1.6 1.3
c) Open farmland	0.00 to 0.40 0.40 to 0.75 0.75 to 1.50	-51 -30 -30	-39 -30 -30	-30 -30 -30	-30 -30 -30	-30 -30 -30	5.4 4.0 3.3	2.8 2.6 2.4
d) Desert, marsh, or grassland (few discretes)	0.00 to 0.25 0.25 to 0.75 >0.75	-68 -56 -38	-74 -58 -40	-68 -46 -40	-51 -41 -38	-42 -36 -26	3.8 2.7 2.0	1.8 1.6 1.3
Rural/High-Relief				1	1		<u> </u>	
a) General Rural b) Continuous forest c) Mountains	O to 2 2 to 4 4 to 6 >6 any	-27 -24 -21 -19 -15	-27 -24 -21 -19 -19	-27 -24 -21 -19 -22	-27 -24 -21 -19 -22	-27 -24 -21 -19 -22	2.2 1.8 1.6 1.5 1.8	1.4 1.3 1.2 1.1 1.3
	any	-8	-11	-18	-20	-20	2.8	1.6
 a) General Urban b) Urban, observed on open low-relief terrain 	0.00 to 0.25 0.25 to 0.75 >0.75 0.00 to 0.25	-20 -20 -20 -32	-20 -20 -20 -24	-20 -20 -20 -15	-20 -20 -20 -10	-20 -20 -20 -10	4.3 3.7 3.0 4.3	2.8 2.4 2.0 2.8
Negative Depression		S _a ro.						
 a) All, except mountains and high-relief continuous forest 	0.00 to -0.25 -0.25 to -0.75 <-0.75	-31 -27 -26	-31 -27 -26	-31 -27 -26	-31 -27 -26	-31 -27 -26	3.4 3.3 2.3	2.0 1.9 1.7

Multifrequency Weibull parameters of ground clutter amplitude distributions.

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Another distribution used to represent the cell by cell variation of land clutter is the Log-Normal.

Terrain	Frequency Band	Grazing Angle (°)	σ_{p}
Discrete	S	Low	3.916
Distributed	S	Low	1.380
Various	UHF-K _a	10-70	0.728-2.584

Ground clutter data analysis

Windblown trees

These data are Gaussian



Ground clutter data analysis

Amplitude PDF analysis:

(use method of moments):

 $m_Z(n) \stackrel{\Delta}{=} \frac{E\{Z^n\}}{E^n\{Z\}}$

normalized moments defined as

Range interva	K distribution		Log-nor	mal distr.	Weibull distr.		
	ν	μ	δ	θ	С	b	
1 st HH	3.68E-2	8.46E-5	0.633	4.33	0.39	1.01E-3	
1 st VV	4.43E-2	1.25E-4	0.655	4.24	0.41	1.56E-3	
2 nd HH	5.12E-2	1.58E-4	0.673	4.19	0.43	2.09E-3	
2nd VV	4.48E-2	3.02E-4	0.656	3.96	0.41	2.46E-3	
3 rd HH	5.32E-2	1.85E-4	0.68	4.16	0.43	2.37E-3	
3rd VV	4.55E-2	3.71E-4	0.658	3.89	0.41	2.78E-3	
4 th HH	8.48E-2	7.49E-5	0.749	4.63	0.50	2.54E-3	
4 th VV	7.07E-2	1.44E-4	0.720	4.32	0.47	2.91E-3	

Ground clutter data analysis



Recent analysis of experimental data has shown that the temporal statistics of ground clutter are best modeled by a Ricean distribution which sometimes degenerates into a Rayleigh distribution.

The Ricean model has some physical justification. It is equivalent to consider the ground clutter as a

combination of two components:

- Distributed Rayleigh-fluctuating clutter (or diffuse component)
- Discrete steady clutter, due for example to buildings or other large structures (coherent component).

Temporal amplitude distribution

A convenient parameter used for classification of clutter statistics is:



Experimental validation: sea clutter data

- Amplitude analysis of HH, VV, HV, and VH data
- Validation of the compound-Gaussian model by means of speckle and texture analyses

Sea clutter data recorded at McMaster University

IPIX radar parameters and sea state

Transmitter

- frequency agility (16 frequencies, X-band)
- H and V polarizations; switchable pulse-to-pulse
- pulse width 200 ns (range resolution 30 m), PRF=2KHz <u>Receiver</u>
- coherent receiver
- 2 linear receivers; H or V on each receiver

<u>Antenna</u>

- parabolic dish
- pencil beam (beamwidth 0.9°)
- grazing angle 0.645°, azimuth fixed at 79.753°
- Sea state condition during the trial of November 12, 1993
- sea state 3 (Beaufort scale)
- wind speed 22 km /h
- wind direction 40°
- significant wave height 1.42 m

Source: Defence Research Establishment Ottawa, courtesy Dr. A. Drosopoulos, Prof. S. Haykin Sistemi Radar

Sea clutter data analysis

The spikes have different behavior in the two like-polarizations (HH and VV)







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For each polarization: data from 7 range cells have been processed

- <u>HH data</u>: behavior intermediate between K and LN distributions
- <u>VV data</u>: good agreement with the K model
- <u>HV-VH data</u>: estimated moments are very close to the theoretical moments of the K+thermal noise (K+t) model with *CNR=3 dB*

Sea clutter data analysis (III)



Sea clutter data analysis (IV)

Texture analysis: VV polarization

The texture has been isolated by averaging the modulus squared data over a window of 32 ms, to remove the speckle effect

$$\hat{\tau}[n] = \frac{1}{N} \sum_{k=n-N/2}^{n+N/2-1} |z[k]|^2$$

The texture data fit well a Gamma distribution

validation of the K-model for the VV data

$$p_{\tau}(\tau) = \frac{1}{\Gamma(\nu)} \left(\frac{\nu}{\mu}\right)^{\nu} \tau^{\nu-1} e^{-\frac{\nu}{\mu}\tau} u(\tau)$$



Sea clutter data analysis (V)



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Summary of clutter PDF and moments

•Rayleigh pdf
$$p_x(x) = \frac{2x}{\mu} e^{\frac{x^2}{\mu}}$$
 $x \ge 0$ $\langle x^n \rangle = \mu^{p^2} \Gamma(1+n/2)$
•Weibull pdf $p_x(x) = \frac{\alpha}{\beta} \left(\frac{x}{\beta} \right)^{\alpha-1} e^{-\left(\frac{x}{\beta} \right)^{\alpha}}$ $x \ge 0$ $\langle x^n \rangle = \beta^n \Gamma(1+n/\alpha)$
•Log-normal pdf $p_x(x) = \frac{1}{\sqrt{2\pi\sigma x}} e^{\frac{(\ln x - \ln m)^2}{2\sigma^2}}$ $x > 0$ $\langle x^n \rangle = m^n e^{n^2 \sigma^2/2}$
•Chi-square (Gamma) pdf $p_x(x) = \frac{2}{\Gamma(\nu)} \left(\frac{\nu}{\mu} \right)^{\nu} x^{2\nu 4} e^{\frac{\nu}{\mu} x^2}$ $x \ge 0$ $\langle x^n \rangle = \mu^{n/2} \frac{\Gamma(\nu + n/2)}{\nu^{n/2} \Gamma(\nu)}$
•K-pdf $p_x(x) = \frac{4}{\Gamma(\nu)} \left(\frac{\nu}{\mu} \right)^{\frac{\nu 4}{2}} x^{\nu} K_{\nu-1} \left(2\sqrt{\frac{\nu}{\mu}} x \right)$ $x \ge 0$ $\langle x^n \rangle = \mu^{p^2} \frac{\Gamma(\nu + n/2)}{\nu^{p^2} \Gamma(\nu)} \Gamma(1+n/2)$

Impact of PDF on single pulse CFAR detection

• **Constant False Alarm Rate (CFAR)** property is most important for radar systems, to avoid overload of the processors

• Clutter PDF has a significant impact on the one the CFAR property even in very simple single pulse radar systems

• Impact of non-Gaussianity on CFAR characteristic is shown in the following

Impact of PDF on performance: CFAR (I)

Consider samples of K-distributed clutter: **EXAMPLE of NON-GAUSSIAN**

$$\mathbf{x}_{k} = \sqrt{\tau_{k}} \cdot \mathbf{g}_{k}$$

$$p_{\tau_k}(\tau_k) = \frac{1}{\Gamma(\nu)} \left(\frac{\nu}{\mu}\right)^{\nu} \tau_k^{\nu-1} \exp\left(-\frac{\nu}{\mu}\tau_k\right) \qquad \tau > 0$$

$$p_{I_{k,m}}(I_{k,m}) = \frac{2}{\Gamma(\nu)} \left(\frac{\nu}{\mu}\right)^{\frac{1+\nu}{2}} I_{k,m}^{\frac{\nu-1}{2}} K_{\nu-1} \left(2\sqrt{\frac{\nu}{\mu}}I_{k,m}\right)$$

Assume single-pulse detection using a CA-CFAR scheme (z=I)



Impact of PDF on performance: CFAR (II)

The probability of false alarm (P_{fa}) can be evaluated as:

$$P_{fa} = \Pr ob \{ z_0 > G \cdot \hat{\mu} / H_0 \} = \int_0^\infty \int_{G\hat{\mu}}^\infty p_Z(z_0) p_{\hat{\mu}}(\hat{\mu}) dz_0 d\hat{\mu}$$

$$P_{fa} = \int_{0}^{\infty} p_{\hat{\mu}}(\hat{\mu}) \int_{G\hat{\mu}}^{\infty} \int_{0}^{\infty} p(z_0 / \tau) p_{\tau}(\tau) d\tau dz_0 d\hat{\mu}$$

If we replace the exact PDF $p_{\hat{\mu}}(\hat{\mu})$ with a Gamma PDF with the same mean value μ and order parameter v_0 , obtained by matching the Contrast of Amplitude of the two PDFs.

$$P_{fa} = \sum_{i=1}^{L} \frac{\Gamma(L+\nu_0-i)}{(L-i)!\Gamma(\nu_0)} \frac{\Gamma(\nu+\nu_0)}{\Gamma(\nu)} \left(\frac{\nu}{\nu_0} GL\right)^{\nu} U\left(\nu+\nu_0,\nu-L+i+1,\frac{\nu}{\nu_0} GL\right)$$

where $U(\cdot)$ is the Hypergeometric-U function and L is the number of degrees of freedom Sistemi Radar

Impact of PDF on performance: CFAR (III)

P_{fa} for single-pulse detection against K-distributed clutter



Comparison of analytical performance and simulated curves (10⁶ trials)

Impact of PDF on performance: CFAR (IV)

P_{fa} for non-coherent detection (L=32 integrated pulses) against K-distributed clutter



Comparison of analytical performance and simulated curves (10⁶ trials) Sistemi Radar

Impact of PDF on performance: CFAR (V)

P_{fa} for single pulse detection CA-CFAR against Weibull and Log-normal Clutter



From: G. Picardi "Elaborazione del segnale radar"

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Impact of PDF on performance: CFAR (VI)

P_{fa} for single pulse detection GO-CFAR against Weibull and Log-normal Clutter



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Impact of PDF on performance: CFAR (VI)



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Impact of PDF on single pulse CFAR detection

• Unless appropriate detection schemes are used, the false alarm rate depends on the clutter spikiness

• **CFAR** against non-Gaussian clutter requires ad-hoc detection schemes that normalize out not only the mean power level, but also the spikiness of the distribution.

CFAR biparametrici (I)

Consider samples of K-distributed clutter: **EXAMPLE of NON-GAUSSIAN**



CFAR biparametrici (II)

Consider samples of Lognormal clutter: **EXAMPLE of NON-GAUSSIAN**





CFAR biparametrici (III)

Consider samples of Weibull clutter: EXAMPLE of NON-GAUSSIAN





Clutter Map CFAR per NonGaussiano

• Autogate:

- per clutter nongaussiano è "difficile" stimare due parametri da un numero limitato di celle in range (stime "poco accurate"). Aumentare le celle causa perdità di omogeneità ed estensione dei transitori.

• **Clutter map:** usa gli stessi schemi per la stima del livello di clutter, ma usando campioni della stessa cella in scan successivi:

- per clutter nongaussiano "più semplice" stimare due parametri, specie usando anche alcune celle in range adiacenti, oltre l'informazione da scan a scan.