

---

# Il segnale Chirp ed i codici a fase quadratica

*Pierfrancesco Lombardo*

# CHIRP: linear frequency modulated signal

MAXIMUM RADAR RANGE

$$R_{\max} = 4 \sqrt{\frac{E_T G^2 \lambda^2 \sigma}{(4\pi)^3 K T_0 F S_a}}$$

Con  $E_T = P_p T$

RANGE RESOLUTION

$$R_d = \frac{cT}{2}$$

CHIRP: LINEAR FREQUENCY MODULATION

$$s(t) = e^{j2\pi(f_p t + \frac{B}{T} \cdot \frac{t^2}{2})} \text{rect}_T(t)$$

B chirp bandwidth  
T transmitted pulse length  
 $f_p$  (residual) carrier frequency

$$e^{j\pi k t^2}$$

$\uparrow$   
B  
 $\downarrow$   
T

- CHIRP (long pulse with phase coding): has the power properties of the long pulse and the resolution properties of the short pulse.
- Phase coding → waveform compression by means of matched filtering

# CHIRP: Time domain waveform (I)

$$s(t) = e^{j2\pi(f_p t + \frac{B}{T} \cdot \frac{t^2}{2})} \text{rect}_T(t)$$

- CHIRP MODULUS DEL  $|s(t)|$ : J

$$|s(t)| = \begin{cases} 1 & \text{Per } |t| \leq T/2 \\ 0 & \text{Per } |t| \geq T/2 \end{cases}$$

- CHIRP PHASE  $\Phi(t)$  λ

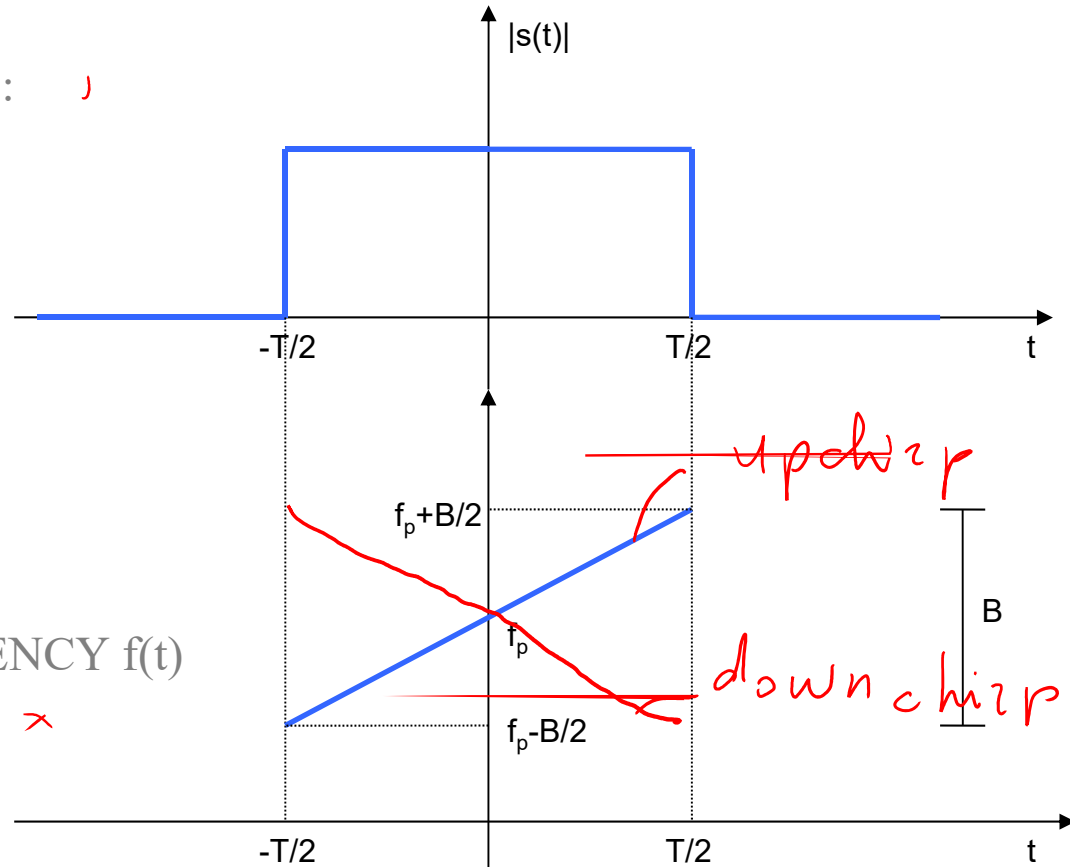
$$\Phi(t) = 2\pi(f_p t + \frac{B}{T} \cdot \frac{t^2}{2})$$

- INSTANTANEOUS FREQUENCY  $f(t)$  x

$$f(t) = \frac{1}{2\pi} \cdot \frac{d\Phi(t)}{dt} = f_p + \frac{B}{T} t$$

$$f(-T/2) = f_p - B/2$$

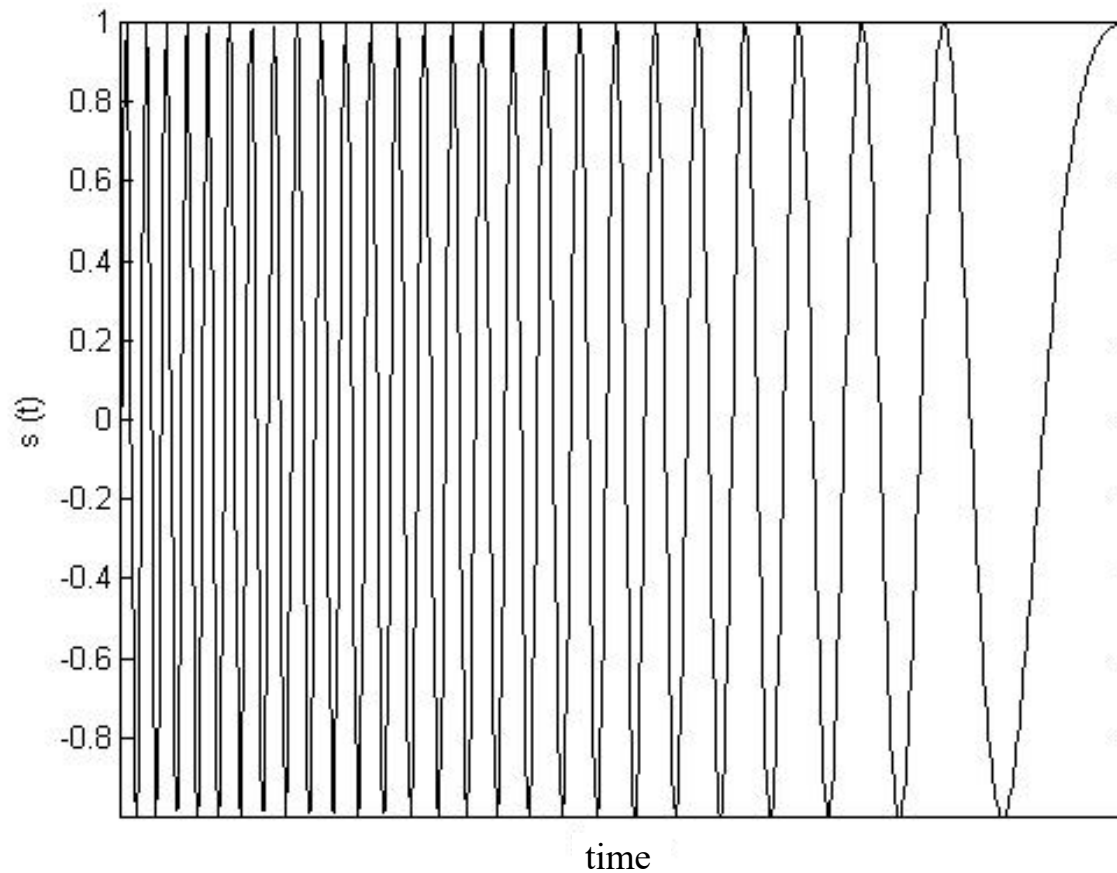
$$f(T/2) = f_p + B/2$$



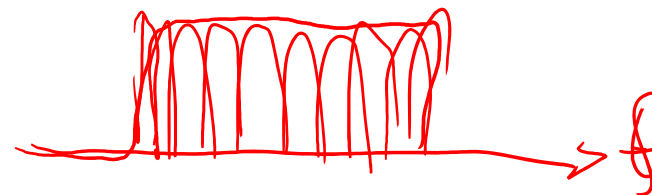
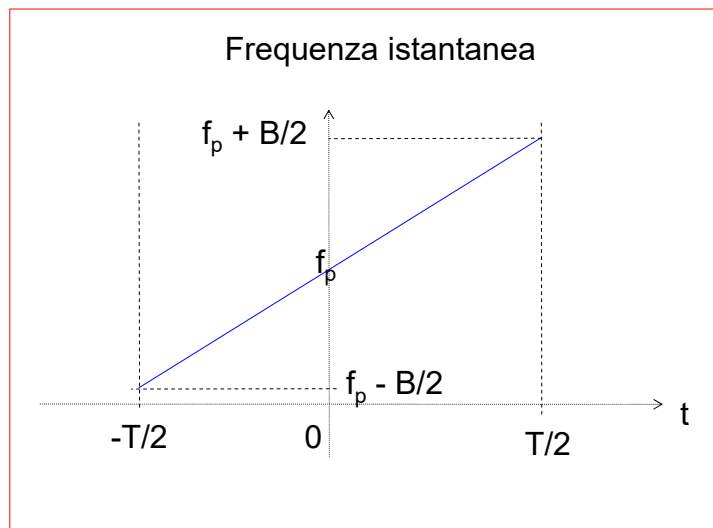
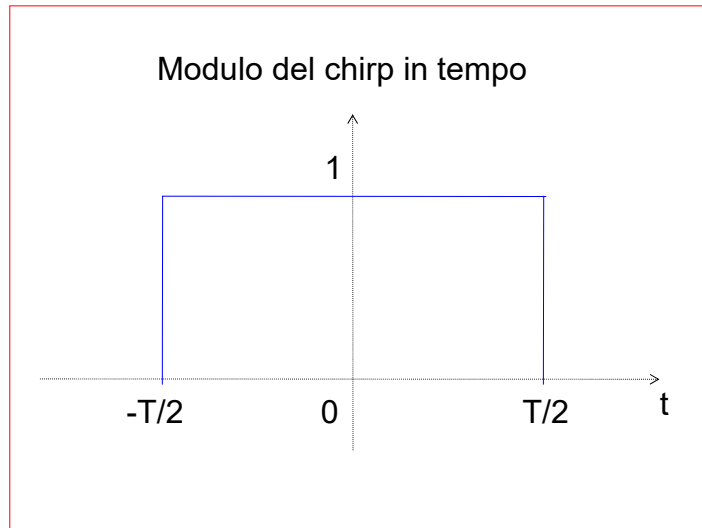
Frequency modulation

# CHIRP: Time domain waveform (II)

---



# CHIRP: Time domain waveform (III)



$$S(f) = \int_{-\infty}^{+\infty} \text{rect}_T(t) e^{j\pi \frac{B}{T} t^2} e^{-j2\pi f t} dt =$$

$$= \int_{-T/2}^{T/2} e^{j\pi \left( \frac{B}{T} t^2 - 2ft \right)} dt =$$

$$= e^{-j\pi \frac{T}{B} f^2} \int_{-T/2}^{T/2} e^{j\pi \left( \frac{B}{T} t^2 - 2ft + \frac{f^2 T}{B} \right)} dt$$

$t' = t - \frac{fT}{B}$

$$\frac{T}{2} - f \frac{T}{B}$$

$$e^{-j\pi \frac{T}{B} f^2} \int_{-\frac{T}{2} - f \frac{T}{B}}^{\frac{T}{2} - f \frac{T}{B}} e^{j\pi \frac{B}{T} t'^2} dt' =$$

$$= e^{-j\pi \frac{T}{B} f^2} \int_{-T(\frac{1}{2} + \frac{f}{B})}^{T(\frac{1}{2} - \frac{f}{B})} e^{j\pi \frac{B}{T} t'^2} dt' =$$

$$x = \sqrt{\frac{2B}{T}} t'$$

$$dx = \sqrt{\frac{2B}{T}} dt'$$

$$= e^{-j\pi \frac{T}{B} f^2} \sqrt{\frac{T}{2B}} \int_{-\sqrt{2BT}(\frac{1}{2} + \frac{f}{B})}^{\sqrt{2BT}(\frac{1}{2} - \frac{f}{B})} e^{j\pi \frac{x^2}{2}} dx$$

$$\int_0^y e^{j\frac{\pi x^2}{2}} dx \approx \underbrace{\int_0^y \cos\left(\frac{\pi x^2}{2}\right) dx}_{C(x)} + j \underbrace{\int_0^y \sin\left(\frac{\pi x^2}{2}\right) dx}_{S(x)}$$

$$\rightarrow \sqrt{\frac{T}{2B}} e^{-j\pi \frac{T}{B} f^2} \left\{ \begin{array}{l} C(x_1) + j S(x_1) + \cancel{C(-x_1)} + \cancel{j S(-x_1)} \\ \quad \quad \quad \parallel \quad \quad \parallel \\ \quad \quad \quad C(x_1) \quad + S(x_1) \end{array} \right.$$

$$= \sqrt{\frac{T}{2B}} e^{-j\pi \frac{T}{B} f^2} \left\{ C(x_1) + C(x_2) + j [S(x_1) + S(x_2)] \right\}$$

Sistemi Radar





# CHIRP: Frequency domain waveform (I)

Fourier Transform of the chirp signal:

$$S(f) = \frac{1}{\sqrt{2\mu}} \{ [C(X_1) + C(X_2)] + j[S(X_1) + S(X_2)] \} e^{-j\frac{\pi}{\mu}f^2} = |S(f)| e^{j\Phi(f)}$$

✓ The compression factor  $BT$  determines the frequency domain characteristics of the chirp waveform

$$K = \mu = \frac{B}{T}$$

$C(X)$  Fresnel cosine

$S(X)$  Fresnel sine

$$X_1 = \sqrt{2BT} \left( \frac{1}{2} + \frac{f}{B} \right)$$

$$X_2 = \sqrt{2BT} \left( \frac{1}{2} - \frac{f}{B} \right)$$

$$\frac{f}{B} + \frac{1}{2} > 0$$

AMPLITUDE SPECTRUM

$$|S(f)| = \frac{1}{\sqrt{2\mu}} \sqrt{[C(X_1) + C(X_2)]^2 + [S(X_1) + S(X_2)]^2}$$

PHASE SPECTRUM

$$\Phi(f) = -\frac{\pi}{\mu}f^2 + \text{atg} \left[ \frac{S(X_1) + S(X_2)}{C(X_1) + C(X_2)} \right]$$

For high  $BT$  values ( $BT > 100$ )

$$|S(f)| \cong \frac{1}{\sqrt{2\mu}} \sqrt{2} = \frac{1}{\sqrt{\mu}} = \sqrt{\frac{T}{B}}$$

$$|f| \leq B/2$$

For high  $BT$  values ( $BT > 100$ )

$$\Phi(f) \cong -\frac{\pi}{\mu}f^2 + \frac{\pi}{4}$$

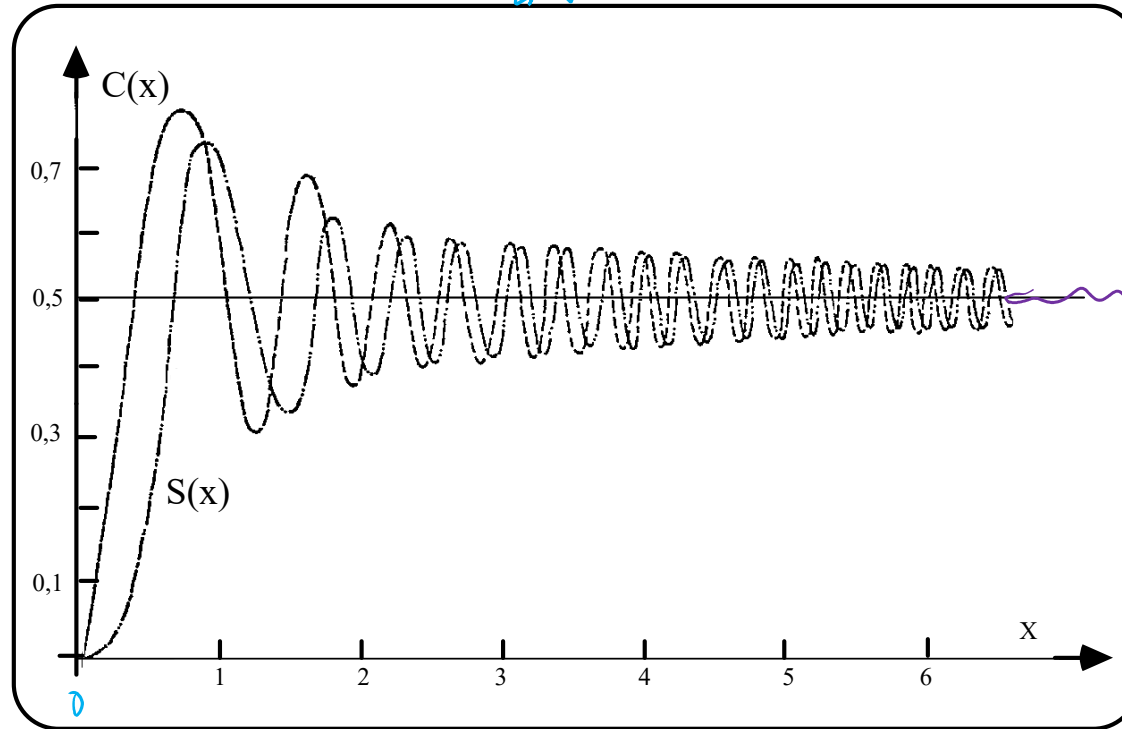
$$|f| \leq B/2$$

$$S(f) = \sqrt{\frac{T}{B}} e^{-j \left[ \pi \frac{T}{B} f^2 - \frac{\pi}{4} \right]} \text{rect}_B(f)$$

# Funzioni Coseno e Seno Integrale

$$x \approx 0 \rightarrow C, S \approx 0$$

$$x \rightarrow \infty \rightarrow C, S \approx \frac{1}{2}$$

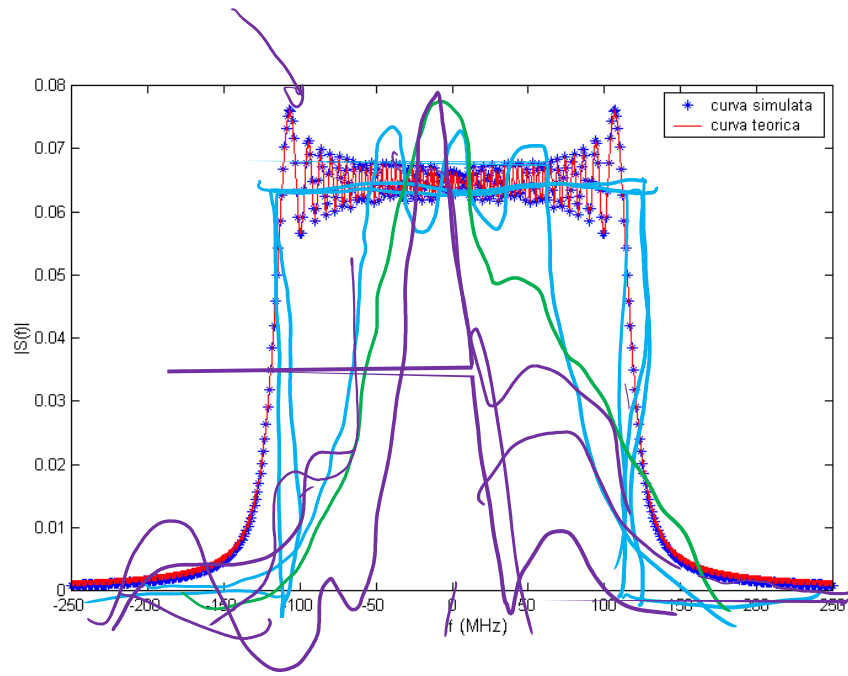


$$\frac{f}{B} \pm \frac{1}{2} > 0$$

$$\frac{f}{B} > \pm \frac{1}{2}$$

$$\frac{f}{B} > \pm \frac{B}{2}$$

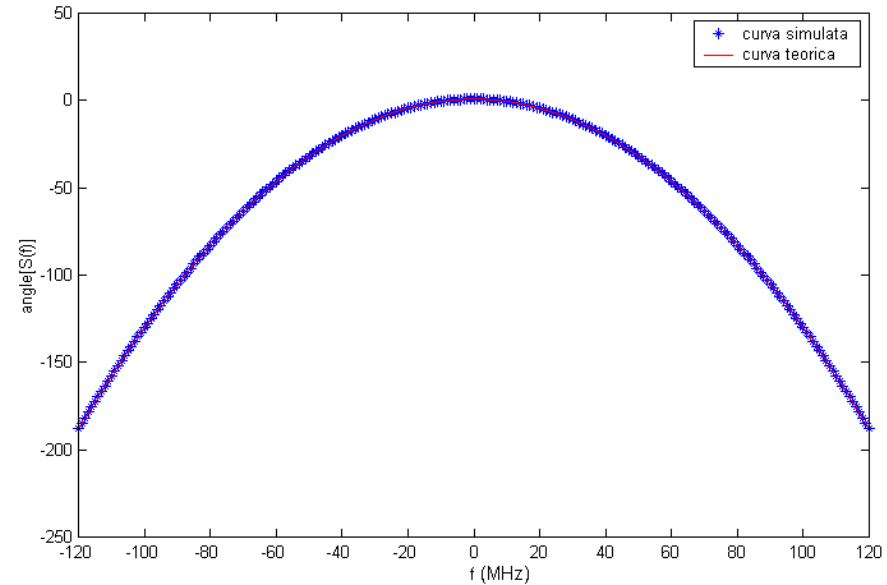
# CHIRP: Frequency domain waveform (II)



Chirp amplitude spectrum for  
 $B=240\text{MHz}$ ,  $T=1\mu\text{s}$

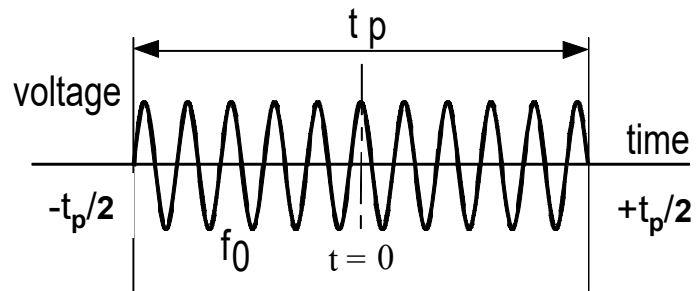
$$BT = 240$$

Chirp phase spectrum for  
 $B=240\text{MHz}$ ,  $T=1\mu\text{s}$

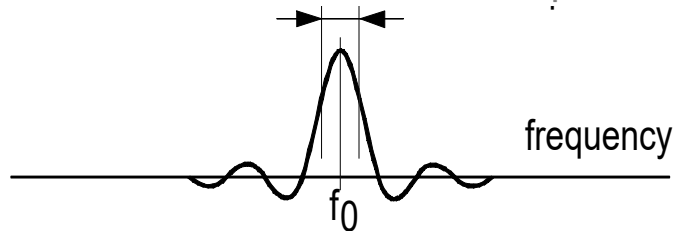


# CHIRP: Frequency domain waveform (III)

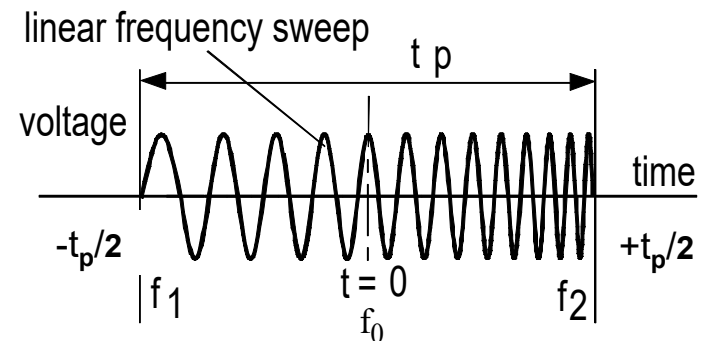
Unmodulated RF pulse.  
 $t_p \cdot \beta_3 = 1.$



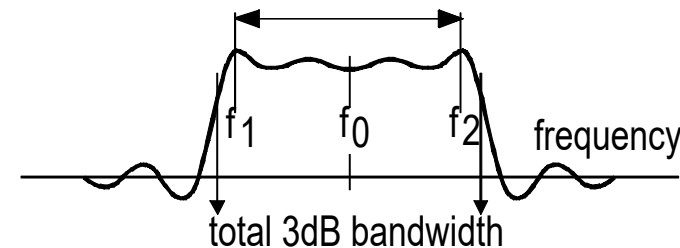
3dB bandwidth  $\beta_3 = 1/t_p$



UP-sweep linear  
FM chirp pulse.



Sweep bandwidth  $\beta_s = (f_2 - f_1)$



# Autocorrelazione del chirp (I)

Funzione di Ambiguità: Chirp con involuppo rettangolare

$$s_0(t) = \frac{1}{\sqrt{\tau_p}} \text{rect}_{\tau_p}(t) e^{j\pi k t^2}$$

$$|\chi(\tau, 0)| = \left| \left(1 - \frac{|\tau|}{\tau_p}\right) \text{sinc}\left[\pi k \tau \tau_p \left(1 - \frac{|\tau|}{\tau_p}\right)\right] \right|, \quad |\tau| \leq \tau_p$$

Primo nullo

$$\pi k \tau \tau_p \left(1 - \frac{|\tau|}{\tau_p}\right) = \pi$$

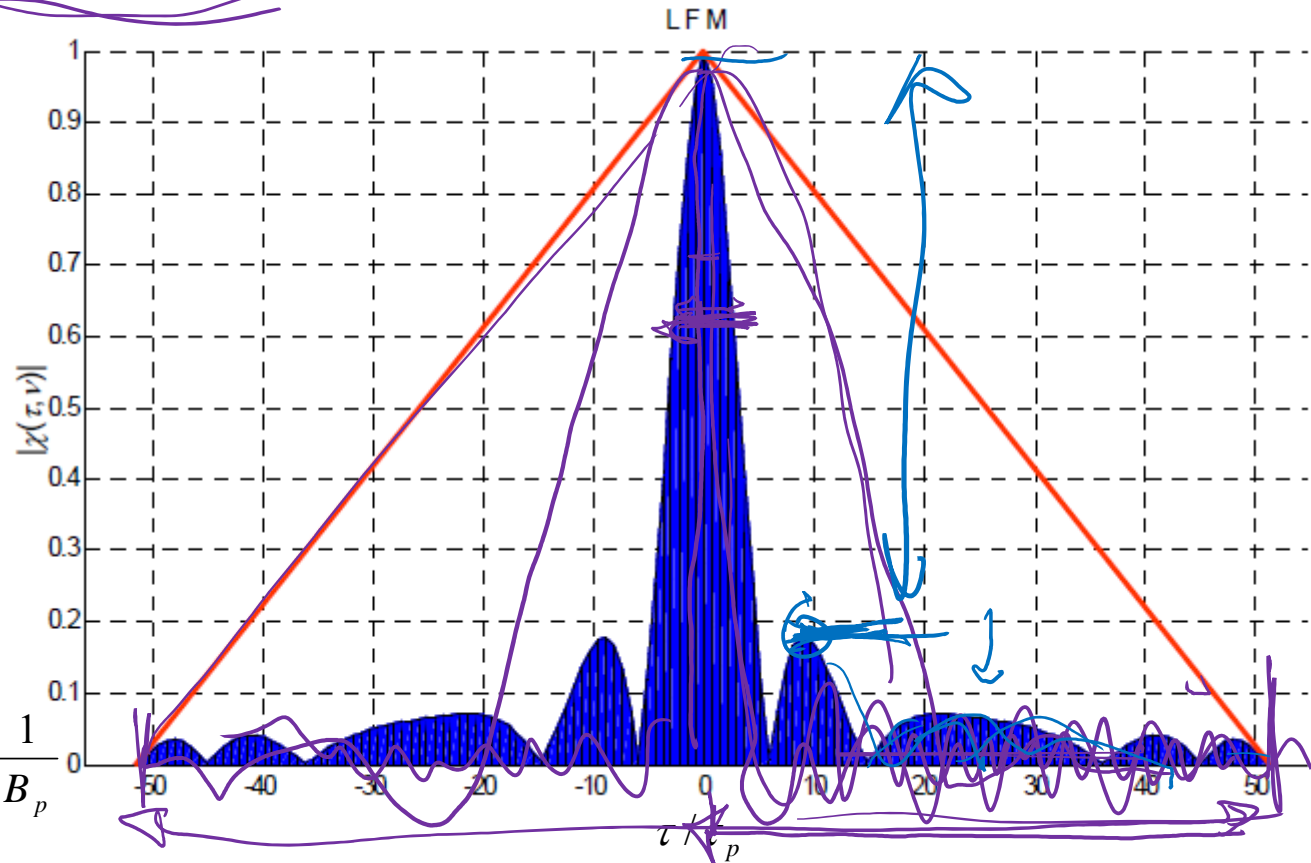
$$\tau \tau_p - \tau^2 = \frac{1}{k}$$

$$\tau^2 - \tau \tau_p + \frac{1}{k} = 0$$

$$\tau = \frac{\tau_p}{2} - \sqrt{\frac{\tau_p^2}{4} - \frac{1}{k}}$$

$$= \frac{\tau_p}{2} - \frac{\tau_p}{2} \sqrt{1 - \frac{4}{k\tau_p^2}}$$

$$\approx \frac{\tau_p}{2} - \frac{\tau_p}{2} \left(1 - \frac{2}{k\tau_p^2}\right) = \frac{1}{k\tau_p} = \frac{1}{B_p}$$



Sistemi Radar

# Funzione di autocorrelazione del chirp (III)

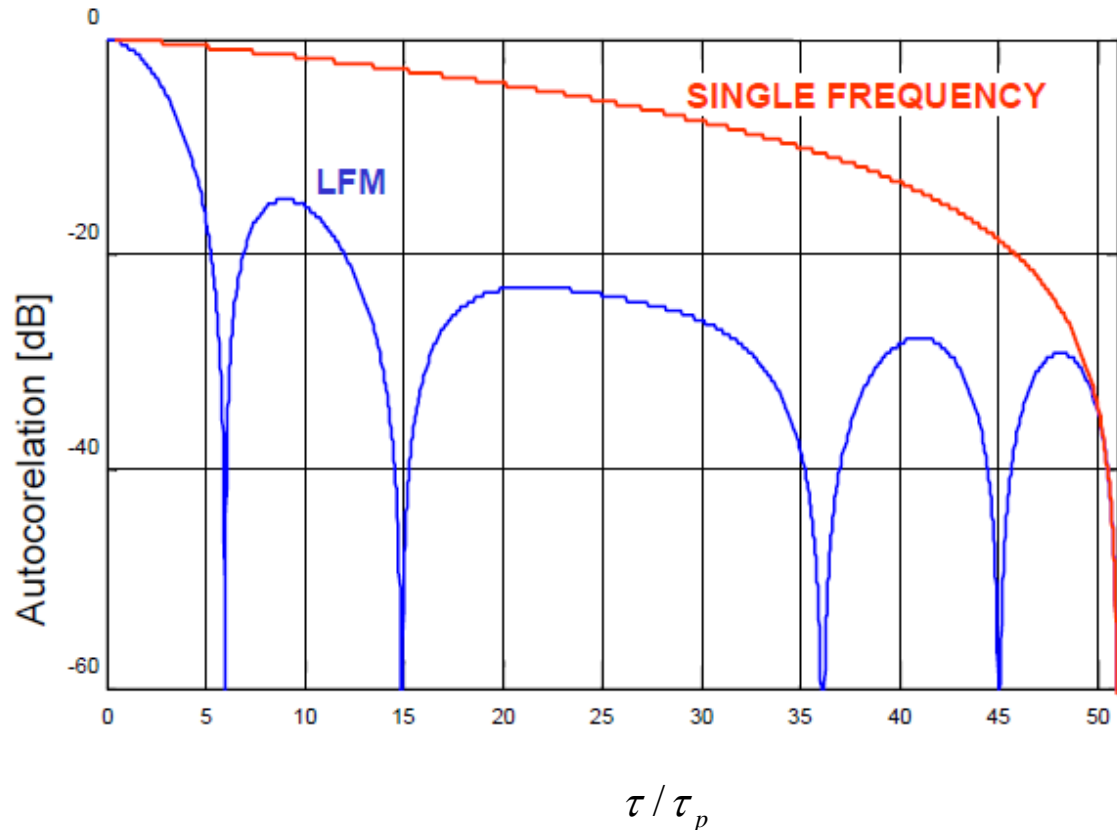
Funzione di Ambiguità: Chirp con involuppo rettangolare

$$s_0(t) = \frac{1}{\sqrt{\tau_p}} \text{rect}_{\tau_p}(t) e^{j\pi k t^2}$$

$$|\chi(\tau, 0)| = \left| \left(1 - \frac{|\tau|}{\tau_p}\right) \text{sinc}\left[\pi k \tau \tau_p \left(1 - \frac{|\tau|}{\tau_p}\right)\right] \right|, \quad |\tau| \leq \tau_p$$

Rapporto di compressione

$$\frac{\tau_p}{1} = k \tau_p^2 = B_p \tau_p$$

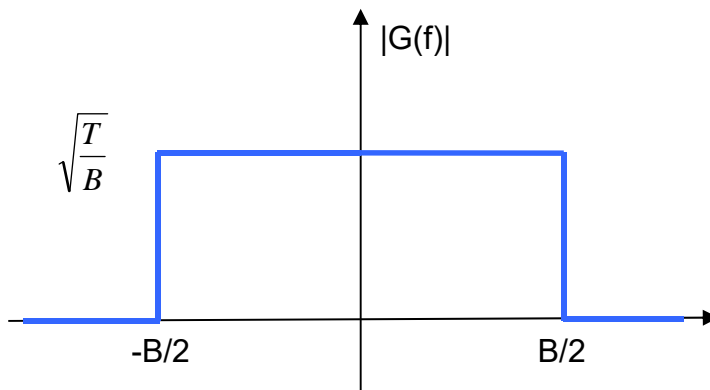


# Chirp approximation and sidelobes (I)

- Chirp autocorrelation (matched filter output)

$$g(t) = \sqrt{\frac{B}{T}} \frac{\sin \left[ \pi \frac{B}{T} (T - |t|) t \right]}{\pi \frac{B}{T} t}$$

which is the Inverse Fourier Transform of a rectangle in the frequency domain



$$S(f) = \sqrt{\frac{T}{B}} \text{rect}_B(f) e^{-j \left( \pi \frac{T}{B} f^2 - \frac{\pi}{4} \right)}$$

- approximated with

$$\frac{1}{B}$$

$$g(t) \cong \sqrt{\frac{B}{T}} \frac{\sin[\pi B t]}{\pi \frac{B}{T} t} = \sqrt{B T} \text{sinc}[\pi B t]$$

$$H(f) \sim S^*(-f) \rightarrow y(f) = |S(f)|^2$$

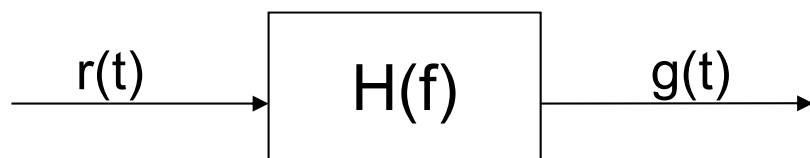
$$G(f) = \sqrt{\frac{T}{B}} \text{rect}_B(f) \approx \frac{T}{B} \text{rect}_B(f)$$

$$y(f) = T \text{sinc}(\pi B t)$$



# Pulse compression technique (I)

- Matched Filter



$$r(t) = e^{j2\pi\left(f_p t + \frac{B}{T} \frac{t^2}{2}\right)} \text{rect}_T(t)$$

Received signal

$$h(t) = \sqrt{\frac{B}{T}} e^{-j2\pi\left(-f_p t + \frac{B}{T} \frac{t^2}{2}\right)} \text{rect}_T(t)$$

matched filter  
impulse response

$$g(t) = r(t) * h(t) = \int_{-\infty}^{\infty} r(\tau) h(t - \tau) d\tau$$

matched filter  
output

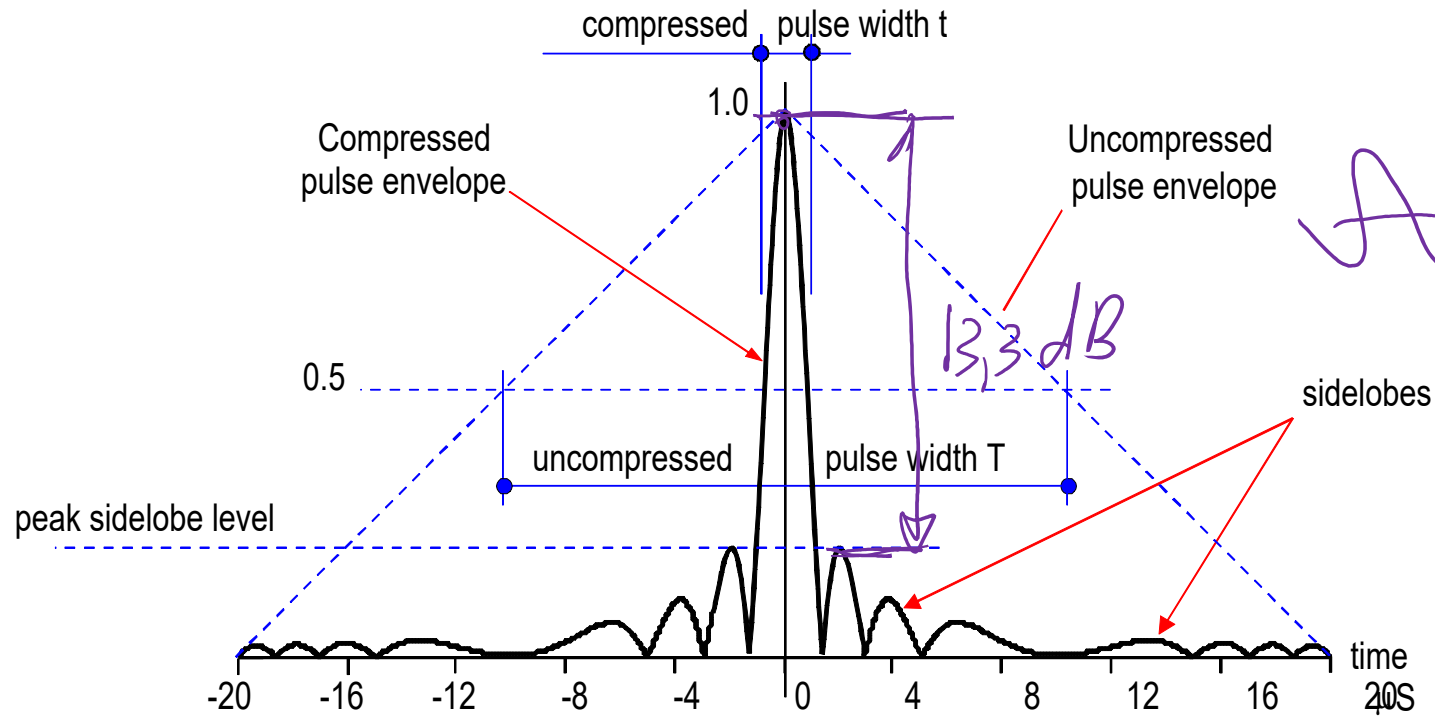
$$g(t) = \sqrt{\frac{B}{T}} \frac{\text{sen}\left[\pi \frac{B}{T} (\tau - |t|) t\right]}{\pi \frac{B}{T} t} e^{j2\pi f_p t}$$

sin x/x signal envelope:  
with -4dB aperture = 1/B.

- ✓  $g(t)$  autocorrelation of the input signal ( $f_d=0$ ).
- ✓ for  $f_d \neq 0$  mismatched filter

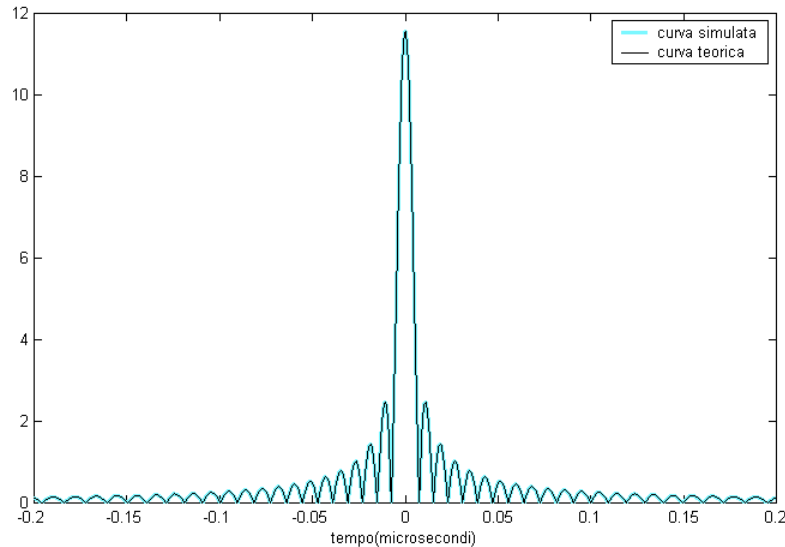
The pulse has been  
compressed to:  
 $\tau_c = 1/B < T$

# Pulse compression technique (II)



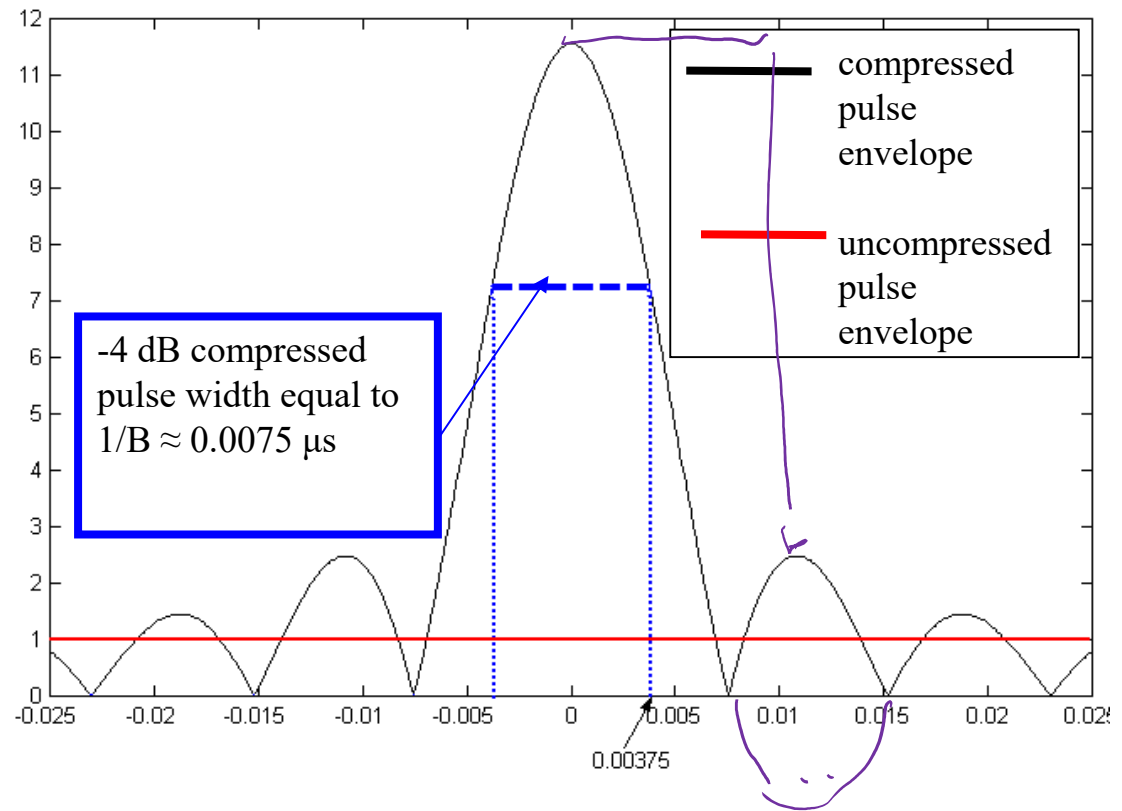
The width of the main lobe of the compressed pulse is  $1/\beta_s$  ie.  $\frac{1}{\text{sweep bandwidth}}$

# Pulse compression technique (III)



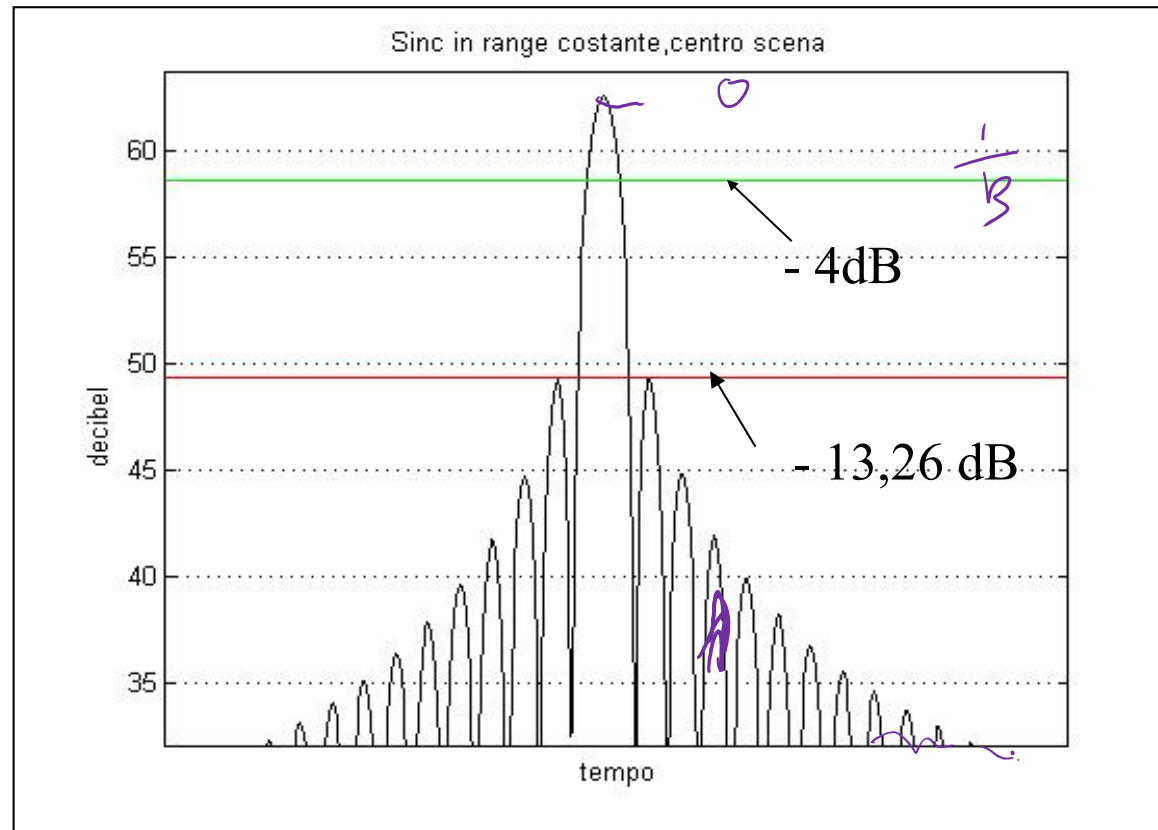
Matched filter output  
for:  $B=133.5$  MHz  
and  $T=1$   $\mu$ s

## Matched filter output

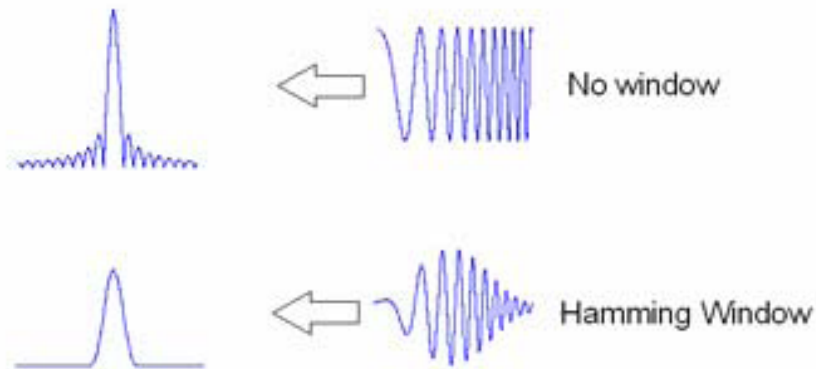
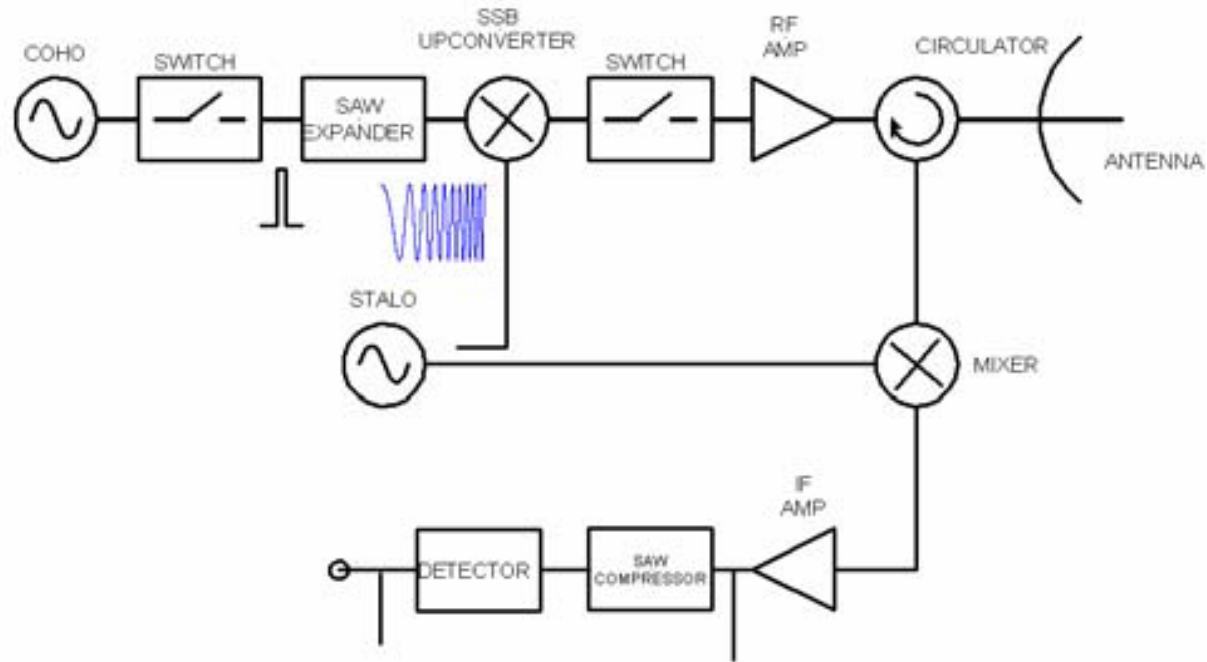


# Pulse compression technique (IV)

Matched filter output : sidelobes

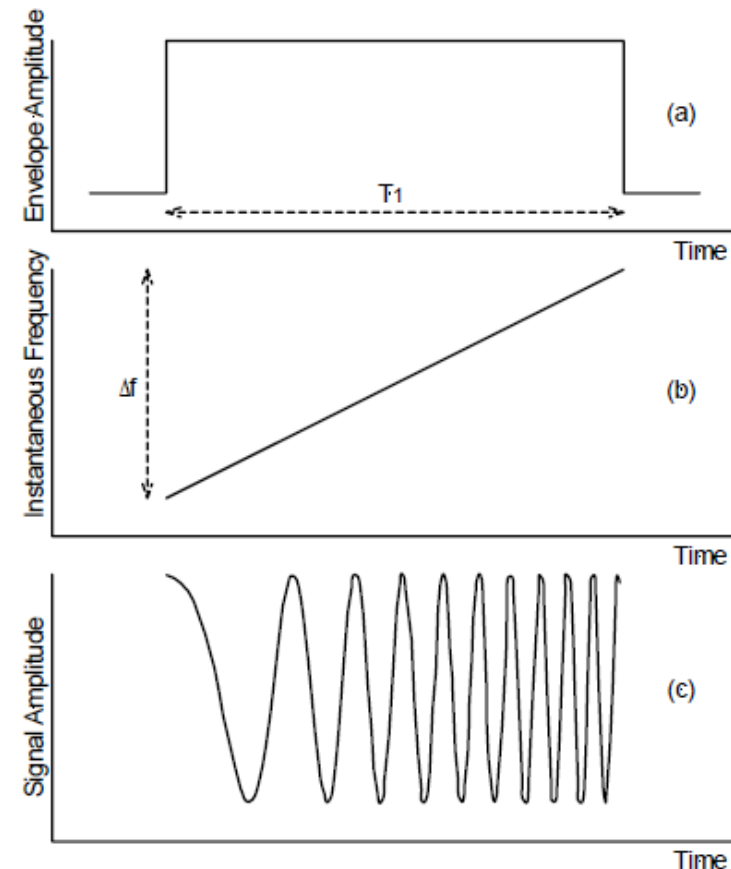


# SAW pulse compression (I)



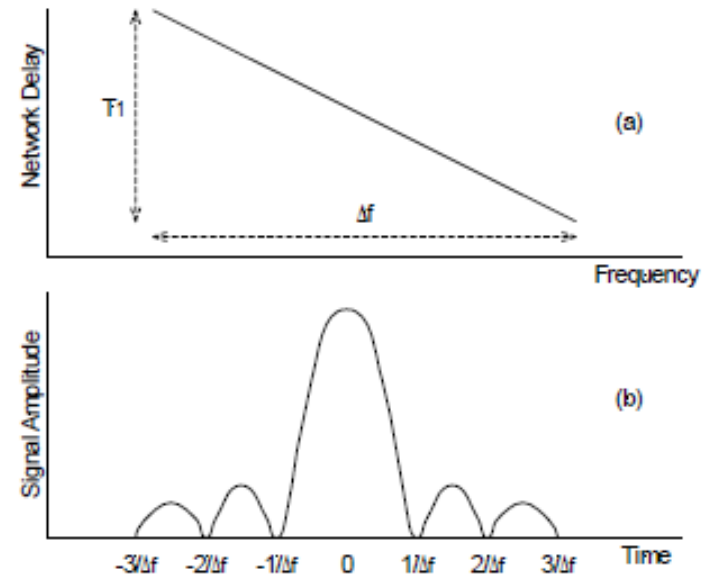
# SAW pulse compression (II)

- In a pulse compression system, a very brief pulse consisting of a range of frequencies passes through a dispersive delay line (SAW expander) in which its components are delayed in proportion to their frequency.
- In the process the pulse is stretched; for example a 1ns pulse may be lengthened by a factor of 1000 to a duration of 1 $\mu$ s before it is up-converted amplified and transmitted.
- A constant amplitude waveform is produced in which the frequency increases or decreases linearly by  $\Delta f$  over the duration of the pulse

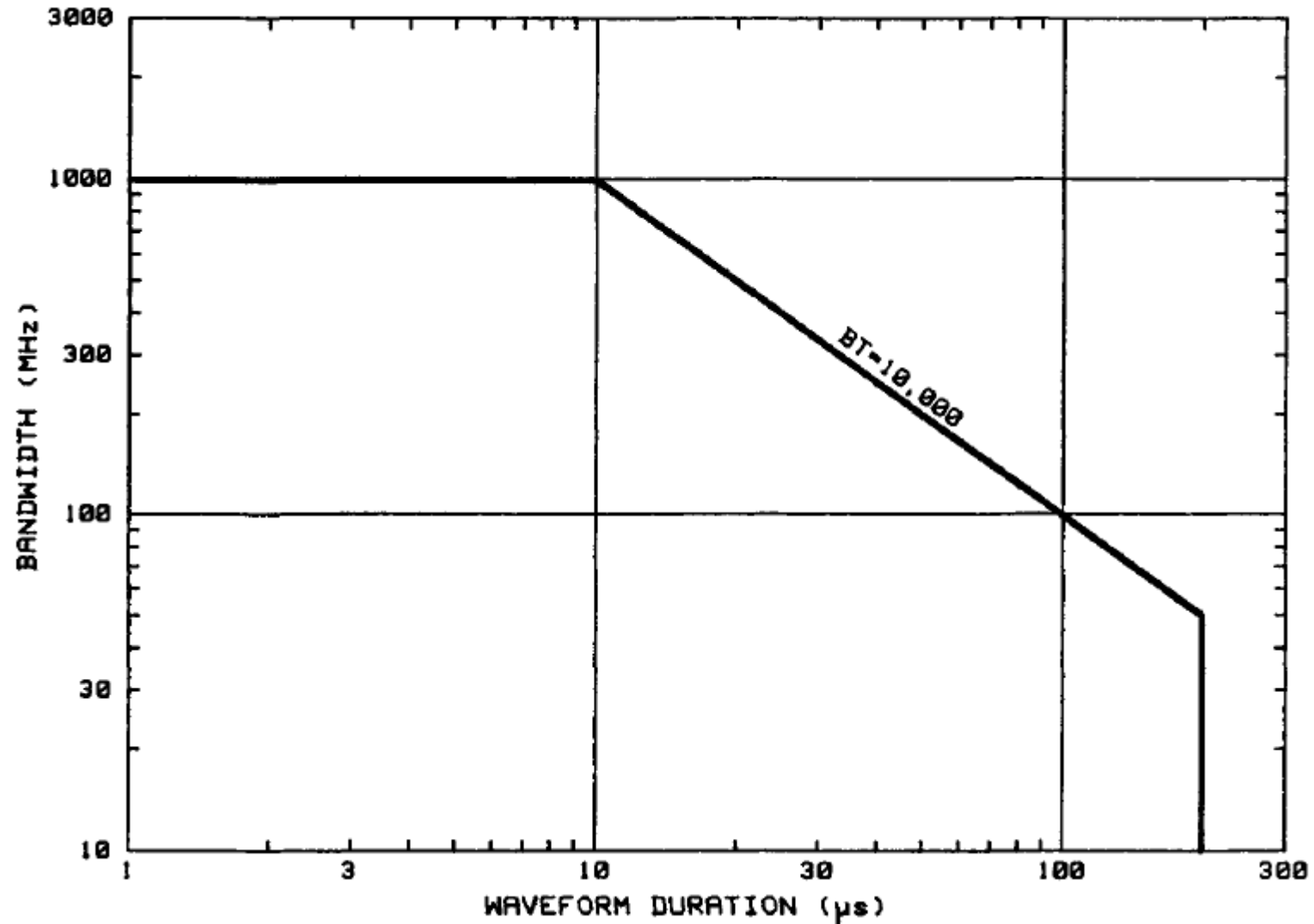


# SAW pulse compression (III)

- The echo returns from the target are down converted and amplified
- It is then passed through a pulse compression filter which is designed so that the velocity of propagation is proportional to frequency
- The pulse is compressed to a width  $1/\Delta f$
- The compressed echo yields nearly all of the information that would have been available had the unaltered 1ns pulse been transmitted.
- The amount of signal-to-noise ratio (SNR) gain achieved is approximately equivalent to the pulse time-bandwidth product  $\beta \cdot \tau$ .
- Most pulse compression systems use surface acoustic wave (SAW) technology to implement the pulse expansion and compression functions
- The maximum  $\beta \cdot \tau$  product that is readily available is about 1000.



# SAW pulse compression (IV)



Sistemi Radar



# Chirp approximation and sidelobes

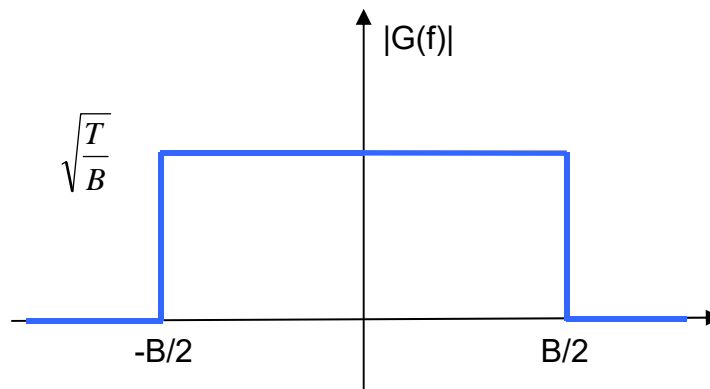
- Chirp autocorrelation  
(matched filter output)

$$g(t) = \sqrt{\frac{B}{T}} \frac{\sin \left[ \pi \frac{B}{T} (T - |t|) t \right]}{\pi \frac{B}{T} t}$$

- approximated with

$$g(t) \cong \sqrt{\frac{B}{T}} \frac{\sin [\pi B t]}{\pi \frac{B}{T} t} = \sqrt{B T} \operatorname{sinc} [\pi B t]$$

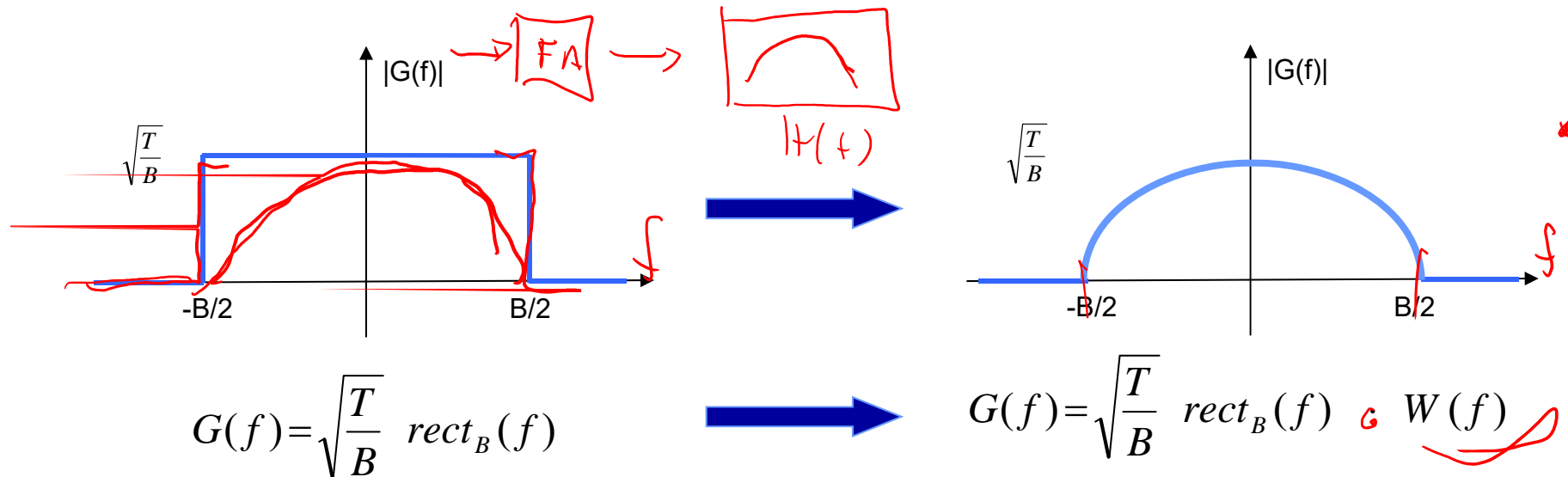
which is the Inverse Fourier Transform of  
a rectangle in the frequency domain



$$G(f) = \sqrt{\frac{T}{B}} \operatorname{rect}_B(f)$$

# Frequency domain weighting (I)

- To control sidelobes of the compressed waveform, amplitude weighting with appropriate taper functions can be used

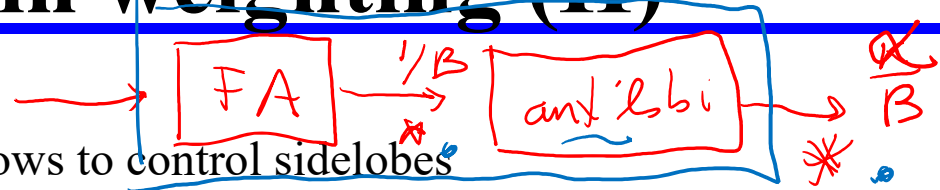


Taking the Inverse Fourier Transform, we have in time domain

$$g(t) \cong \sqrt{BT} \text{sinc} [\pi B t] \longrightarrow g(t) \cong \sqrt{BT} \text{sinc} [\pi B t] * w(t)$$

# Frequency domain weighting (II)

- using appropriate taper function, allows to control sidelobes

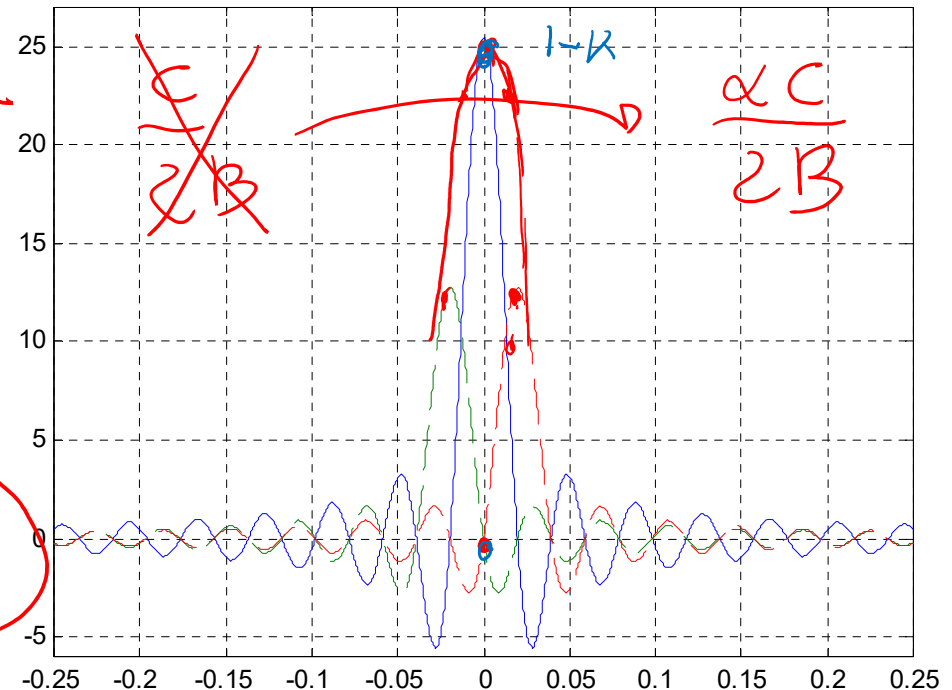


For example

$$W(f) = (1-k) + k \cos\left(\pi \frac{f}{B}\right)$$

$$w(t) = (1-k) \delta(t) + \frac{k}{2} \delta\left(t - \frac{1}{2B}\right) + \frac{k}{2} \delta\left(t + \frac{1}{2B}\right)$$

Shifted replicas to remove sidelobes ...



$$g(t) \cong \sqrt{BT} \left\{ (1-k) \operatorname{sinc} [\pi B t] + \frac{k}{2} \operatorname{sinc} \left[ \pi B \left( t - \frac{1}{2B} \right) \right] + \frac{k}{2} \operatorname{sinc} \left[ \pi B \left( t + \frac{1}{2B} \right) \right] \right\}$$

$$1,46 \text{ Hz}$$

$$r = 150 \text{ m}$$

$$2,76 - 2,7$$

$$9,66 \text{ Hz}$$

$$\pm 150 \text{ MHz}$$

$$r = \frac{c}{2B} \rightarrow B = \frac{c}{2r} = \frac{3 \cdot 10^8 \text{ m/s}}{2 \cdot 150 \text{ m}} = 10^6 \text{ Hz} = 1 \text{ MHz}$$

$$\alpha = 1,4$$

$$\rightarrow r = \frac{c \alpha}{2B} = 1,4 \times 150 \text{ m} = 210 \text{ m}$$

$$r = \frac{c \alpha}{2B} \rightarrow B = \frac{c \alpha}{2r} = \alpha \cdot 10^6 \text{ Hz} = 1,4 \text{ MHz}$$

$$\frac{K}{B} = \frac{1,4}{1,4 \text{ MHz}} = 1 \mu\text{s} \rightarrow 150 \text{ m}$$

# Analog vs. Digital domain operations

- usually compression is applied in the sampled domain
- Starting from an approximately rectangular chirp spectrum (sampled in frequency at  $1/T$ )

$$g(t_n) = \sum_{k=-\frac{(N-1)}{2}}^{\frac{(N-1)}{2}} e^{+j\frac{2\pi}{T}kt_n} = \frac{\sin\left[\frac{\pi}{T}(N-1)t_n\right]}{\sin\left[\frac{\pi}{T}t_n\right]}$$

Zeros of NUM:  $t_n = \frac{kT}{N-1}$

Zeros of DEN:  $t_n = kT$

which is the Inverse Fourier Transform of a rectangle in the frequency domain

$$g(t_n) = \sum_{k=-\frac{(N-1)}{2}}^{\frac{(N-1)}{2}} w_k e^{+j\frac{2\pi}{T}kt_n} \quad \text{with} \quad w_k = W\left(\frac{k}{T}\right)$$

# Compressed waveform quality parameters

● **Side Lobe Level**

$$\text{SLL} = \frac{\text{Amplitude of the highest Side Lobe}}{\text{Main Beam Peak}}$$

● **Side Lobe Ratio**

$$\text{SLR} = (\text{SLL})^{-1}$$

23,26 → ?

$w_k \rightarrow$  taper coefficients



Generally achieved at the expense of:

● **Efficiency**

$$\eta = \frac{\left( \sum_{k=0}^{N-1} w_k \right)^2}{N \sum_{k=0}^{N-1} w_k^2}$$

● **3 dB resolution**

Taylor (1953):

- Symmetric weights yield lower sidelobes
- The sidelobe decay depends on the discontinuity in the aperture distribution and in its derivatives.
- A weight distribution with non-zero external elements (pedestal) is more efficient

# Common used taper functions

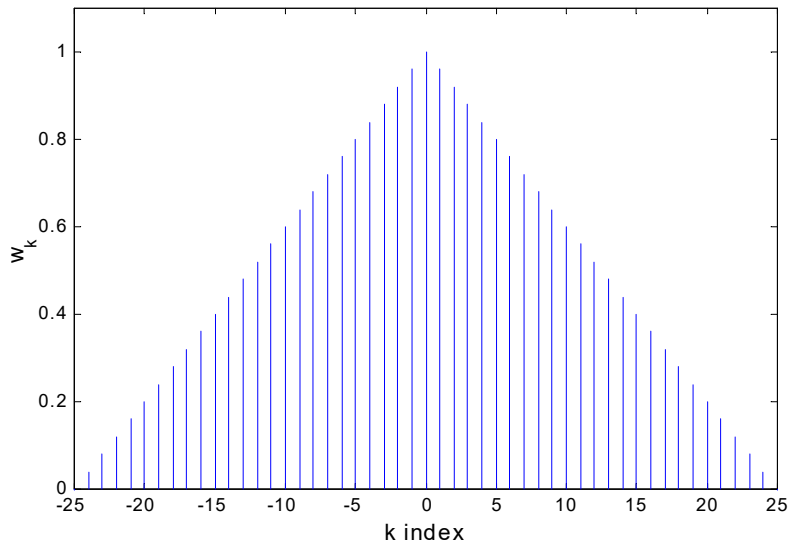
25dB  
39dB

$$\text{Loss} = \frac{-10 \log_{10}(\eta)}{SL} \propto$$

	Efficiency $\eta$	PSL (dB)	Main lobe width (w.r.t) 1/B.
Uniform	1	-13.3	0.89
Cosine	0.81	-23	1.19
Cosine squared (Hanning)	0.67	-32	1.44
Cosine squared on 10 dB pedestal	0.88	-26	1.08
Cosine squared on 20 dB pedestal	0.75	-40	1.28
Hamming	0.73	-43	1.30
Dolph Chebyshev	0.72	-50	1.33
Dolph Chebyshev	0.66	-60	1.44
Taylor n-bar=3	0.9	-26	1.05
Taylor n-bar=5	0.8	-36	1.18
Taylor n-bar=8	0.73	-46	1.30

Handwritten notes on the right side of the table:  
 (-3dB)  
 0,89/B  
 x  
 x  
 x  
 x  
 x

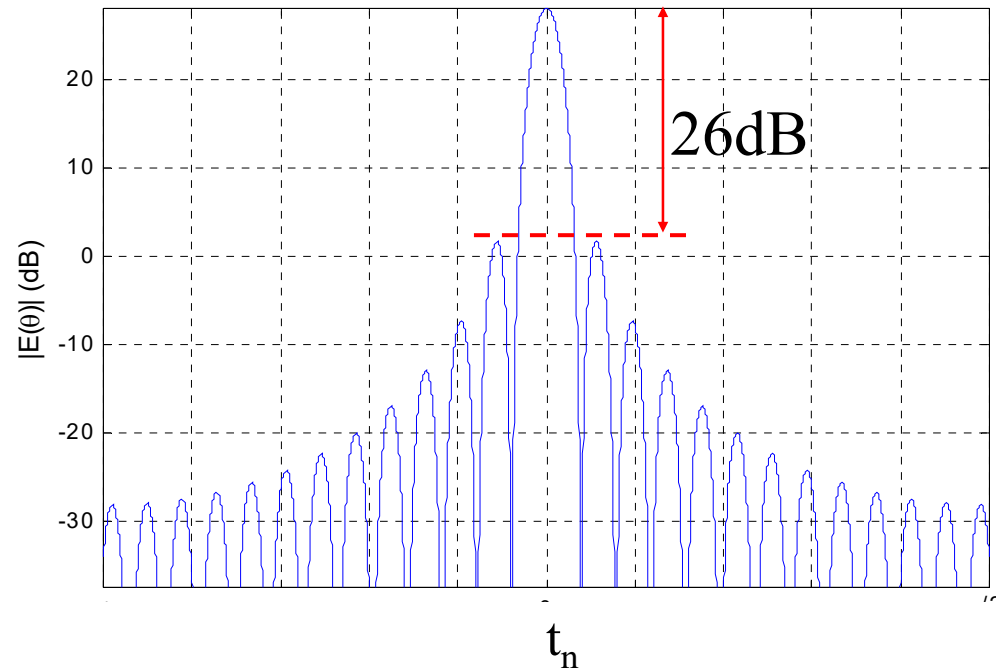
# Triangle (Bartlett) Window



$$w_k = 1 - \frac{|k|}{(N-1)/2} \quad k = -\frac{N-1}{2}, \dots, -1, 0, 1, \dots, \frac{N-1}{2}$$

$$g(t_n) = \frac{2}{N} \left[ \frac{\sin\left[\frac{\pi N}{T} \frac{t_n}{2}\right]}{\sin\left[\frac{\pi}{T} t_n\right]} \right]^2$$

- Main Beam width (between zero crossing) is twice that of the uniform window
- Zeros of order 2 in the Fourier Transform
- $SLR \approx 26\text{dB} = 2 * 13\text{dB}$
- Decay  $SL \propto 1/x^2$  (-12dB/oct) (discontinuity in the first derivative)



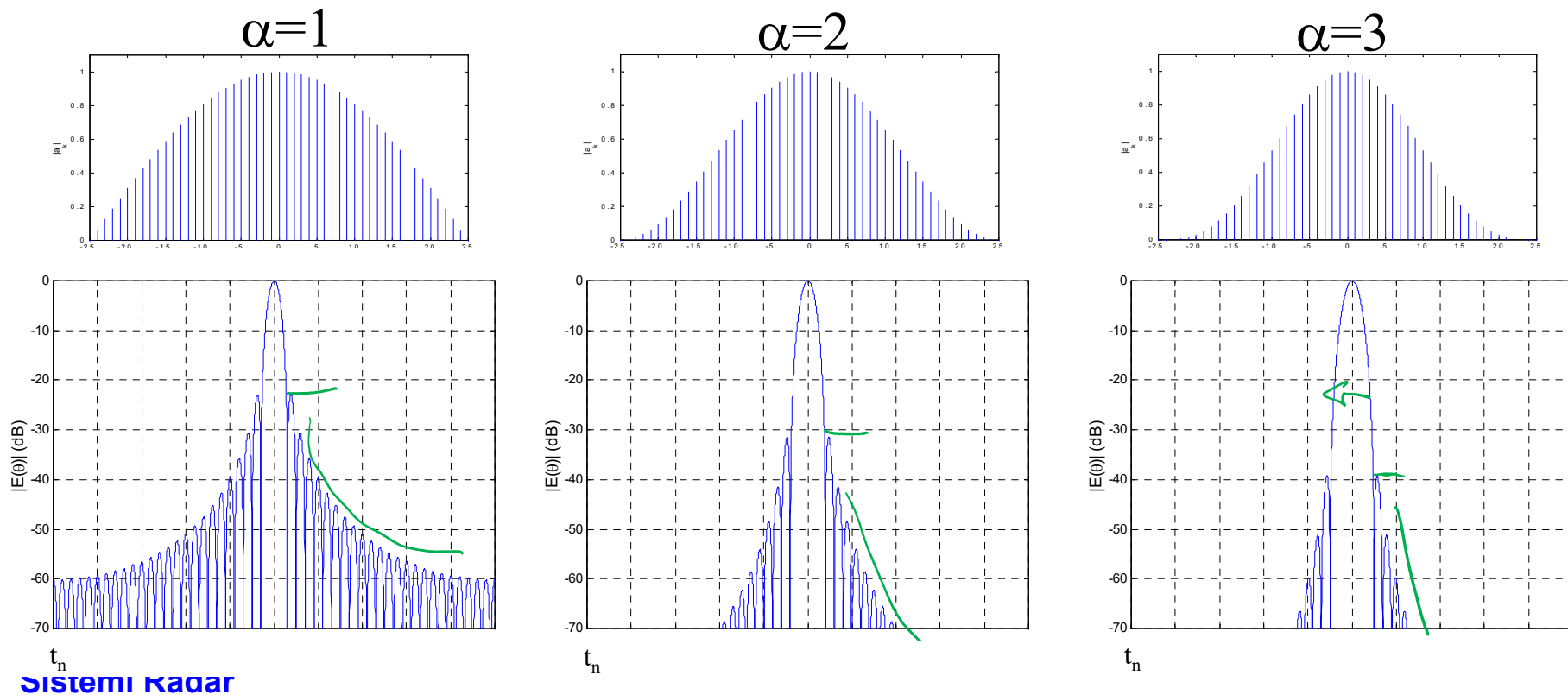
## Sistemi Radar



# $\cos^\alpha(x)$ Windows

$$w_k = \cos^\alpha \left[ \frac{k}{N-1} \pi \right] \quad k = -\frac{N-1}{2}, \dots, -1, 0, 1, \dots, \frac{N-1}{2}$$

As  $\alpha$  increases, the windows become smoother and the pattern shows increased SLR and faster falloff of the SL, but with an increase width of the ML.

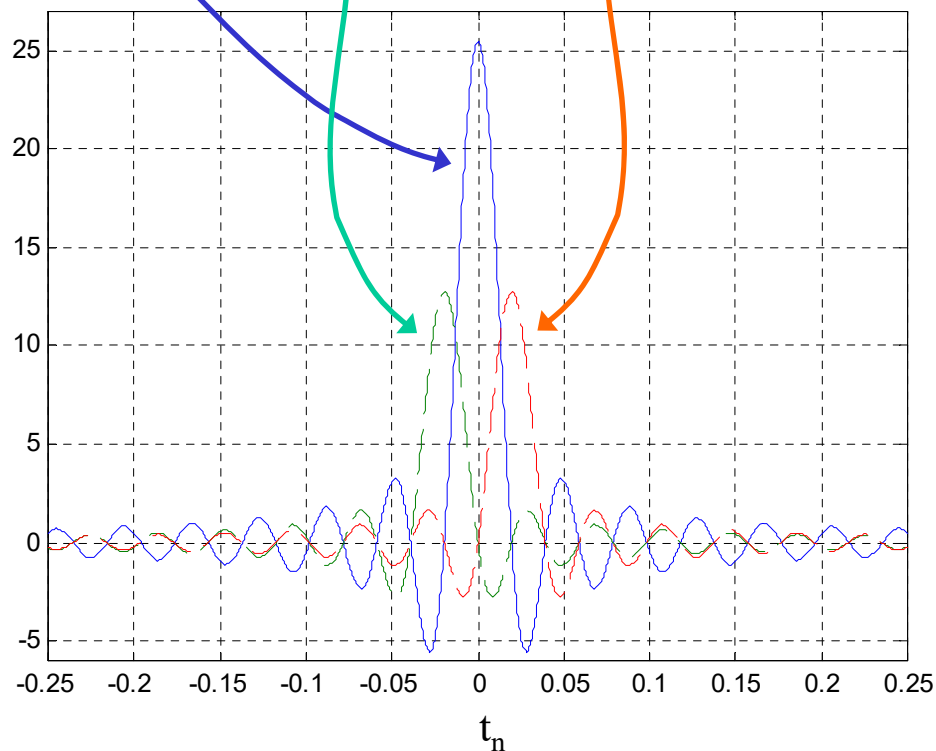


# $\cos^\alpha(x)$ Windows $\rightarrow$ Hanning Window ( $\alpha=2$ )

$$w_k = \cos^2\left[\frac{k}{N-1}\pi\right] = \frac{1}{2}\left[1 + \cos\left[\frac{2k}{N-1}\pi\right]\right] = \frac{1}{2} + \frac{1}{2}\cos\left[\frac{2k}{N-1}\pi\right] \quad k = -\frac{N-1}{2}, \dots, -1, 0, 1, \dots, \frac{N-1}{2}$$

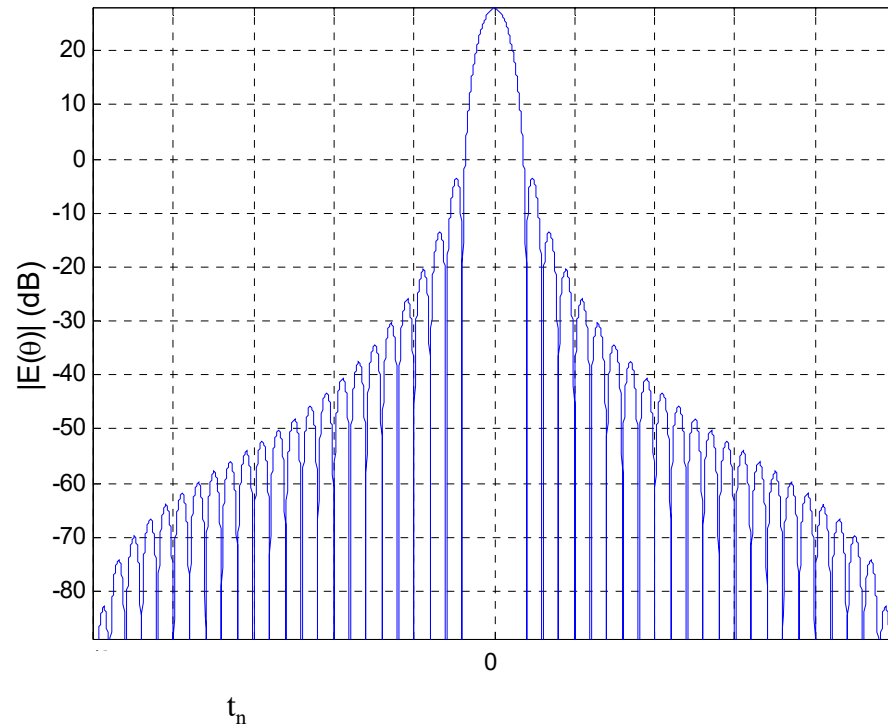
$$g(t_n) = \left\{ \frac{1}{2}D(x) + \frac{1}{4}\left[ D\left(x + \frac{\pi}{N}\right) + D\left(x - \frac{\pi}{N}\right) \right] \right\}$$

$$D(x) = \frac{\sin\left[\frac{\pi}{T}Nt_n\right]}{\sin\left[\frac{\pi}{T}t_n\right]}$$



# $\cos^\alpha(x)$ Windows $\rightarrow$ Hanning Window ( $\alpha=2$ )

---



- It does not require extra memory and is controlled by a single parameter.
- Wide enlargement of the main lobe
- Low efficiency:  $\eta=0.67$
- SLR=32dB
- SL Decay  $\propto 1/x^3$  (-18dB/oct)  
(discontinuity in the second derivative)

# Hamming Window (1/2)

The Hamming weights are a modified version of the Hanning weights:

$$\text{Hanning} \left\{ \begin{array}{l} w_k = \frac{1}{2} + \frac{1}{2} \cos \left[ \frac{2k}{N-1} \pi \right] \quad k = -\frac{N-1}{2}, \dots, -1, 0, 1, \dots, \frac{N-1}{2} \\ g(t_n) = \left\{ \frac{1}{2} D(x) + \frac{1}{4} \left[ D\left(x + \frac{\pi}{N}\right) + D\left(x - \frac{\pi}{N}\right) \right] \right\} \end{array} \right.$$

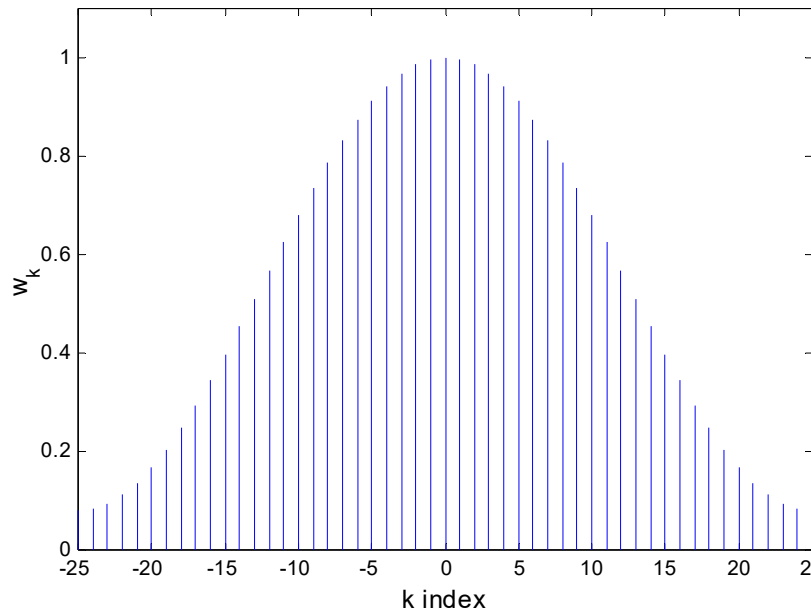
It is obtained by modifying the coefficients of the combination of D(x) functions to achieve a better SL cancellation

$$\left\{ \begin{array}{l} w_k = \gamma + (1-\gamma) \cos \left[ \frac{2k}{N-1} \pi \right] \quad k = -\frac{N-1}{2}, \dots, -1, 0, 1, \dots, \frac{N-1}{2} \\ g(t_n) = \left\{ \gamma D(x) + \frac{1}{2} (1-\gamma) \left[ D\left(x + \frac{\pi}{N}\right) + D\left(x - \frac{\pi}{N}\right) \right] \right\} \end{array} \right.$$

Cancellation of the first sidelobe is for  $\gamma=0.543478261$ . in practice, it is used  $\gamma=0.54$ :

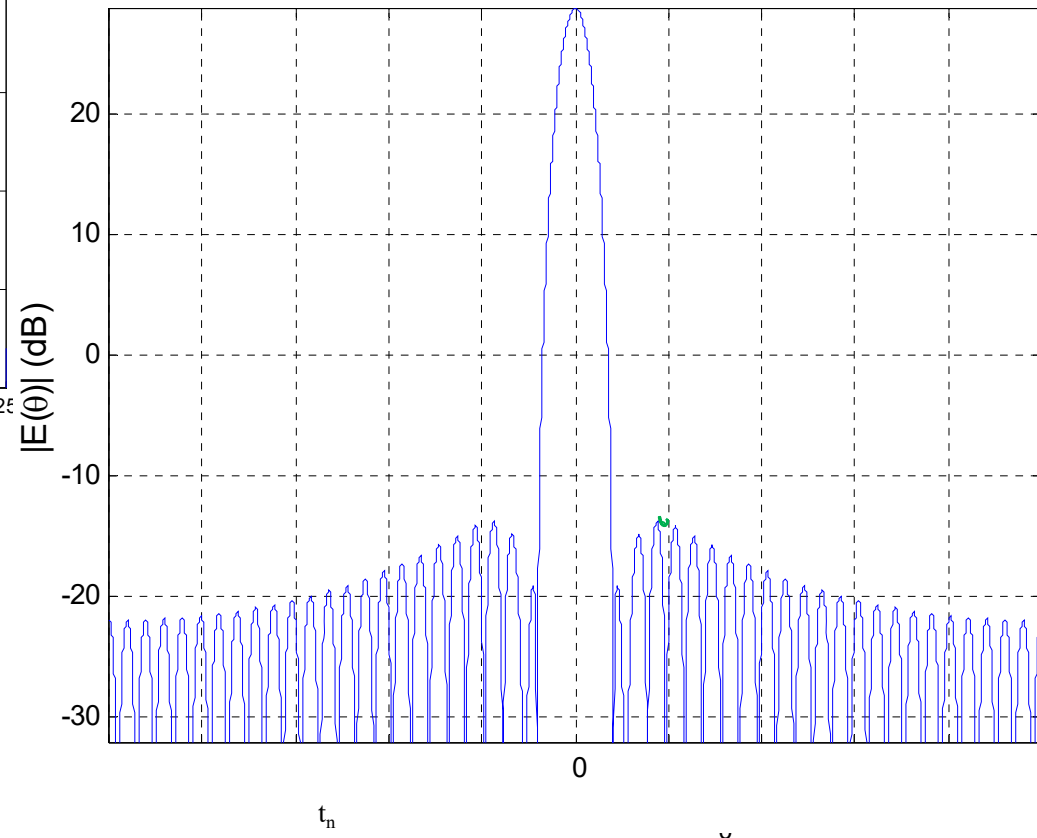
$$\text{Hamming} \left\{ \begin{array}{l} w_k = 0.54 + 0.46 \cos \left[ \frac{2k}{N-1} \pi \right] \quad k = -\frac{N-1}{2}, \dots, -1, 0, 1, \dots, \frac{N-1}{2} \\ g(t_n) = \left\{ 0.54 D(x) + \frac{1}{2} 0.46 \left[ D\left(x + \frac{\pi}{N}\right) + D\left(x - \frac{\pi}{N}\right) \right] \right\} \end{array} \right.$$

# Hamming Window (2/2)



- SLR=43dB
- SL Decay  $\propto 1/x$  (-6dB/oct)  
(discontinuity at the extremes)

- large attenuation of the first SL of the original compressed waveform
- Better efficiency than Hanning:  $\eta=0.73$



# Blackman Windows

---

- Hanning and Hamming taper functions belong to the “raised cosine” family
- Both are special cases of the Blackman windows (windows function of  $(N+1)/2$  parameters) with only  $\gamma_0$  and  $\gamma_1$  non-zero coefficients :

$$w_k = \sum_{m=0}^{(N-1)/2} \gamma_m \cos\left(\frac{2\pi}{N-1}mk\right) \quad \sum_{m=0}^{(N-1)/2} \gamma_m = 1 \quad k = -\frac{N-1}{2}, \dots, -1, 0, 1, \dots, \frac{N-1}{2}$$

## Difficulties with the family of windows:

- The choice of parameters to achieve the desired waveform characteristics is difficult (complex inversion)
- Often the characteristics are not adequate in terms of resolution and efficiency.

## Sistemi Radar

---

# Dolph-Chebyshev Window (1/3)

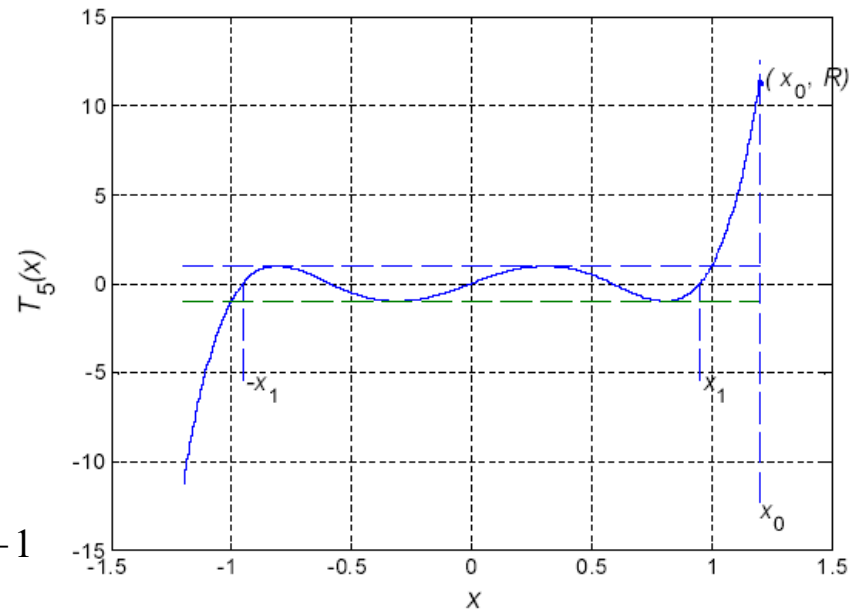
It provides the maximal resolution for assigned sidelobe (constant) level!

The design is based on the properties of the **Chebyshev polynomials** :

$$T_n(u) = \begin{cases} (-1)^n \cosh(n \cosh^{-1}|u|) & u < -1 \\ \cos(n \cos^{-1}u) & |u| \leq 1 \\ \cosh(n \cosh^{-1}u) & u > 1 \end{cases}$$

## Properties:

- $T_n(u) = 2uT_{n-1}(u) - T_{n-2}(u)$
- Zeros in  $|u| \leq 1, u_p = \cos\left[(2p-1)\frac{\pi}{2n}\right] \quad p = 1, \dots, n$
- Maxima and minima in  $u_k = \cos\left[\frac{k\pi}{n}\right] \quad k = 1, \dots, n-1$
- Also  $T_n(u_k) = \pm 1$



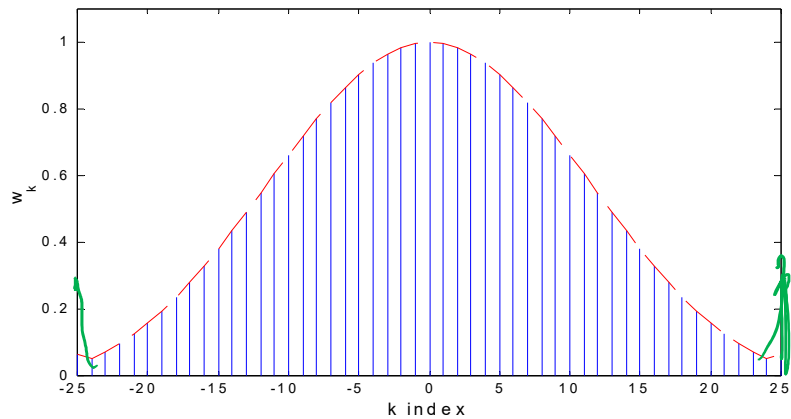
H.L. Van Trees, Optimum Array Processing, Wiley

For a window of  $N$  elements, a polynomial with order  $n=N-1$  is used ( $N-1$  zeros).

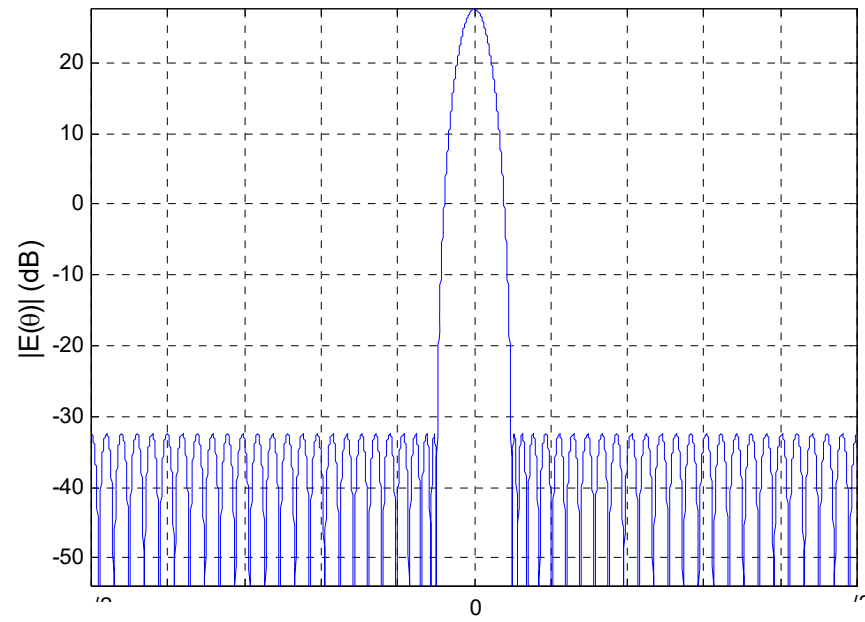
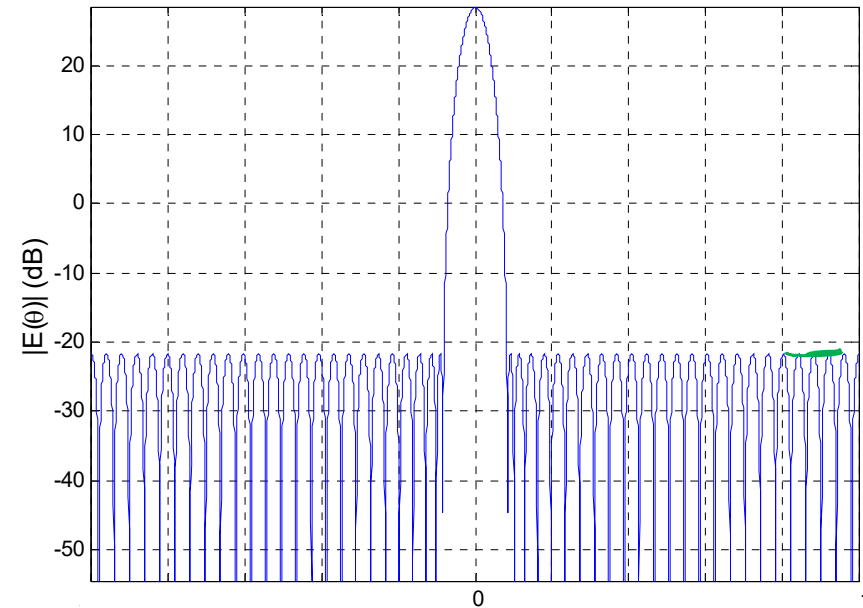
The oscillating part of the polynomial is used for the sidelobes, while the main lobe is mapped in the region  $x > 1$ .

## Sistemi Radar

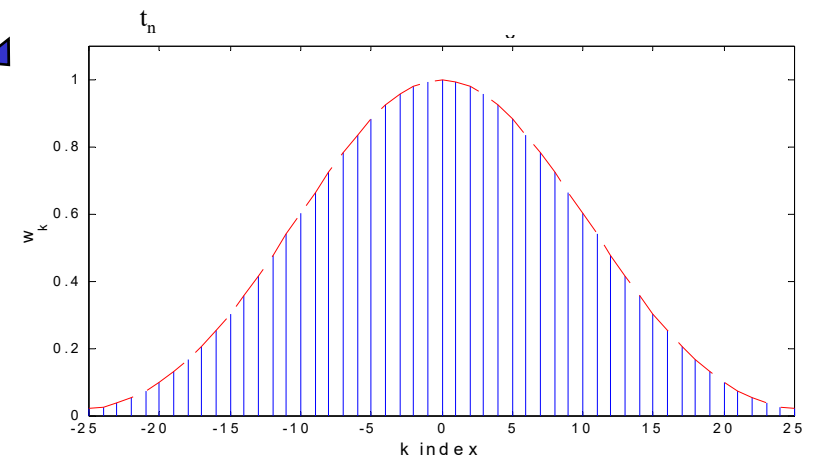
# Dolph-Chebyshev Window (2/3)



**SLR=50dB**

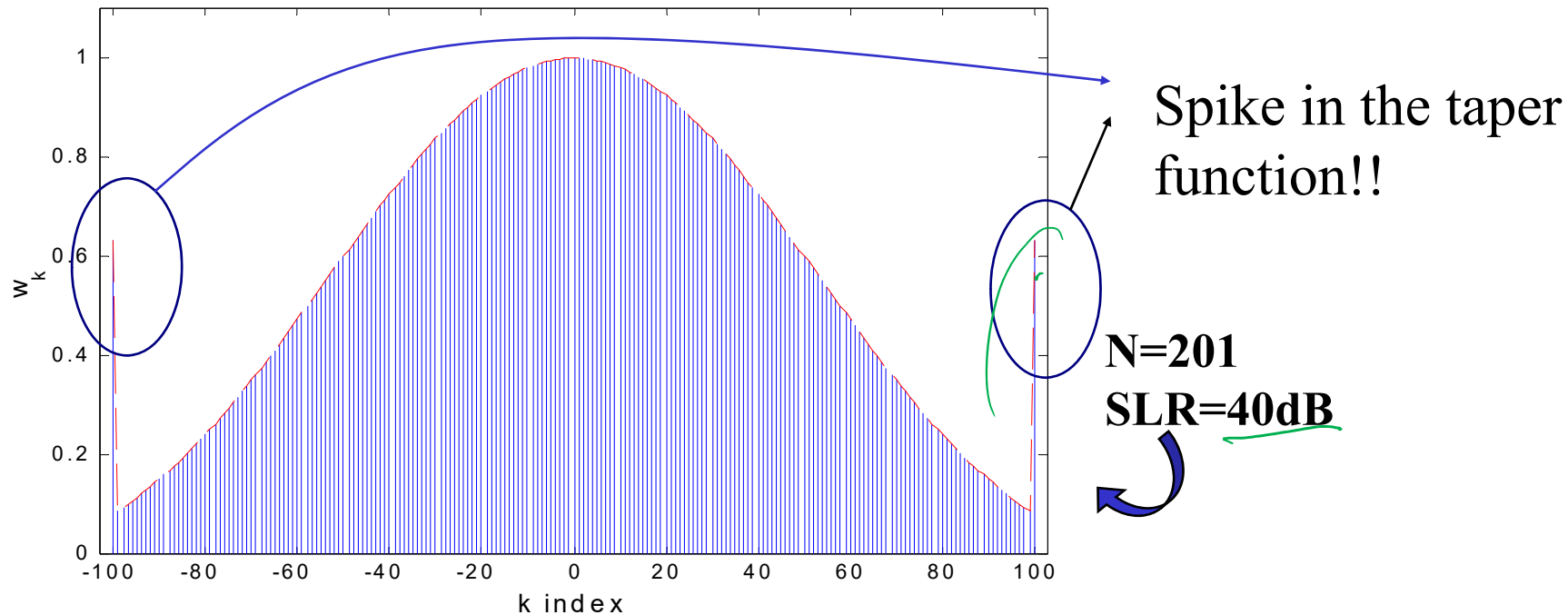


**SLR=60dB**





# Dolph-Chebyshev Window (3/3)



For this reason, such taper function is not used in practice.

The Taylor taper function is studied to solve such undesired feature, while keeping the nice properties of the Dolph-Chebyshev solution.

# Taylor n-bar Window (1/4)

---

This is a trade-off between Dolph-Chebyshev taper function with constant RSL and the uniform weights with 1/x sidelobe decay.

## Starting point

$$\begin{cases} F(u) = \cosh\left[\pi\sqrt{A^2 - u^2}\right] & u \leq A \\ F(u) = \cos\left[\pi\sqrt{u^2 - A^2}\right] & u \geq A \end{cases}$$

- $u = 2x/\pi$
- Pattern with constant level sidelobes
- There is a transition in the main lobe at  $u=A$  between the hyperbolic function and the trigonometric function
- Zeros at  $\rightarrow z_n = \pm\sqrt{A^2 + (n-1/2)^2}$
- $SLR = F(0) = (1/\pi)\cosh A$

## Strategy

Using this ideal pattern, there are still spikes at the window borders  $\rightarrow$  an approximate pattern is used where:

- The first  $\bar{n}$  sidelobes are maintained at a constant level
- The pattern zeros are moved to achieve a 1/u behavior in the sidelobe level region far from the main beam

## Sistemi Radar

# Taylor n-bar Window (2/4)

New zeros:

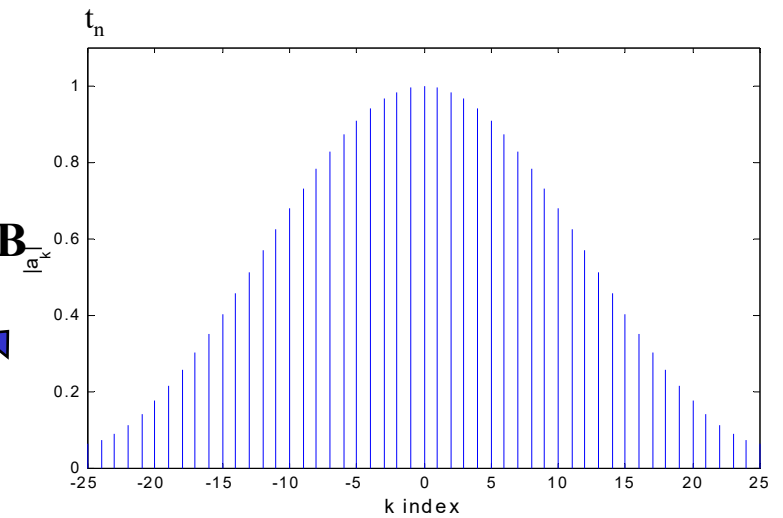
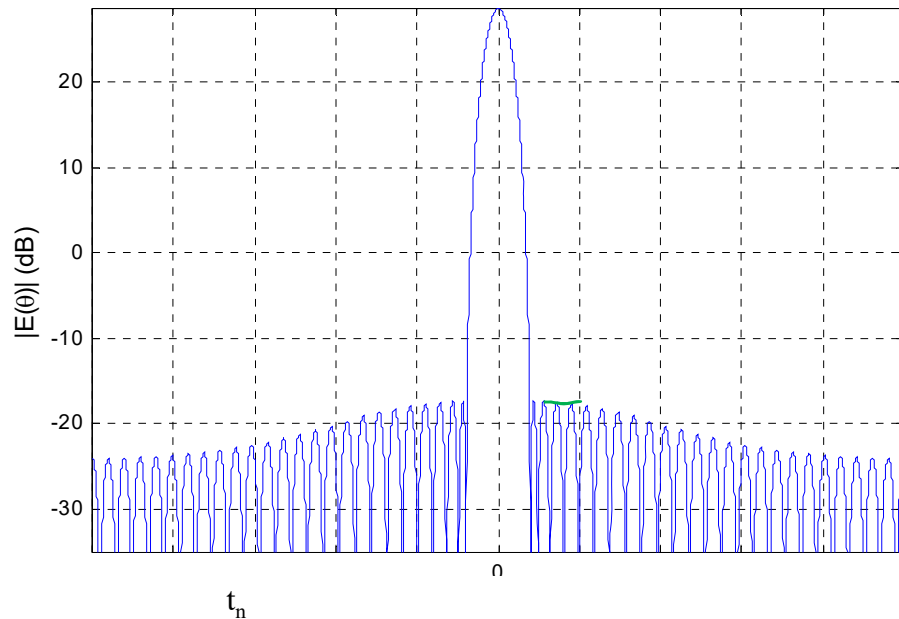
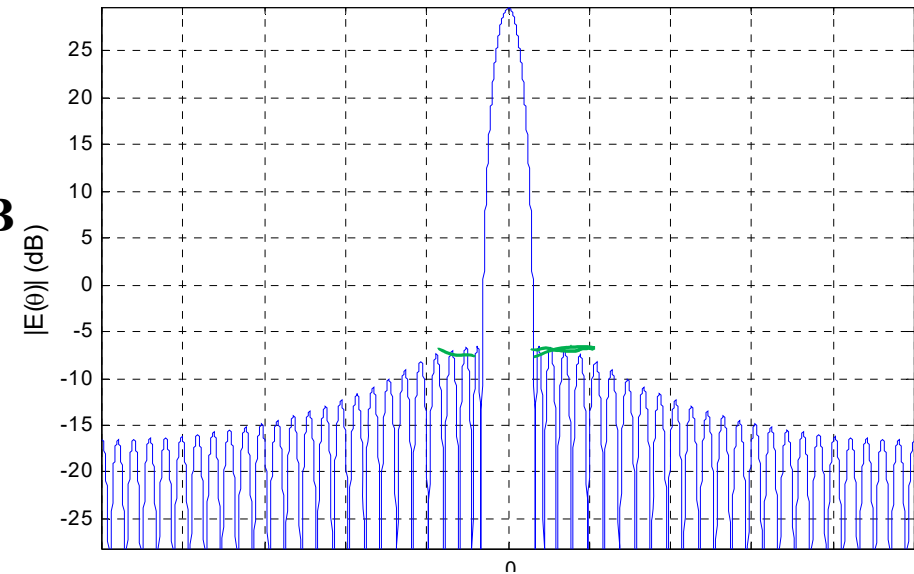
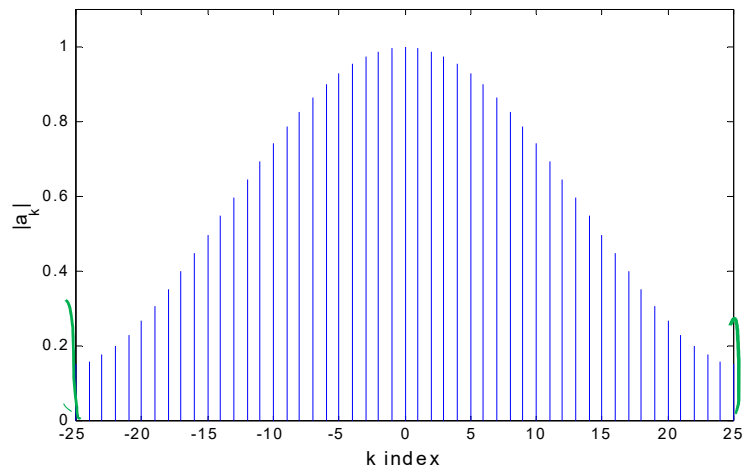
$$\begin{cases} z_n = \pm \sigma \sqrt{A^2 + (n-1/2)^2} & 1 \leq n \leq \bar{n} \\ z_n = \pm n & n \geq \bar{n} \end{cases} \quad \sigma = \frac{\bar{n}}{\sqrt{A^2 + (\bar{n} - 1/2)^2}}$$

$$F(u) = \frac{\sin \pi u}{\pi u} \prod_{n=1}^{\bar{n}-1} \frac{1 - \left(\frac{u}{z_n}\right)^2}{1 - \left(\frac{u}{n}\right)^2} \quad \text{Inversion} \quad \Rightarrow \quad w_k = \left[ 1 + 2 \sum_{n=1}^{\bar{n}-1} F(n, A, \bar{n}) \cos\left(n\pi \frac{2k}{(N-1)}\right) \right] / w_{MAX}$$

with  $F(n, A, \bar{n}) = \frac{[(\bar{n}-1)!]^2}{(\bar{n}-1+n)! (\bar{n}-1-n)!} \prod_{m=1}^{\bar{n}-1} \left[ 1 - \left(\frac{n}{z_m}\right)^2 \right]$

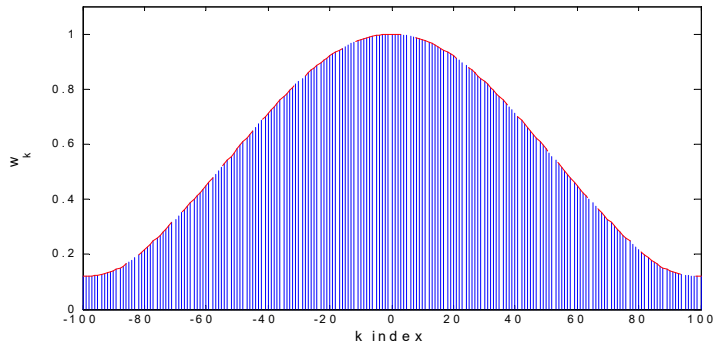
$$k = -\frac{N-1}{2}, \dots, -1, 0, 1, \dots, \frac{N-1}{2}$$

# Taylor n-bar Window (3/4)



Sistemi Radar

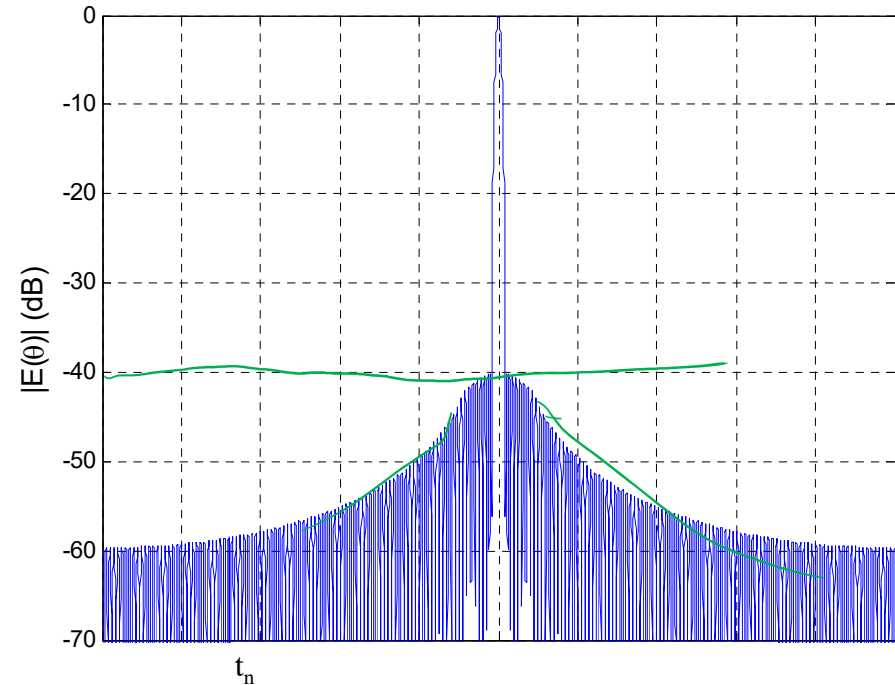
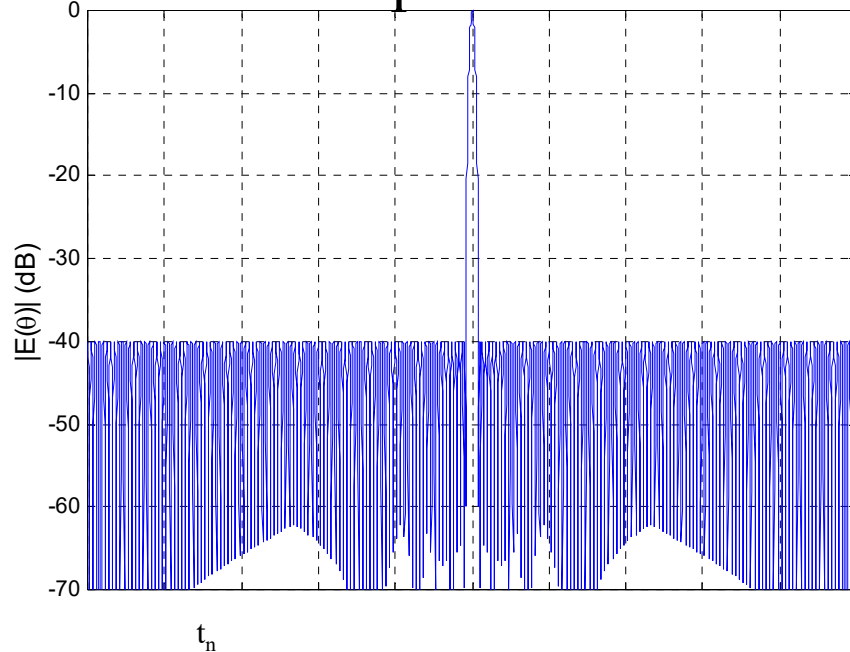
# Taylor n-bar Window (4/4)



$\bar{n} = 10$   
 $N = 201$   
 $SLR = 40\text{dB}$



No spikes!



**Chebyshev  
 pattern  
 -40dB**



- Good approximation for the first SL
- SL asymptotic decay  $\propto 1/x$
- Main beam widening
- $n$  cannot be too small for an assigned SLR, but large  $n$  values yield implementation problems

# Rete di Taylor: coefficienti

$$4. \quad w_{\text{Toy}}(t) = \sum_{m=-\bar{n}}^{\bar{n}} F_m w_0\left(t - \frac{m}{B}\right)$$

where

$$F_0 = 1, F_m = 0 \text{ for } |m| \geq \bar{n}$$

and

$$F_m = E_m$$

TAYLOR WEIGHTING:

$$W_{\text{Toy}}(f) =$$

$$W_0(f) \left[ 1 + 2 \sum_{m=1}^{\bar{n}-1} F_m \cos 2\pi m \frac{f}{B} \right]$$

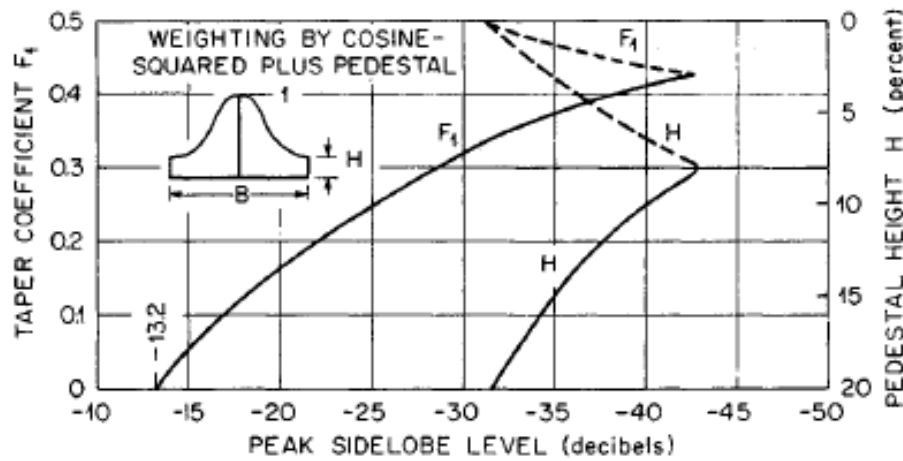
(REFS. 39,42,43)

TABLE 10.9 Taylor Coefficients  $F_m$ \*

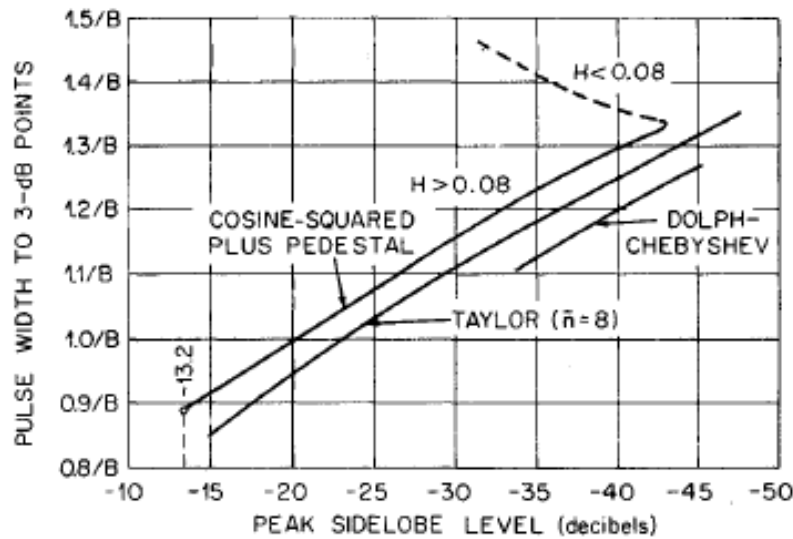
Design sidelobe ratio, dB	-30	-35	-40	-40	-45	-45	-50
$\bar{n}$	4	5	6	8	8	10	10
Main lobe width, -3 dB	$1.13/B$	$1.19/B$	$1.25/B$	$1.25/B$	$1.31/B$	$1.31/B$	$1.36/B$
$F_1$	0.292656	0.344350	0.389116	0.387560	0.428251	0.426796	0.462719
$F_2$	-0.157838(-1)	-0.151949(-1)	-0.945245(-2)	-0.954603(-2)	0.208399(-3)	-0.682067(-4)	0.126816(-1)
$F_3$	0.218104(-2)	0.427831(-2)	0.488172(-2)	0.470359(-2)	0.427022(-2)	0.420099(-2)	0.302744(-2)
$F_4$		-0.734551(-3)	-0.161019(-2)	-0.135350(-2)	-0.193234(-2)	-0.179997(-2)	-0.178566(-2)
$F_5$			0.347037(-3)	0.332979(-4)	0.740559(-3)	0.569438(-3)	0.884107(-3)
$F_6$				0.357716(-3)	-0.198534(-3)	0.380378(-5)	-0.382432(-3)
$F_7$				-0.290474(-3)	0.339759(-5)	-0.224597(-3)	0.121447(-3)
$F_8$						0.246265(-3)	-0.417574(-5)
$F_9$						-0.153486(-3)	-0.249574(-4)

\* $F_0 = 1$ ;  $F_{-m} = F_m$ ; floating decimal notation:  $-0.945245(-2) = -0.00945245$ .

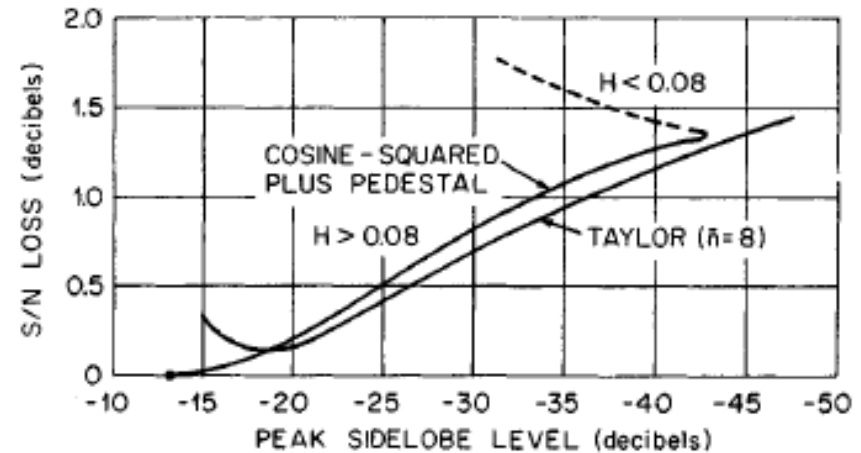
# Confronto reti di pesatura



(a)

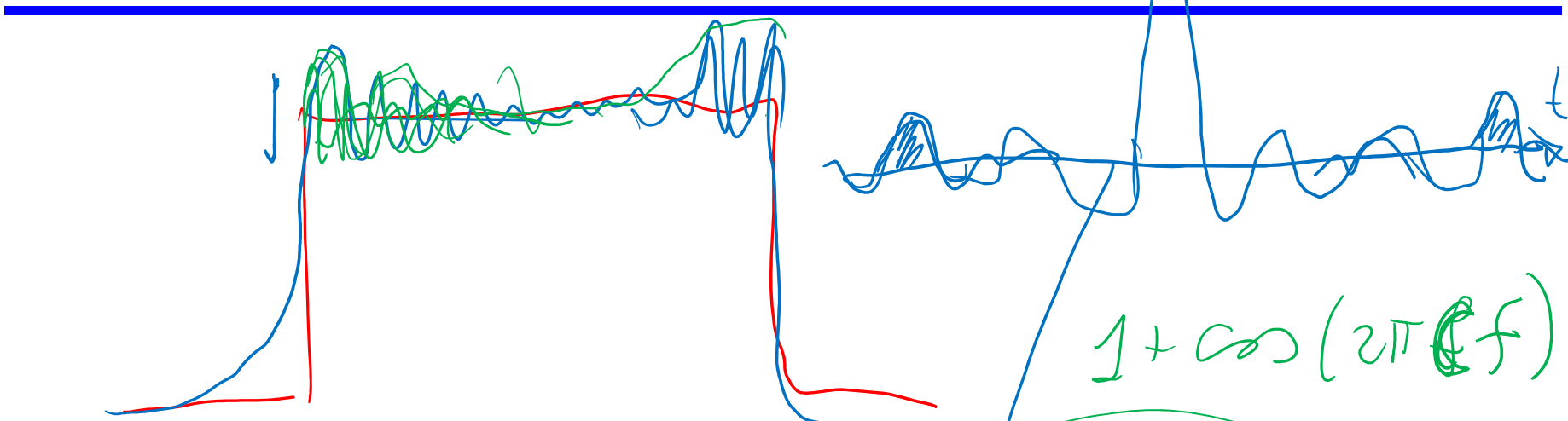


(b)

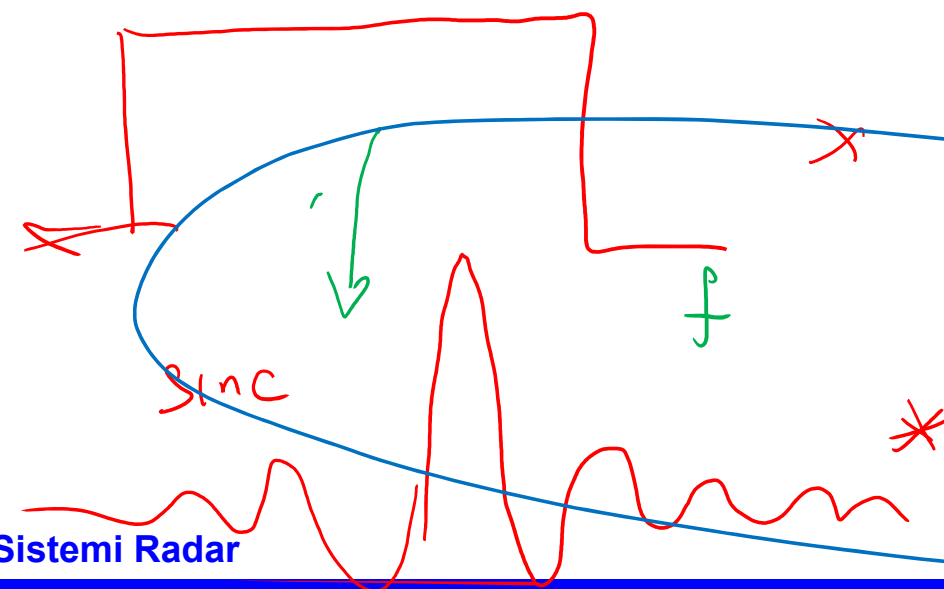
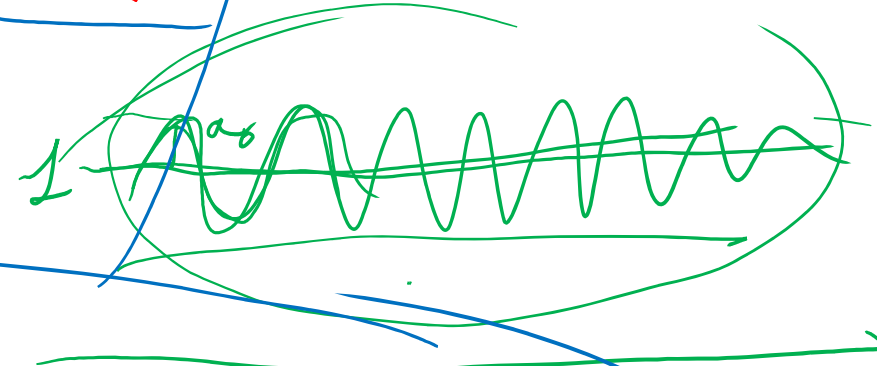


(c)

**FIG. 10.16** (a) Taper coefficient and pedestal height versus peak sidelobe level. (b) Compressed-pulse width versus peak sidelobe level. (c) SNR loss versus peak sidelobe level.



$$1 + \cos(2\pi f)$$



sinc

$$S(f) = \frac{a_0}{2} \delta(t - \tau) + \frac{a_0}{2} \delta(t + \tau)$$

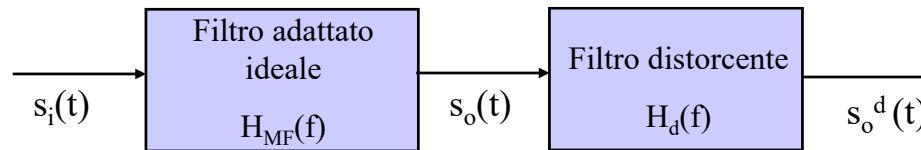
$\frac{a_0}{2}$      $\frac{a_0}{2}$   
 $\uparrow$      $\uparrow$   
 $P$      $P$



# Distorsioni lineari (I)

**Effetto delle distorsioni**

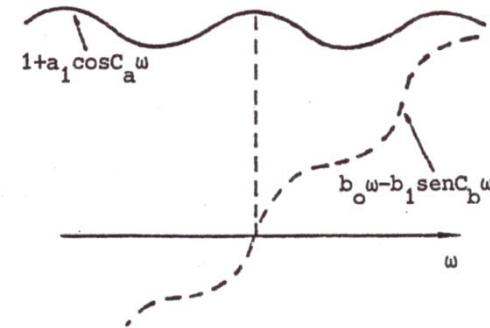
- Il sistema reale sarà affetto da distorsioni (non sarà esattamente uguale a quello ideale): tutte le distorsioni di sistema possono essere sintetizzate in un filtro distorcente posto in cascata al filtro adattato ideale:



- Nell'ipotesi di piccole distorsioni la  $H_d(f)$  può essere sviluppata in serie arrestandosi al primo termine

$$H_d(f) = A(f)e^{jB(f)} \rightarrow \begin{cases} A(f) = 1 + a_1 \cos(2\pi C_a f) \\ e^{jB(f)} = e^{jb_1 \sin(2\pi C_b f)} \cong 1 + jb_1 \sin(2\pi C_b f) \end{cases}$$

- $a_1$ : valore di picco della componente di ampiezza;
- $b_1$ : valore di picco della componente di fase;
- $C_a$ : frequenza ripple di ampiezza;
- $C_b$ : frequenza ripple di fase;



# Distorsioni lineari (II)

---

- Il segnale di uscita distorto è dato da:

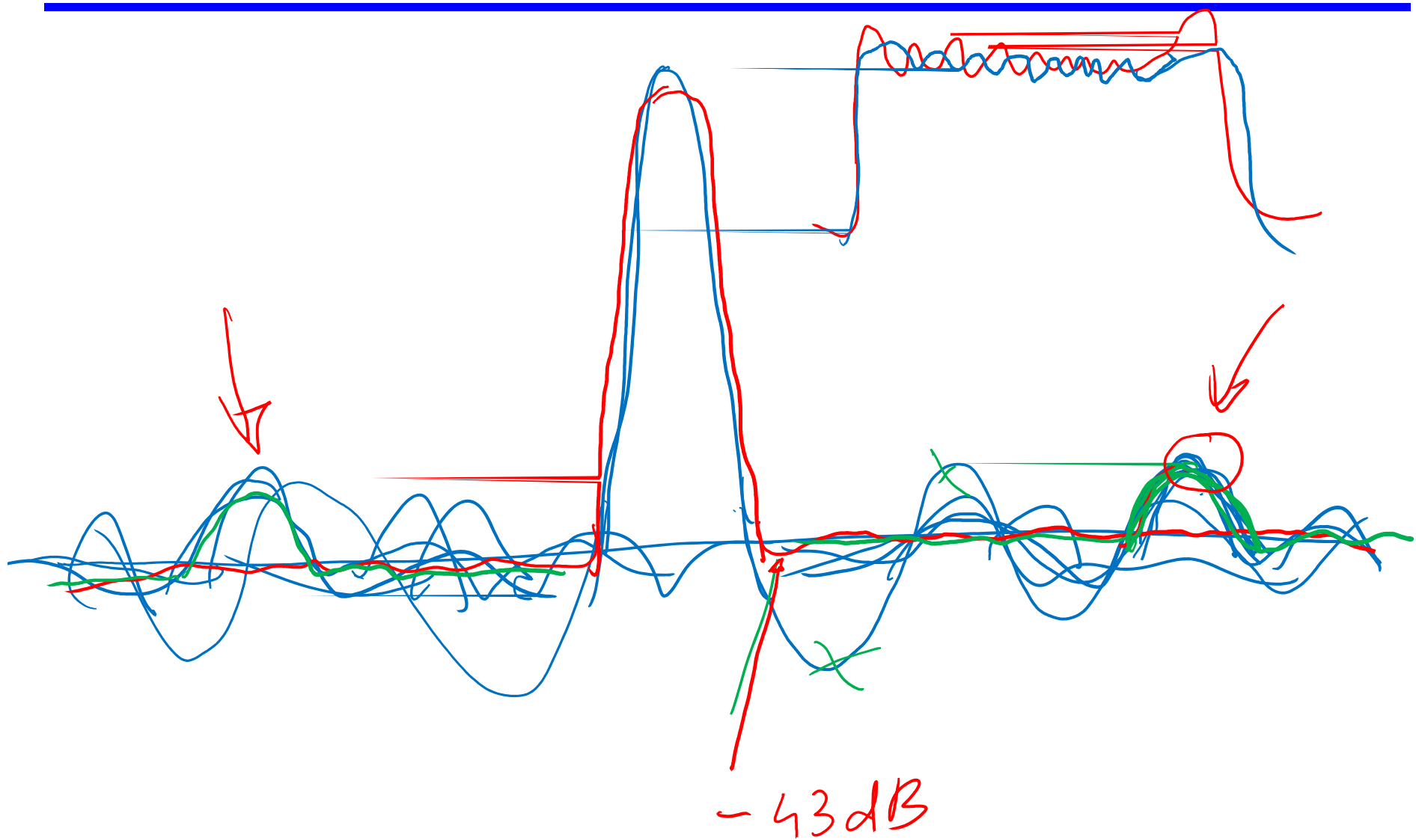
$$s_o^d(t) = s_o(t) + \frac{a_1}{2} s_o(t + C_a) + \frac{a_1}{2} s_o(t - C_a) \longrightarrow \text{effetto della distorsione di ampiezza;}$$

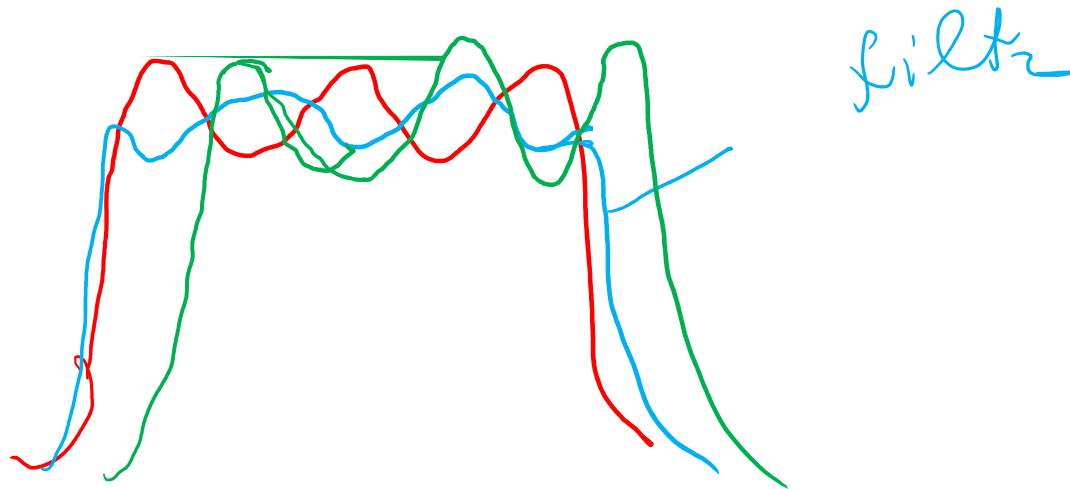
$$s_o^d(t) = s_o(t) + \frac{b_1}{2} s_o(t + C_b) - \frac{b_1}{2} s_o(t - C_b) \longrightarrow \text{effetto della distorsione di fase;}$$

**ECHI  
APPAIATI**



L'utilizzo di filtri reali anziché ideali comporta la presenza di un disturbo additivo dato dagli echi appaiati: tanto maggiore è  $a_1$  &  $b_1$  tanto maggiore è l'ampiezza dell'eco, tanto minore è  $C_a$  &  $C_b$  (ripple lento) tanto più gli echi appaiati compaiono vicini al segnale utile  $\Rightarrow$  dalle specifiche di dinamica si può ricavare la massima distorsione ammissibile (valore massimo  $a_1$  &  $b_1$ ).





# Chirp approximation and sidelobes (II)

- Side Lobe di Fresnel

Porzione trascurata nell'approx rettangolare

Importante per bassi rapporti di compressione

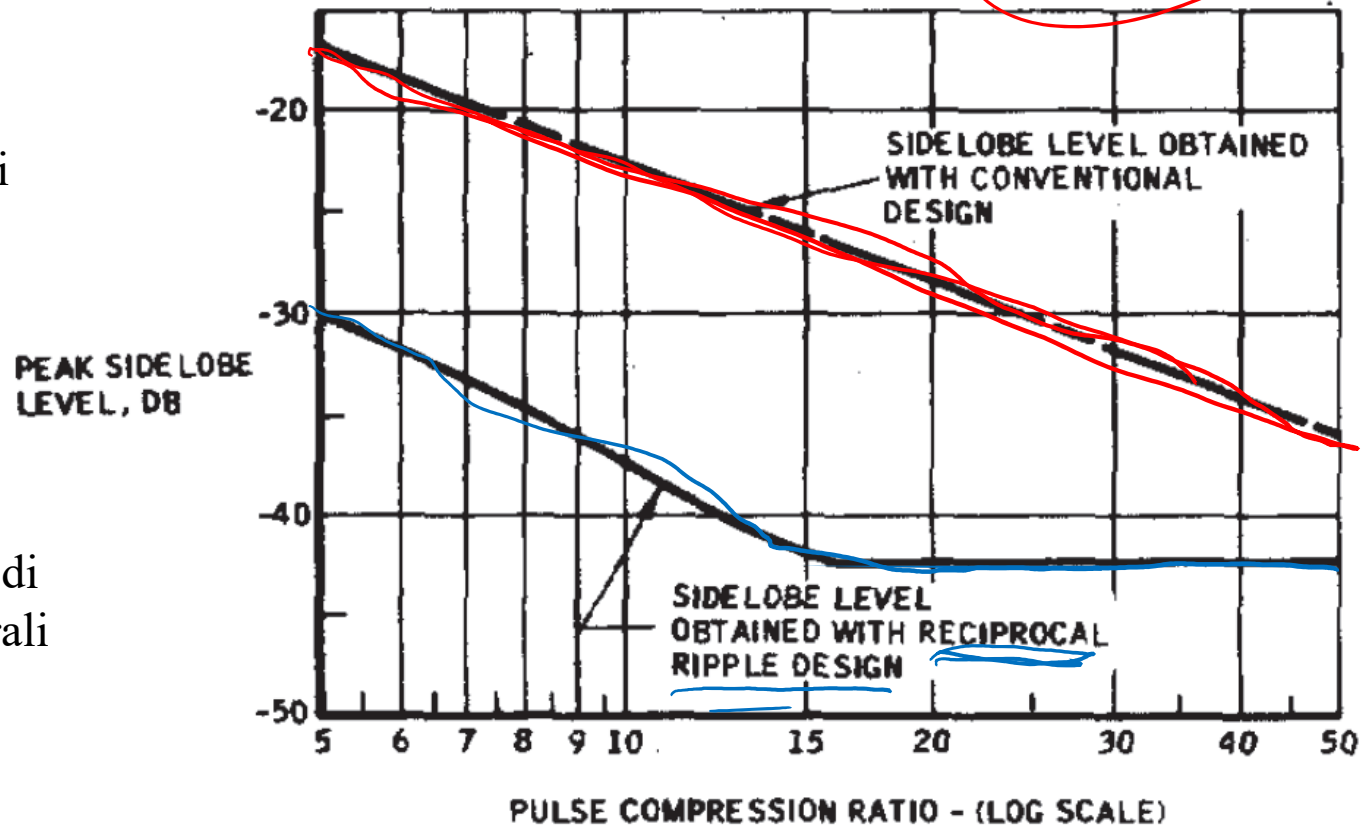
Limita la possibilità di abbassare i lobi laterali tramite pesatura

$$S.L.F. |_{dB} = 20 \log(BT) + 3$$

$BT = 100$

$- 43dB$

$50dB$



# Codici di Barker

Sono codici binari di lunghezza  $N$ , caratterizzati da Funzione di AutoCorrelazione (ACF) con lobi laterali in modulo  $\leq 1/N$

- Esistono solo poche sequenze con queste caratteristiche:

Lunghezza $N$	codice	PSR (dB)	ISLR (dB)
2	+ -	6,0	3,0
2	++	6,0	3,0
3	++-	9,5	6,5
3	+ - +	9,5	6,5
4	++-+	12,0	6,0
4	+++ -	12,0	6,0
5	+++ - +	14,0	8,0
7	+++ - - + -	16,9	9,1
11	+++ - - - + - - + -	20,8	10,8
13	+++++ - - + - - + - +	22,3	11,5

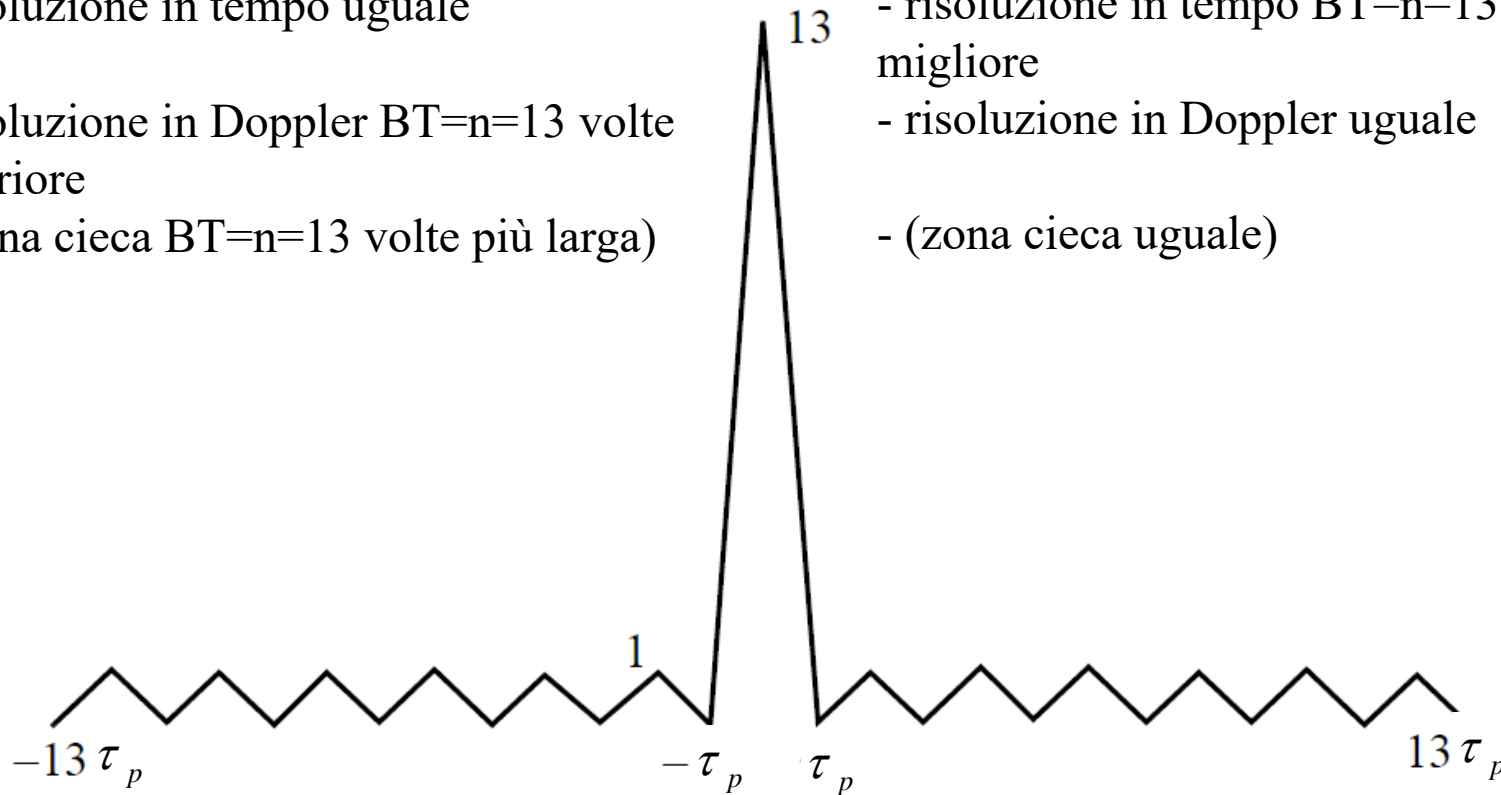
# ACF del codice di Barker da 13

Rispetto ad impulso non modulato  $\tau_p$ :

- Energia trasmessa  $BT=n=13$  volte superiore
- risoluzione in tempo uguale
- risoluzione in Doppler  $BT=n=13$  volte superiore
- (zona cieca  $BT=n=13$  volte più larga)

Rispetto ad impulso non modulato  $T=n\tau_p$ :

- Energia trasmessa uguale
- risoluzione in tempo  $BT=n=13$  volte migliore
- risoluzione in Doppler uguale
- (zona cieca uguale)



Sistemi Radar

# Codice Polifase di Frank (I)

- Usando  $M$  valori di fase
- Numero di elementi  $N=M^2$
- Costruito dalle righe della matrice quadrata:

$$\phi_{pq} = \frac{2\pi}{M}(p-1)(q-1) \quad p, q = 1, \dots, M$$

- Per  $N=16$

$$\phi_{pq} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & \pi/2 & \pi & 3\pi/2 \\ 0 & \pi & 2\pi & 3\pi \\ 0 & 3\pi/2 & 3\pi & 9\pi/2 \end{bmatrix}$$

$$s_{0pq} = e^{j\phi_{pq}}$$

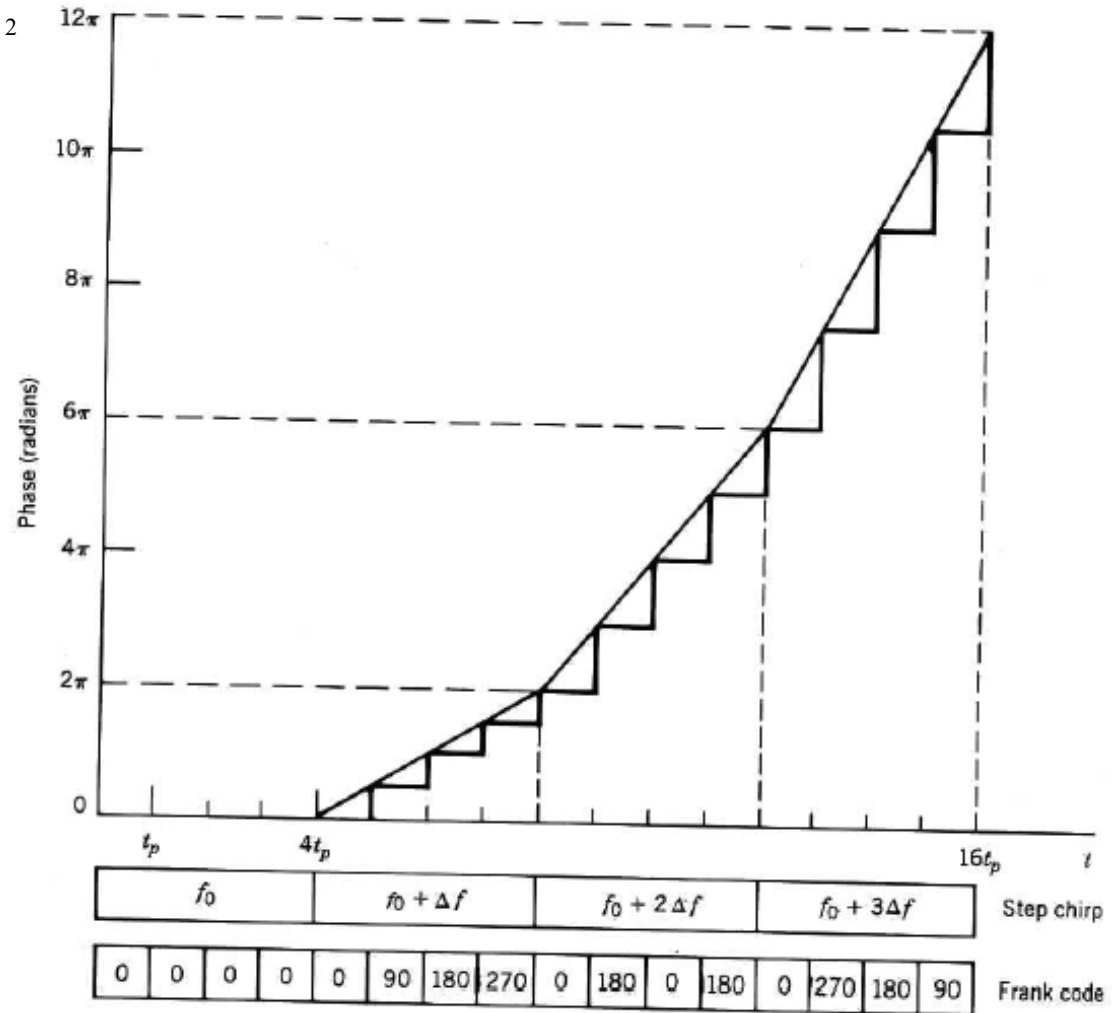
$$\begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & j & -1 & -j \\ 1 & -1 & 1 & -1 \\ 1 & -j & -1 & j \end{bmatrix}$$



# Codice Polifase di Frank (II)

$$\phi_m = \frac{2\pi}{M}(m-1)\left(\left\lfloor \frac{m}{M} \right\rfloor - 1\right) \quad m = 1, \dots, M^2$$

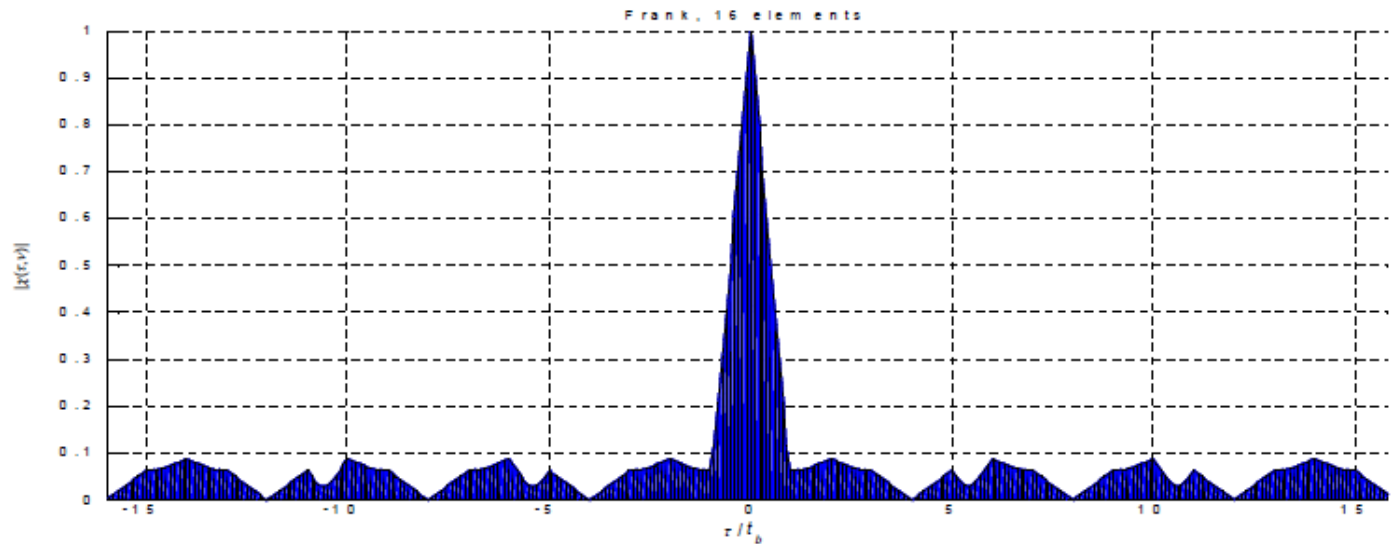
Forma di quantizzazione di un segnale chirp



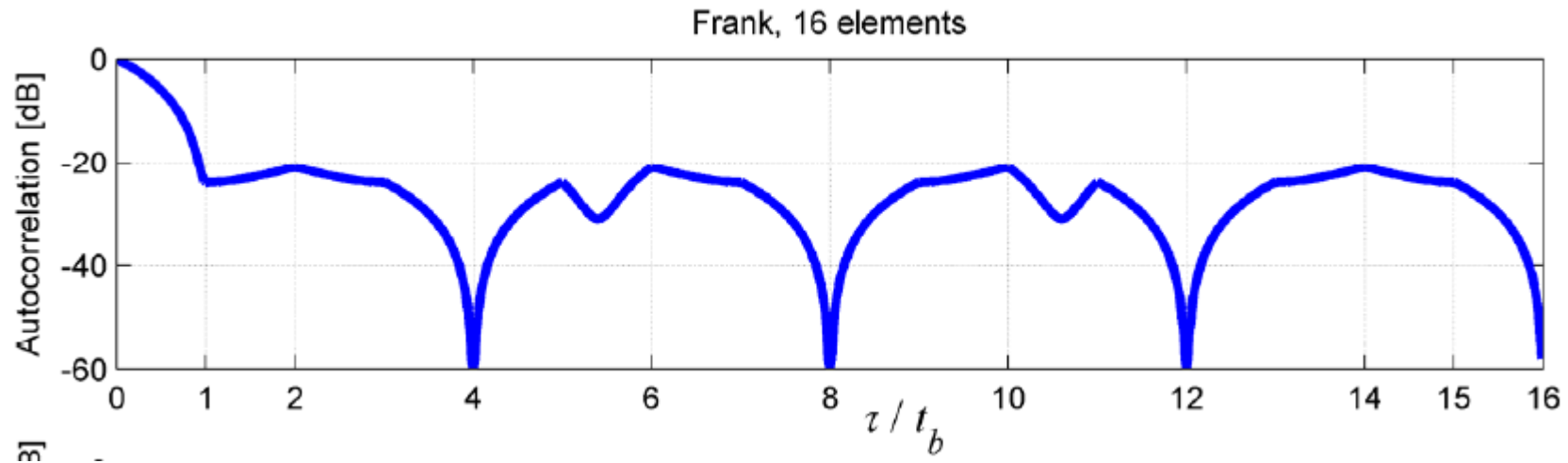
# Codice Polifase di Frank (III)

Table 8.5 The Autocorrelation Sequence of a 16-Bit Frank Code

$(u_n)$	1	1	1	1	1	$j$	$-j$	1	$-1$	1	$-1$	1	$-j$	$-1$	$j$																	
$(u_{n+1}^*)$																																
$-j$	$-j$	$-j$	$-j$	$-j$	$-j$	$1$	$j$	$-1$	$-j$	$j$	$-j$	$-1$	$j$	$1$																		
$-1$		$-1$	$-1$	$-1$	$-1$	$-j$	$1$	$j$	$-1$	$1$	$-1$	$1$	$-1$	$j$	$1$	$-j$																
$j$			$j$	$j$	$j$	$j$	$-1$	$-j$	$1$	$-j$	$j$	$-1$	$j$	$1$	$-j$	$-1$																
$1$				$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$																
$-1$				$-1$	$-1$	$-1$	$-1$	$-1$	$-1$	$-1$	$-1$	$-1$	$-1$	$-1$	$-1$	$-1$																
$1$					$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$																
$-1$						$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$																
$1$							$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$																
$-1$								$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$	$1$																
$j$									$j$	$-j$	$j$	$-j$	$j$	$-j$	$j$	$-j$																
$-1$										$-1$	$-1$	$-1$	$-1$	$-1$	$-1$	$-1$																
$-j$											$-j$	$-j$	$-j$	$-j$	$-j$	$-j$																
$1$												$1$	$1$	$1$	$1$	$1$																
$1$													$1$	$1$	$1$	$1$																
$1$														$1$	$1$	$1$																
$1$															$1$	$1$																
$1$																$1$																
$1$																	$1$															
Output seq.	$-j$	$-1$	$-1$	$0$	$-1$	$1$	$j$	$0$	$j$	$-1$	$1$	$0$	$1$	$1$	$-j$	$16$	$j$	$1$	$1$	$0$	$1$	$-1$	$-j$	$0$	$-j$	$1$	$-1$	$0$	$-1$	$-1$	$j$	
		$-j$				$+j$			$+j$				$-j$				$+j$					$-j$				$-j$				$-j$		$+j$



# Codice Polifase di Frank (IV)



Per  $N=16$   $PSR = \frac{16}{\sqrt{2}} = 8\sqrt{2} = 11,3$  (21dB) *(peggiore di Barker)*

Per  $N$  grande  $PSR = \pi \sqrt{N} = \pi M \Rightarrow 9.94 + 10 * \log_{10}(N)$

$(N=100 \sim 30 \text{ dB})$

# Codici Polifase P3 e P4 (I)

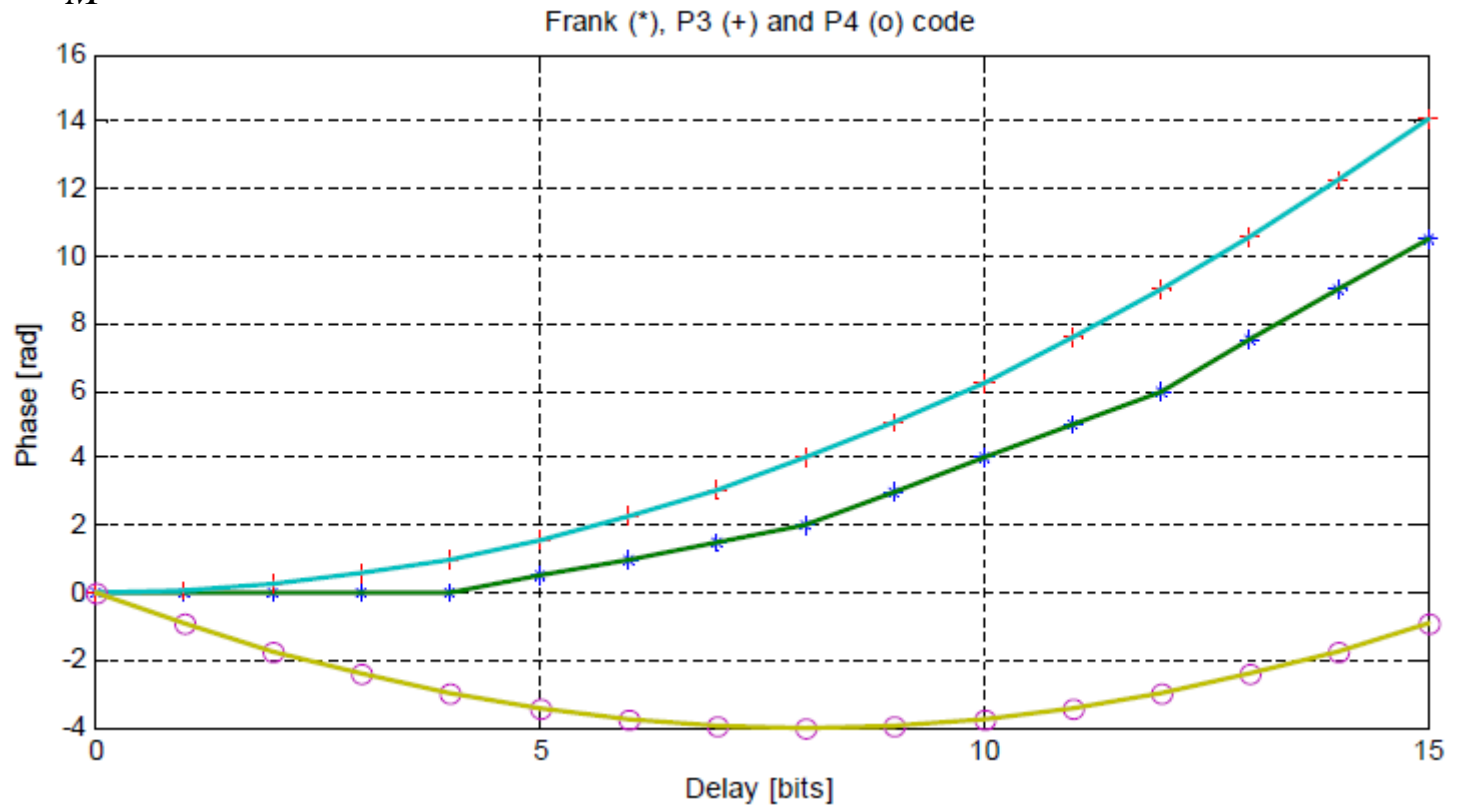
## Codice P3

per  $M$  pari  $\phi_m = \frac{\pi}{M}(m-1)^2 \quad m = 1, \dots, M$

per  $M$  dispari  $\phi_m = \frac{\pi}{M}(m-1)m \quad m = 1, \dots, M$

## Codice P4

$$\phi_m = \frac{\pi}{M}(m-1)^2 - \pi(m-1) \quad m = 1, \dots, M$$



# Codici Polifase P3 e P4 (III)

