# Mechanisms of gene silencing by double-stranded RNA

### **Gunter Meister & Thomas Tuschl**

Laboratory of RNA Molecular Biology, The Rockefeller University, 1230 York Avenue, Box 186, New York, New York 10021, USA (e-mail: ttuschl@rockefeller.edu)

Double-stranded RNA (dsRNA) is an important regulator of gene expression in many eukaryotes. It triggers different types of gene silencing that are collectively referred to as RNA silencing or RNA interference. A key step in known silencing pathways is the processing of dsRNAs into short RNA duplexes of characteristic size and structure. These short dsRNAs guide RNA silencing by specific and distinct mechanisms. Many components of the RNA silencing machinery still need to be identified and characterized, but a more complete understanding of the process is imminent.

irst discovered in plants, where it was known as post-transcriptional gene silencing, RNA silencing or RNA interference (RNAi)<sup>1</sup> occurs in a wide variety of eukaryotic organisms<sup>2,3</sup>. It is triggered by dsRNA precursors that vary in length and origin. These dsRNAs are rapidly processed into short RNA duplexes of 21 to 28 nucleotides in length, which then guide the recognition and ultimately the cleavage or translational repression of complementary single-stranded RNAs, such as messenger RNAs or viral genomic/antigenomic RNAs. The short RNAs have also been implicated in guiding chromatin modification (see review in this issue by Lippman and Martienssen, page 364).

According to their origin or function, three types of naturally occurring small RNA have been described: short interfering RNAs (siRNAs), repeat-associated short interfering RNAs (rasiRNAs) and microRNAs (miRNAs). In nature, dsRNA can be produced by RNA-templated RNA polymerization (for example, from viruses) or by hybridization of overlapping transcripts (for example, from repetitive sequences such as transgene arrays or transposons). Such dsRNAs give rise to siRNAs or rasiRNAs, which generally guide mRNA degradation and/or chromatin modification. In addition, endogenous transcripts that contain complementary or near-complementary 20- to 50-base-pair inverted repeats fold back on themselves to form dsRNA hairpins. These dsRNAs are processed into miRNAs that mediate translational repression, although they may also guide mRNA degradation. Finally, artificial introduction of long dsRNAs or siRNAs has been adopted as a tool to inactivate gene expression, both in cultured cells and in living organisms.

RNA silencing mechanisms were first recognized as antiviral mechanisms that protect organisms from RNA viruses<sup>4</sup>, or which prevent the random integration of transposable elements. But the general role of silencing in the regulation of gene expression only became apparent when it was realized that specific genes in plants and animals encode short forms of fold-back dsRNA<sup>5</sup> (the precursor molecules of miRNAs; see review in this issue by Ambros, page 350). Many of these miRNA genes are evolutionarily conserved. In plants, miRNAs mainly function as siRNAs that guide the cleavage of sequence-complementary mRNAs. In animals such as the nematode *Caenorhabditis elegans* miRNAs appear predominantly to inhibit translation by targeting partially complementary sequences located within the 3' untranslated region (UTR) of mRNAs. Here, we review the mechanisms of RNA gene silencing, and the roles of the proteins that make up the cellular posttranscriptional RNA silencing machinery. We discuss how dsRNAs are processed into small RNAs, how they are incorporated into effector complexes, and what the functions of these various complexes are. Finally, we discuss cellular regulatory processes and viral mechanisms that modulate RNA silencing efficiency. The picture that emerges is that RNA silencing is an evolutionarily conserved gene-regulatory mechanism with many species-specific variations, for example in the origin of the dsRNAs and in the number of homologous RNA silencing proteins expressed.

### Processing dsRNA precursors

The maturation of small RNAs is a stepwise process catalysed by dsRNA-specific RNase-III-type endonucleases, termed Drosha and Dicer, which contain catalytic RNase III and dsRNA-binding domains (dsRBDs) (Figs 1 and 2). Drosha is specifically required for the processing of miRNA precursors, but not for the processing of long dsRNA. miR-NAs are transcribed as long primary transcripts, which are first processed by Drosha in the nucleus<sup>6,7</sup>. When Drosha excises the fold-back miRNA precursor, a 5' phosphate and a 2-nucleotide 3' overhang remain at the base of the stem<sup>7.8</sup>. The miRNA precursor is then exported to the cytoplasm by means of the nuclear export receptor, exportin-5 (refs 9-11). Because exportin-5 lacks an obvious single-stranded or double-stranded RBD, it is not known whether the miRNA precursor binds directly to exportin-5 or to an RNA-binding adaptor protein.

Once it is in the cytoplasm, the miRNA precursor is further processed by Dicer<sup>12–16</sup>. Processing of dsRNAs by Dicer yields RNA duplexes of about 21 nucleotides in length, which — like Drosha-processing products — have 5' phosphates and 2-nucleotide 3' overhangs<sup>17</sup>. Several organisms contain more than one Dicer gene, with each Dicer preferentially processing dsRNAs that come from a specific source (Fig. 1). Drosophila melanogaster has two paralogues: Dicer-1 (DCR-1) preferentially processes miRNA precursors<sup>14</sup>, and Dicer-2 (DCR-2) is required for long dsRNA processing<sup>14,18,19</sup>. DCR-2 is stably associated with the dsRBD-containing protein R2D2 (ref. 18), and this complex then associates with siRNA duplexes (as monitored by gelshift analysis<sup>19</sup>). The processing of dsRNA to siRNAs by the recombinant DCR-2 monomer or by the DCR-2/R2D2 heterodimer is ATP-dependent and requires a functional RNA helicase domain in DCR-2 (refs 14, 18, 20). For



**Figure 1** RNA silencing pathways in different organisms. Long dsRNA and miRNA precursors are processed to siRNA/miRNA duplexes by the RNase-III-like enzyme Dicer. The short dsRNAs are subsequently unwound and assembled into effector complexes: RISC, RITS (RNA-induced transcriptional silencing) or miRNP. RISC mediates mRNA-target degradation, miRNPs guide translational repression of target mRNAs, and the RITS complex guides the condensation of heterochromatin. In animals, siRNAs guide cleavage of complementary target RNAs, whereas miRNAs mediate translational repression of mRNA targets. rasiRNAs guide chromatin modification. *S. pombe, C. elegans* and mammals carry only one Dicer gene. In *D. melanogaster* and *A. thaliana*, specialized Dicer or DLC proteins preferentially process long dsRNA or miRNA precursors. 7mG, 7-methyl guanine; AAAA, poly-adenosine tail; Me, methyl group; P, 5' phosphate.

human recombinant Dicer, however, ATP-dependence of dsRNA processing has not been observed<sup>21,22</sup>. The reason for this species difference in ATP-dependence is unclear.

Four Dicer-like (DCL) proteins (DCL1 to DCL4) have been identified in *Arabidopsis thaliana*, three of which are involved in processing dsRNAs that come from different sources<sup>15</sup>. DCL1 processes miRNA precursors<sup>15</sup>, requiring two more proteins to do so: HEN1 (ref. 23) and the dsRBD protein HYL1 (refs 24, 25). DCL2 is required for the production of siRNAs from plant viruses, and DCL3, which also cooperates with HEN1 (ref. 15), is involved in the production of rasiRNAs. In *C. elegans*, only one Dicer (DCR-1) has been identified. This cooperates with the dsRBD protein RDE-4 during RNAi, although RDE-4 is not required for miRNA function<sup>26</sup>.

It is conceivable that in *C. elegans* and mammals, which possess only a single Dicer gene, as-yet-unidentified Dicer-interacting dsRBD-containing proteins allow Dicer to recognize different sources of dsRNA.

### Assembly into RNA silencing effector complexes

The siRNA- and miRNA-duplex-containing ribonucleoprotein particles (RNPs) are subsequently rearranged into the RNA-induced silencing complex (RISC)<sup>27</sup>. The functional RNPs contain only single-stranded siRNAs or miRNAs. Although it is difficult to assign distinct functional labels at this point, an siRNA-containing effector complex is commonly referred to as a RISC, whereas a miRNA-containing effector complex is referred to as a miRNP<sup>28</sup>. Every RISC or miRNP contains a member of the Argonaute (Ago) protein family; the Ago protein probably binds directly to the RNA in these complexes, although formal evidence for this is lacking<sup>28–31</sup>. Several forms of RISC or miRNPs that differ in size and composition have been reported, and these presumably differ in activity or even in function. The estimated apparent molecular mass ranges from between 130 to 160 kDa in the case of high-salt purified RISC from human cells<sup>30,32</sup> to 500 kDa<sup>20,29</sup> or up to the 80S range in the case of

RISC isolated from *D. melanogaster* cell lysates<sup>19</sup>. The differences in mass are unlikely to be caused by oligomerization of smaller RISC units (minimal RISC). Instead, the gain in molecular mass appears to be due to the weak and/or transient association of proteins involved in the initial processing of dsRNA (Dicer, R2D2)<sup>19,29,33</sup>, and of other factors of unknown function.

The assembly of RISC and presumably also of miRNPs is ATPdependent<sup>19,20</sup>, which probably reflects the requirement for energydriven unwinding of the siRNA- or miRNA-duplex and/or other conformational or compositional changes of the pre-assembled RNA-duplex-containing RNP. Likely candidates for factors that promote these ATP-dependent conformational changes are DEAD-box RNA helicases (Fig. 3). Several ATPases have been implicated in RNA silencing (Tables 1 and 2), but only one has been characterized in detail. In *D. melanogaster*, the putative DEAD-box RNA helicase Armitage is required after Dicer processing for RISC assembly<sup>34</sup> and presumably also for miRNP assembly<sup>35</sup>.

In the course of identifying more active and more specific siRNA duplexes to guide mRNA cleavage, it was noticed that the sequence composition of the siRNA duplex has an impact on the ratio of 'sense' (same sequence as the target gene) and 'antisense' (complementary to the target gene) siRNAs entering the RISC complex<sup>36,37</sup>. Naturally occurring miRNAs also show a strong bias for accumulating only one strand into a miRNP<sup>5</sup>. Effective, or more potently silencing, siRNAs or miRNA duplexes show reduced thermodynamic stability at the 5' end of the antisense siRNA or miRNA relative to the 3' end within the duplex. This strand bias is presumably caused by a rate-limiting unwinding step that occurs during the transition from the duplex-containing RNP to the larger RISC/miRNP complex, which allows the 5' end of the strand positioned at the weakly paired end of the dsRNA to enter RISC/miRNP first.

The single-stranded siRNAs/miRNAs residing in RISC/miRNP are extremely tightly bound to an Ago protein: salt concentrations as high as 2.5 M KCl do not affect the association of the small RNA with

the Ago protein during affinity purification of RISC<sup>32</sup>. Ago proteins have a molecular mass of about 100 kDa and contain two conserved domains: PAZ (for piwi-argonaute-zwille) and PIWI (Fig. 3)<sup>38</sup>. The PIWI domain has been implicated in an interaction with Dicer<sup>33</sup>, although the analysis was carried out without using the full-length proteins. Recently, the crystal structure of an archaebacterial Ago protein revealed striking similarity of the PIWI domain with members of the RNase H family<sup>39</sup>. As RNase H cleaves the RNA strand of RNA/DNA duplexes, it was proposed that Ago proteins act by cleaving target RNA in target RNA/siRNA hybrids. Initially, the PAZ domain was suggested to function as a protein-interaction domain between Ago and Dicer, because a PAZ domain is also present in many Dicer-RNase-III enzymes and Ago proteins co-immunoprecipitate with Dicer<sup>29</sup>. Recent biochemical and structural studies, however, converged on the view that PAZ is an RBD that specifically recognizes the terminus of the base-paired helix of siRNA and miRNA duplexes, including the characteristic 2-nucleotide 3' overhangs40,41. This siRNA/miRNA-duplex-specific interaction with PAZ ensures the safe transitioning of small RNAs into RISC/miRNP by minimizing the possibility of unrelated RNA-processing or RNA-turnover products entering the RNA silencing pathway. The preferred recognition of the termini of dsRNA<sup>22</sup> or miRNA precursors<sup>8</sup> by PAZ-domain-containing Dicer suggests that the processing reaction is guided by the PAZ domain docking at the terminus of long dsRNAs.

A possible variation in the general mechanism of siRNA transfer from the dsRNA-processing complex into RISC has been observed for Dicer variants that presumably lack the PAZ domain<sup>18</sup>. For example, D. melanogaster DCR-2, which predominantly processes siRNAs, requires R2D2 - not for dsRNA processing but for the incorporation of siRNA into RISC<sup>18</sup>. R2D2 contains two dsRBDs. The binding mode of DCR-2 and R2D2 may expose the 2-nucleotide staggered terminus of the processed siRNA for docking of the Ago protein and its PAZ domain. The 2-nucleotide 3' overhanging structure of siRNA duplexes is essential for effective assembly of RISC in *D. melanogaster* lysate<sup>42</sup>. This implies that a step exists during RISC assembly that involves specific recognition of the 2-nucleotide overhang, presumably by the incoming Ago protein and its PAZ domain. The C. elegans RDE-4 protein, which is structurally related to R2D2, was shown to bind to the RDE-1 Ago protein<sup>26</sup>, and therefore may have a similar function to R2D2 in forming active RISC.

The way in which single-stranded siRNA/miRNA binds to Ago after unwinding of the small RNA duplex is not understood because recombinant full-length Ago proteins are difficult to express and therefore the reconstitution of a functional complex containing a defined RNA sequence has not yet been accomplished.

Different organisms have different numbers of Ago proteins, ranging from one in Schizosaccharomyces pombe to more than 20 in C. elegans<sup>38</sup>. In A. thaliana ten members have been identified<sup>43</sup>, compared with five in *D. melanogaster*<sup>44</sup> and eight in humans<sup>45</sup>. So far, only a small subset of this family has been functionally characterized; the specific functions of these Ago proteins are summarized in Tables 1 and 2. The evidence suggests that the different Ago proteins are not redundant. In species expressing more than one Dicer protein, the specificity of small-RNA loading into RISC/miRNP is probably controlled by the individual Dicer and Ago interactions. In species with a single Dicer, it is unclear whether and how the loading of the different Ago protein members is controlled for the different sources of dsRNA. Ago proteins may have evolved an intrinsic sequence-specificity that allows them to bind preferentially to small RNAs of specific sequence, or they may use specific adaptor proteins that are associated with the different cellular sources of dsRNA. A recent study in D. melanogaster revealed that AGO1 is required for miRNA accumulation whereas AGO2 is required for siRNA-triggered mRNA degradation<sup>46</sup>. Moreover, two recent studies in human systems showed that AGO1-AGO4 all associate with miRNAs and siRNAs, but that only the AGO2-containing RNPs exhibit RISC activity<sup>47,48</sup>. Finally, different expression patterns and levels of the various Ago proteins may control

# insight review articles

Table 1 Factors involved in RNAi or transcriptional gene silencing							
Protein	Domains	Function	Species	References			
	and motifs						
Dicer and Di	cer-like proteins						
Dicer	RNase III, DEAD,	Long dsRNA	Hs	16			
	PAZ,dsRBD	processing					
DCR-1	RNase III, DEAD,	Long dsRNA	Ce	13			
	PAZ,dsRBD	processing					
DCR-1	RNase III, HELICc,	Long dsRNA	Dm	14, 19			
	PAZ,dsRBD	processing,					
		RISC assembly					
DCR-2	RNase III, DEAD,	Long dsRNA	Dm	14, 18, 19			
	USRBD	Processing, BISC assembly					
				16			
DOLZ	PAZ dsRRD	Drocessing	AL	15			
	RNaco III DEAD	Long deRNA	Λ+	15			
DOLO	PAZ	processing	AL	10			
••••••		proceeding					
Aao protein <sup>.</sup>	family						
	ΡΔ7 ΡΙ\Λ/Ι	Short RNA binding	He Dm	30 11			
1001 ACO1			A+ Cp	00,44			
1001		Ohart DNIA Lingt	AL, SP	00, 01			
4602	PAZ, PIVVI	Short RIVA binding	Hs, Dm	29,30			
AGO3	PAZ, PIWI	Short RNA binding	Hs	48			
AGO4	PAZ, PIWI		At	82			
Piwi	PAZ, PIWI		Dm	83			
Aubergine	PAZ, PIWI		Dm	84			
RDE-1	PAZ, PIWI	Initiation of RNAi	Ce	26			
PPW-1	PAZ, PIWI		Ce	85			
0DE-2	PAZ PIW/I		Nc	86			
Putative RN/	Ahelicases						
Armitado		RISC assembly	Dm	3/1			
Coindle E			Dm	01			
			DIII				
HI1102	DEAD		Dm	01			
SDE3	DEAD		At	87			
DRH-1/2	DEAD		Ce				
MUT-14	DEAD		Ce	88			
SMG-2	DEAD		Ce	89			
RNA-depend	dent RNA polymerase	es					
SGS2/	RNA polymerase	RNA amplification	At	90			
SDE1							
RDR2	RNA polymerase	RNA amplification	At	91			
EGO-1	RNA polymerase	RNA amplification	Ce	92			
RRF-1	RNA polymerase	RNA amplification	Ce	67			
RRF-3	RNA polymerase		Ce	74			
 QDF-1	RNA polymerase	RNA amplification	Nc	93			
Other factor	S						
R2D2	dsRBD	RISC assembly	Dm	18			
FMBp	KH BGG	RNA binding	Dm	49			
	101,1100	translational	DIII				
		regulation					
Vasa intronic	RGG		Dm	49			
gene (VIG)							
TudorSN	Tudor, nuclease		Dm. Hs	50			
(p100)			,				
WEX-1	Exonuclease		At	94			
SGS3			At	90			
			Λ+	82			
	doDDD	Initiation of DNIA'	AL	02			
		Initiation of RINAI		20			
MUI-7	Exonuclease		Ce	95			
ADAR-1/2	Deaminase	Adenosine	Ce	71			
		deamination					
SID-1		dsRNA transport	Ce	96			
ERI-1	Exonuclease	siRNA degradation	Ce	73			
QDE-3	DNA helicase		Nc	97			
CHP1	Chromo	Heterochromatin	Sp	81			
		association					
TAS3			Sp	81			

Hs, Homo sapiens; Dm, D. melanogaster; At, A. thaliana; Ce, C. elegans; Nc. Neurospora crassa; Sp. S. pombe.



**Figure 2** Model of small-RNA-guided post-transcriptional regulation of gene expression. Primary miRNA transcripts are processed to miRNA precursors in the nucleus by the RNase-III-like enzyme Drosha. The miRNA precursor is subsequently exported to the cytoplasm by means of the export receptor exportin-5. The miRNA precursor is further processed by Dicer to siRNA-duplex-like intermediates. The duplex is unwound while assembling into miRNP/RISC. Mature miRNAs bind to Ago proteins, which mediate translational repression or cleavage of target mRNAs. Other sources of long dsRNA in the cytoplasm of a cell are viral RNAs, artificially introduced dsRNA, dsRNAs generated by RdRPs, and genomic sense and antisense transcripts. Like miRNA precursors, long dsRNA is processed by the RNAs helicase Armitage and R2D2, the single-stranded siRNA-containing RISC is formed. The stability of the dsRNA and its recognition by Dicer can be regulated by specific ADARs and the exonuclease ERI-1.

the extent to which the different RNA silencing processes operate. The functional characterization of the individual Ago proteins remains a considerable challenge in the field.

Besides the core Ago protein and the small RNA component of the various RNA silencing complexes, other proteins have been identified in the larger forms of purified complexes. Three more components were identified in purified D. melanogaster RISC: the Vasa intronic gene product (VIG), fragile-X-related protein (dFXR), and the tudor staphylococcal-nuclease-domain-containing protein (Tudor-SN)<sup>49,50</sup>. In a preparation of D. melanogaster miRNPs, AGO2, dFXR, the DEADbox helicase RM62, and the ribosomal proteins RPL5 and RPL11 were identified, indicating a role of miRNPs in the regulation of mRNA translation<sup>51</sup>. In human biochemical systems, interactions of AGO2/eIF2C2 (eukaryotic translation initiation factor 2C2) with FMRP (fragile X mental retardation protein) — the human homologue of dFXR — has also been observed<sup>52</sup>. Furthermore, human miRNAs, which reside in a defined 15S complex, were shown to contain AGO2 and the RNA helicases Gemin3 and Gemin4 (ref. 28). The precise function of these different proteins in the RNA silencing process remains unclear.

# mRNA cleavage and translational repression

The single-stranded siRNA in RISC guides sequence-specific degradation of complementary or near-complementary target mRNAs<sup>30,32</sup>. RISC cleaves the target mRNA in the middle of the complementary region, ten nucleotides upstream of the nucleotide paired with the 5' end of the guide siRNA<sup>17</sup>. The cleavage reaction guided by RISC/miRNP does not require ATP<sup>20,31</sup>. However, multiple rounds of mRNA cleavage — which requires the release of cleaved mRNA products — are more efficient in the presence of ATP<sup>31</sup>. ATP-dependent enhancement of multiple rounds of mRNA cleavage may reflect the assistance of an RNA helicase. This may facilitate the release of mRNA products from the RISC/miRNP complex, or reorganize the endonuclease complex for another round of target-RNA recognition.

RISC/miRNP complexes catalyse hydrolysis of the target-RNA phosphodiester linkage, yielding 5' phosphate and 3' hydroxyl termini<sup>32,53</sup>. This hydrolysis reaction is different from classic pancreatic-RNase endonucleolytic cleavage, which occurs by nucleophilic attack of the adjacent 2' hydroxyl group and yields a 2',3'-cyclic phosphate intermediate. The target-RNA hydrolysis reaction requires magnesium ions and resembles mechanistically the hydrolysis reaction that occurs when Dicer generates siRNA duplexes from dsRNA precursors - a reaction that also requires magnesium ions<sup>21,22</sup>. However, the small molecular mass of minimal RISC argues against a role for Dicer in directly catalysing target-RNA cleavage<sup>30,32</sup>. The Tudor-SN nuclease, which has been characterized as a component of RISC<sup>50</sup>, can also be eliminated as a candidate for the unidentified endonuclease activity in RISC because, as a member of the micrococcal nuclease protein family, it should produce 3' phosphate rather than 5' phosphate termini. A smaller double-strand-specific endonuclease may be part of RISC, or as has recently been proposed on the basis of the similarity of the PIWI domain with RNase H<sup>40</sup>, Ago proteins themselves may act as nucleases. However, it remains unresolved, why nuclease activity has been detected with Ago2 but not Ago1 or Ago3 in human cells, even though the proposed catalytic DDE motif is intact in all three proteins. It has been speculated that the RNA subunit of RISC may assist catalysis, but this is unlikely given that base pairing during target recognition positions the small RNA sugar-phosphate backbone far away from the active site. In animals, the binding sites for miRNAs in target mRNAs are generally considered to be of insufficient complementarity to allow the target to be cleaved. Recently, however, it was found that RISC/miRNP can cleave significantly mismatched targets, albeit at a reduced rate, although this depends on the type, position and structure of the pairing interaction<sup>32</sup>.

The mechanism of miRNA-guided translational regulation is not as well understood as that of target-RNA cleavage. The first evidence for

Table 2 Factors involved in miRNA guided translational regulation							
Protein	Motifs	Function	Species	References			
Dicer and Dicer-like proteins							
Dicer	RNase III, DEAD,	miRNA precursor	Hs	12			
	PAZ, dsRBD	processing					
DCR-1	RNase III, DEAD,	miRNA precursor	Ce	13			
	PAZ, dsRBD	processing					
DCR-1	RNase III, HELICc,	miRNA precursor	Dm	14			
	PAZ, dsRBD	processing					
DCL1	RNase III, DEAD,	miRNA precursor	At	15			
	PAZ, OSKBD	processing					
Ago protein							
AGO1	PAZ, PIWI	Short RNA binding	Dm, Hs				
AGO2	PAZ, PIWI	Short RNA binding	Dm, Hs	28, 51, 52			
AGO3	PAZ, PIWI	Short RNA binding	Hs	47			
AGO4	PAZ, PIWI	Short RNA binding	Hs	47			
AGO1	PAZ, PIWI		At	98			
ALG-1/2	PAZ, PIWI		Ce	13			
Putative RNA helicases							
Gemin3	DEAD	RNA helicase	Hs	28			
Armitage	DEAD	RISC assembly	Dm	35			
Other factors							
Drosha	RNase III	Processing of primary	' Hs	7,8			
		miRNA transcripts					
Exportin-5		Nuclear export of	Hs	9–11			
		miRNA precursors					
Gemin4			Hs	28			
FMRP, FXR	KH, RGG	RNA binding	Dm, Hs	51, 52			
Ribosomal		60S ribosomal subuni	itDm	51			
proteins							
L8,L11							
HYL1	dsRBD		At	24, 25			
HEN1			At	23			

translational repression by miRNAs was obtained from studies of mutant or transgenic *C. elegans*, where it was shown that miRNAs targeted to a specific gene reduced protein synthesis without affecting mRNA levels<sup>5</sup>. The target mRNA contained in its 3' UTR several binding sites for the miRNA, and both target and miRNA were found to be associated with polyribosomes. This suggested that miRNAs block translation elongation or termination rather than translational initiation<sup>54,55</sup>. Ribosome profiles obtained from mammalian cell extracts also indicate an association of a small fraction of miRNAs with polysomes<sup>56</sup>.

To complicate matters, miRNAs can act as siRNAs<sup>31</sup>, and siRNAs can act as miRNAs<sup>57,58</sup>. This observation has occasionally been overinterpreted as suggesting that the function of an siRNA or a miRNA is solely determined by the degree of complementarity between the small RNA and its target RNA. An alternative, and probably more accurate, interpretation is that small dsRNAs are assembled into characteristic complexes that carry out distinct functions, depending on the protein factors that they recruit. This model could partly explain the apparent redundancy of the Ago protein family. Presumably, miRNA-guided translational regulation and targeted mRNA degradation are used simultaneously as natural regulatory mechanisms.

# **Regulators of RNAi**

RNA silencing controls downstream-target gene expression but is itself under regulation. For example, systemic silencing, caused by the spread of a silencing signal between cells, occurs in plants and nematodes, and requires a group of enzymes termed RNA-dependent RNA polymerases (RdRPs; also known as RDRs). RdRPs are thought to amplify the silencing effect by dsRNA synthesis of the target mRNAs and/or its cleavage products<sup>59,60</sup>. In *D. melanogaster* and vertebrates, there are no equivalent RdRP-like proteins, and evidence exists against there being any amplification mechanism for silencing triggers<sup>61-63</sup>.

In many organisms, RNA silencing mediated by dsRNA is part of an innate immune response against RNA viruses and transposable



**Figure 3** Domain structures of representative members of the RNA family discussed in the review. Dicer and Ago proteins contain PAZ domains. Ago proteins carry an extra PIWI domain. Armitage and Dicer contain RNA-helicase domains. Dicer and Drosha both contain RNAse III domains (RIIIa, RIIIb). dsRBDs are present in Dicer, Drosha and R2D2. *Drosophila* DCR-1 and human Dicer contain a similar domain of unknown function (DUF283).

elements<sup>64,65</sup>. Defence strategies to thwart the host response have been found in plant viruses<sup>66,67</sup> and the insect flock house virus<sup>68</sup>. These viruses express inhibitors, such as dsRNA-binding proteins, that interfere with the host cell's RNA silencing machinery. One of the viral suppressor proteins, p19, from the plant tombusvirus, has been cocrystallized with a duplex of 21-nucleotide siRNAs<sup>69,70</sup>. A homodimer of p19 binds to the RNA sugar-phosphate backbone, and positions two  $\alpha$ -helices on top of the terminal base pairs of the RNA duplex. This binding allows the p19 protein to discriminate siRNA duplexes from shorter or longer dsRNAs. Other inhibitors of RNA silencing in plants target RISC or restrict the spreading of a systemic silencing signal from infected cells<sup>66,67</sup>. The precise chemical nature of this spreading silencing signal is still debated: dsRNA, siRNA, RISC, or as-yet-unidentified RNAs or RNPs are possible candidates.

dsRNA acts as a substrate from which Dicer can produce siRNAs, but it also competes with the 'RNA-editing' process catalysed by dsRNA-specific adenosine deaminases (ADARs), which convert adenosine to inosine. This editing reaction not only eliminates the complementarity between the dsRNA and the target mRNA, but also destabilizes the edited dsRNA, making it a poor substrate for Dicer<sup>71,72</sup>. Another negative regulator of RNA silencing, the exonucleasedomain-containing protein ERI-1, was discovered in genetic screens in *C. elegans*<sup>73</sup>. ERI-1 is specifically expressed in neurons, and loss-offunction *eri-1* mutants show increased RNAi in neurons. Biochemical analysis suggests that siRNA is a preferred substrate for ERI-1. The presumably inactive RdRP-family protein RRF-3 (ref. 74) is also a negative regulator of RNAi in *C. elegans*. RRF-3 presumably competes for templates or primers of RNA amplification, inhibiting the production of additional short RNAs.

# **Outlook**

Understanding the mechanisms of RNA silencing may shed light on human disease. Recently, several links between RNA-silencing factors and inherited or acquired genetic disorders have been recognized. For example, the loss or mutation of FMRP that causes the neurological disorder, fragile X syndrome, indirectly affects the translational regulation of miRNA-targeted mRNAs that are also targeted by FMRP<sup>51,52</sup>. Other studies have linked diseases to the loss of miRNA expression that may result from the deletion or the translocation of a

chromosomal region. The miRNAs miR-15 and miR-16 were identified in the tumour suppressor locus for B-cell chronic lymphocytic leukaemia (B-CLL)<sup>75</sup>, and consistent down-regulation of miR-143 and miR-145 has been observed in advancing colorectal neoplasia<sup>76</sup>. Translocation of the proto-oncogene, *c-myc*, downstream of the very strong promoter of miR-122 (ref. 77) and miR-142 (ref. 78) was also found in two cancer instances. Furthermore, it was recently discovered that some viruses express miRNAs, which presumably regulate viral as well as host gene expression<sup>79</sup>. To understand the underlying specificity of these processes, we need to identify the regulated targets of miRNAs, using computational or experimental methods. The development of robust biochemical and other methods for the dissection of the function and mechanism of RNA silencing are likely to provide many more insights into RNA silencing machineries.

### doi:10.1038/nature02873

- Fire, A. et al. Potent and specific genetic interference by double-stranded RNA in *Caenorhabditis* elegans. Nature 391, 806–811 (1998).
- Tijsterman, M., Ketting, R. F. & Plasterk, R. H. The genetics of RNA silencing. Annu. Rev. Genet. 36, 489–519 (2002).
- Ullu, E., Tschudi, C. & Chakraborty, T. RNA interference in protozoan parasites. *Cell Microbiol.* 6, 509–519 (2004).
- Waterhouse, P. M., Wang, M. B. & Lough, T. Gene silencing as an adaptive defence against viruses. *Nature* 411, 834–842 (2001).
- Bartel, D. P. MicroRNAs: genomics, biogenesis, mechanism, and function. *Cell* 116, 281–297 (2004).
   Lee, Y., Jeon, K., Lee, J. T., Kim, S. & Kim, V. N. MicroRNA maturation: stepwise processing and
- subcellular localization. *EMBO J.* 21, 4663–4670 (2002).
  7. Lee, Y. *et al.* The nuclear RNase III Drosha initiates microRNA processing. *Nature* 425, 415–419
- (2003).
   Basyuk, E., Suavet, F., Doglio, A., Bordonne, R. & Bertrand, E. Human let-7 stem-loop precursors
- harbor features of RNase III cleavage products. *Nucleic Acids Res.* **31**, 6593–6597 (2003). 9. Bohnsack, M. T., Czaplinski, K. & Görlich, D. Exportin 5 is a RanGTP-dependent dsRNA-binding
- protein that mediates nuclear export of pre-miRNAs. RNA 10, 185–191 (2004).
  10. Lund, E., Guttinger, S., Calado, A., Dahlberg, J. E. & Kutay, U. Nuclear export of microRNA precursors. Science 303, 95–98 (2004).
- 11. Yi, R., Qin, Y., Macara, I. G. & Cullen, B. R. Exportin-5 mediates the nuclear export of premicroRNAs and short hairpin RNAs. *Genes Dev.* **17**, 3011–3016 (2003).
- Hutvágner, G., McLachlan, J., Bálint, É., Tuschl, T. & Zamore, P. D. A cellular function for the RNA interference enzyme Dicer in small temporal RNA maturation. *Science* 93, 834–838 (2001).
- 13. Grishok, A. et al. Genes and mechanisms related to RNA interference regulate expression of the small temporal RNAs that control C. elegans developmental timing. Cell 106, 23–34 (2001).
- Lee, Y. S. et al. Distinct roles for Drosophila Dicer-1 and Dicer-2 in the siRNA/miRNA silencing pathways. Cell 117, 69–81 (2004).
- 15. Xie, Z. *et al.* Genetic and functional diversification of small RNA pathways in plants. *PLoS Biol.* **2**, E104 (2004).
- Bernstein, E., Caudy, A. A., Hammond, S. M. & Hannon, G. J. Role for a bidentate ribonuclease in the initiation step of RNA interference. *Nature* 409, 363–366 (2001).
- 17. Elbashir, S. M., Lendeckel, W. & Tuschl, T. RNA interference is mediated by 21 and 22 nt RNAs. Genes Dev. 15, 188–200 (2001).
- Liu, Q. et al. R2D2, a bridge between the initiation and effector steps of the Drosophila RNAi pathway. Science 301, 1921–1925 (2003).
- Pham, J. W., Pellino, J. L., Lee, Y. S., Carthew, R. W. & Sontheimer, E. J. A Dicer-2-dependent 80S complex cleaves targeted mRNAs during RNAi in *Drosophila. Cell* 117, 83–94 (2004).
- Nykänen, A., Haley, B. & Zamore, P. D. ATP requirements and small interfering RNA structure in the RNA interference pathway. *Cell* 107, 309–321 (2001).
- Provost, P. *et al.* Ribonuclease activity and RNA binding of recombinant human Dicer. *EMBO J.* 21, 5864–5874 (2002).
- 22. Zhang, H., Kolb, F. A., Brondani, V., Billy, E. & Filipowicz, W. Human Dicer preferentially cleaves dsRNAs at their termini without a requirement for ATP. *EMBO J.* 21, 5875–5885 (2002).
- Boutet, S. et al. Arabidopsis HEN1: A genetic link between endogenous miRNA controlling development and siRNA controlling transgene silencing and virus resistance. Curr. Biol. 13, 843–848 (2003).
- Vazquez, F., Gasciolli, V., Crete, P. & Vaucheret, H. The nuclear dsRNA binding protein HYL1 is required for microRNA accumulation and plant development, but not post-transcriptional transgene silencing. *Curr. Biol.* 14, 346–351 (2004).
- Han, M. H., Goud, S., Song, L. & Fedoroff, N. The Arabidopsis double-stranded RNA-binding protein HYL1 plays a role in microRNA-mediated gene regulation. *Proc. Natl Acad. Sci. USA* 101, 1093–1098 (2004).
- 26. Tabara, H., Yigit, E., Siomi, H. & Mello, C. C. The dsRNA binding protein RDE-4 interacts with RDE-1, DCR-1, and a DExH-box helicase to direct RNAi in *C. elegans. Cell* **109**, 861–871 (2002).
- Hammond, S. M., Bernstein, E., Beach, D. & Hannon, G. J. An RNA-directed nuclease mediates posttranscriptional gene silencing in *Drosophila* cells. *Nature* 404, 293–296 (2000).
- Mourelatos, Z. et al. miRNPs: a novel class of ribonucleoproteins containing numerous microRNAs. Genes Dev. 16, 720–728 (2002).
- Hammond, S. M., Boettcher, S., Caudy, A. A., Kobayashi, R. & Hannon, G. J. Argonaute2, a link between genetic and biochemical analyses of RNAi. *Science* 293, 1146–1150 (2001).
- 30. Martinez, J., Patkaniowska, A., Urlaub, H., Lührmann, R. & Tuschl, T. Single-stranded antisense siRNAs guide target RNA cleavage in RNAi. *Cell* **110**, 563–574 (2002).
- Hutvágner, G. & Zamore, P. D. A microRNA in a multiple-turnover RNAi enzyme complex. Science 297, 2056–2060 (2002).
- 32. Martinez, J. & Tuschl, T. RISC is a 5' phosphomonoester-producing RNA endonuclease. Genes Dev.

18,975-980 (2004).

- Tahbaz, N. et al. Characterization of the interactions between mammalian PAZ PIWI domain proteins and Dicer. EMBO Rep. 5, 189–194 (2004).
- Tomari, Y. et al. RISC assembly defects in the Drosophila RNAi mutant armitage. Cell 116, 831–841 (2004).
- Cook, H. A., Koppetsch, B. S., Wu, J. & Theurkauf, W. E. The Drosophila SDE3 homolog armitage is required for oskar mRNA silencing and embryonic axis specification. Cell 116, 817–829 (2004).
- Khvorova, A., Reynolds, A. & Jayasena, S. D. Functional siRNAs and miRNAs exhibit strand bias. *Cell* 115, 209–216 (2003).
- Schwarz, D. S. *et al.* Asymmetry in the assembly of the RNAi enzyme complex. *Cell* 115, 199–208 (2003).
- Carmell, M. A., Xuan, Z., Zhang, M. Q. & Hannon, G. J. The Argonaute family: tentacles that reach into RNAi, developmental control, stem-cell maintenance, and tumorigenesis. *Genes Dev.* 16, 2733–2742 (2002).
- Song, J. J., Smith, S. K., Hannon, G. J. & Joshua-Tor, L. Crystal structure of Argonaute and its implications for RISC slicer activity. *Science*; published online 29 July 2004 (doi:10.1126/science.1102514).
- Ma, J. B., Ye, K. & Patel, D. J. Structural basis for overhang-specific small interfering RNA recognition by the PAZ domain. *Nature* 429, 318–322 (2004).
- 41. Lingel, A., Simon, B., Izaurralde, E. & Sattler, M. Nucleic acid 3'-end recognition by the Argonaute2 PAZ domain. Nature Struct. Mol. Biol. 11, 576–577 (2004).
- Elbashir, S. M., Martinez, J., Patkaniowska, A., Lendeckel, W. & Tuschl, T. Functional anatomy of siRNAs for mediating efficient RNAi in *Drosophila melanogaster* embryo lysate. *EMBO J.* 20, 6877–6888 (2001).
- Hunter, C., Sun, H. & Poethig, R. S. The Arabidopsis heterochronic gene ZIPPY is an ARGONAUTE family member. Curr. Biol. 13, 1734–1739 (2003).
- 44. Williams, R. W. & Rubin, G. M. ARGONAUTE1 is required for efficient RNA interference in Drosophila embryos. Proc. Natl Acad. Sci. USA 99, 6889–6894 (2002).
- 45. Sasaki, T., Shiohama, A., Minoshima, S. & Shimizu, N. Identification of eight members of the Argonaute family in the human genome. *Genomics* 82, 323–330 (2003).
- 46. Okamura, K., Ishizuka, A., Siomi, H. & Siomi, M. C. Distinct roles for Argonaute proteins in small RNA-directed RNA cleavage pathways. *Genes Dev.* 18, 1655–1666 (2004).
- Meister, G. et al. Human Argonaute2 mediates RNA cleavage targeted by miRNAs and siRNAs. Mol. Cell 15, 185–197 (2004).
- Liu, J. et al. Argonaute2 is the catalytic engine of mammalian RNAi. Science published online 29 July 2004 (doi: 10.1126/science.1102513).
- Caudy, A. A., Myers, M., Hannon, G. J. & Hammond, S. M. Fragile X-related protein and VIG associate with the RNA interference machinery. *Genes Dev.* 16, 2491–2496 (2002).
- Caudy, A. A. et al. A micrococcal nuclease homologue in RNAi effector complexes. Nature 425, 411–414 (2003).
- Ishizuka, A., Siomi, M. C. & Siomi, H. A Drosophila fragile X protein interacts with components of RNAi and ribosomal proteins. Genes Dev. 16, 2497–2508 (2002).
- 52. Jin, P. et al. Biochemical and genetic interaction between the fragile X mental retardation protein and the microRNA pathway. Nature Neurosci. 7, 113–117 (2004).
- 53. Schwarz, D. S., Tomari, Y. & Zamore, P. D. The RNA-induced silencing complex is a Mg<sup>2+</sup>-dependent endonuclease. *Curr. Biol.* 14, 787–791 (2004).
- Olsen, P. H. & Ambros, V. The *lin-4* regulatory RNA controls developmental timing in *Caenorhabditis elegans* by blocking LIN-14 protein synthesis after the initiation of translation. *Dev. Biol.* 216, 671–680 (1999).
- 55. Seggerson, K., Tang, L. & Moss, E. G. Two genetic circuits repress the *Caenorhabditis elegans* heterochronic gene *lin-28* after translation initiation. *Dev. Biol.* 243, 215–225 (2002).
- Kim, J. et al. Identification of many microRNAs that copurify with polyribosomes in mammalian neurons. Proc. Natl Acad. Sci. USA 101, 360–365 (2004).
- Doench, J. G., Petersen, C. P. & Sharp, P. A. siRNAs can function as miRNAs. *Genes Dev.* 17, 438–442 (2003).

 Saxena, S., Jonsson, Z. O. & Dutta, A. Small RNAs with imperfect match to endogenous mRNA repress translation: implications for off-target activity of siRNA in mammalian cells. J. Biol. Chem. 278, 44312–44319 (2003).

- Wassenegger, M. & Pelissier, T. A model for RNA-mediated gene silencing in higher plants. *Plant Mol. Biol.* 37, 349–362 (1998).
- Sijen, T. et al. On the role of RNA amplification in dsRNA-triggered gene silencing. Cell 107, 465–476 (2001).
- 61. Schwarz, D. S., Hutvágner, G., Haley, B. & Zamore, P. D. Evidence that siRNAs function as guides, not primers, in the *Drosophila* and human RNAi pathways. *Mol. Cell* 10, 537–548 (2002).
- Roignant, J. Y. et al. Absence of transitive and systemic pathways allows cell-specific and isoformspecific RNAi in Drosophila. RNA 9, 299–308 (2003).
- 63. Stein, P., Svoboda, P., Anger, M. & Schultz, R. M. RNAi: mammalian oocytes do it without RNAdependent RNA polymerase. RNA 9, 187–192 (2003).
- Waterhouse, P. M., Wang, M. & Finnegan, E. J. Role of short RNAs in gene silencing. *Trends Plant Sci.* 6, 297–301 (2001).
- 65. Gitlin, L. & Andino, R. Nucleic acid-based immune system: the antiviral potential of mammalian RNA silencing. *J. Virol.* **77**, 7159–7165 (2003).
- Li, W. X. & Ding, S. W. Viral suppressors of RNA silencing. Curr. Opin. Biotechnol. 12, 150–154 (2001).
- Voinnet, O. RNA silencing as a plant immune system against viruses. *Trends Genet.* 17, 449–459 (2001).
- Li, H., Li, W. X. & Ding, S. W. Induction and suppression of RNA silencing by an animal virus. *Science* 296, 1319–1321 (2002).
- Vargason, J. M., Szittya, G., Burgyan, J. & Hall, T. M. Size selective recognition of siRNA by an RNA silencing suppressor. *Cell* 115, 799–811 (2003).
- Ye, K., Malinina, L. & Patel, D. J. Recognition of small interfering RNA by a viral suppressor of RNA silencing. *Nature* 426, 874–878 (2003).
- 71. Knight, S. W. & Bass, B. L. The role of RNA editing by ADARs in RNAi. Mol. Cell 10, 809–817 (2002).
- Tonkin, L. A. & Bass, B. L. Mutations in RNAi rescue aberrant chemotaxis of ADAR mutants. *Science* 302, 1725 (2003).

- Kennedy, S., Wang, D. & Ruvkun, G. A conserved siRNA-degrading RNase negatively regulates RNA interference in *C. elegans. Nature* 427, 645–649 (2004).
- 74. Simmer, F. et al. Loss of the putative RNA-directed RNA polymerase RRF-3 makes C. elegans hypersensitive to RNAi. Curr. Biol. 12, 1317–1319 (2002).
- 75. Calin, G. A. et al. Frequent deletions and down-regulation of microRNA genes miR-15 and miR-16 at 13q14 in chronic lymphocytic leukemia. Proc. Natl Acad. Sci. USA 99, 15524–15529 (2002).
- 76. Michael, M. Z., O' Connor, S. M., van Holst Pellekaan, N. G., Young, G. P. & James, R. J. Reduced accumulation of specific microRNAs in colorectal neoplasia. *Mol. Cancer Res.* 1, 882–891 (2003).
- Moroy, T. et al. Structure and expression of hcr, a locus rearranged with c-myc in a woodchuck hepatocellular carcinoma. Oncogene 4, 59–65 (1989).
- Gauwerky, C. E., Huebner, K., Isobe, M., Nowell, P. C. & Croce, C. M. Activation of MYC in a masked t(8;17) translocation results in an aggressive B-cell leukemia. *Proc. Natl Acad. Sci. USA* 86, 8867–8871 (1989).
- 79. Pfeffer, S. et al. Identification of virus-encoded microRNAs. Science 304, 734-736 (2004).
- Bohmert, K. et al. AGO1 defines a novel locus of Arabidopsis controlling leaf development. EMBO J. 17, 170–180 (1998).
- Verdel, A. *et al.* RNAi-mediated targeting of heterochromatin by the RITS complex. *Science* 303, 672–676 (2004).
- Zilberman, D., Cao, X. & Jacobsen, S. E. ARGONAUTE4 control of locus-specific siRNA accumulation and DNA and histone methylation. Science 299, 716–719 (2003).
- Pal-Bhadra, M., Bhadra, U. & Birchler, J. A. RNAi-related mechanism affects both transcriptional and posttranscriptional transgene silencing in *Drosophila*. Mol. Cell 9, 315–327 (2002).
- Kennerdell, J. R., Yamaguchi, S. & Carthew, R. W. RNAi is activated during *Drosophila* oocyte maturation in a manner dependent on *aubergine* and *spindle-E. Genes Dev.* 16, 1884–1889 (2002).
- Tijsterman, M., Okihara, K. L., Thijssen, K. & Plasterk, R. H. PPW-1, a PAZ/PIWI protein required for efficient germline RNAi, is defective in a natural isolate of *C. elegans. Curr. Biol.* 12, 1535–1540 (2002).
- 86. Fagard, M., Boutet, S., Morel, J. B., Bellini, C. & Vaucheret, H. AGO1, QDE-2, and RDE-1 are related proteins required for post-transcriptional gene silencing in plants, quelling in fungi, and RNA interference in animals. *Proc. Natl Acad. Sci. USA* 97, 11650–11654 (2000).
- 87. Dalmay, T., Horsefield, R., Braunstein, T. H. & Baulcombe, D. C. SDE3 encodes an RNA helicase

- required for post-transcriptional gene silencing in *Arabidopsis*. *EMBO J.* **20**, 2069–2078 (2001). 88. Tijsterman, M., Ketting, R. F., Okihara, K. L. & Plasterk, R. H. RNA helicase MUT-14-dependent
- silencing triggered in *C. elegans* by short antisense RNAs. *Science* 295, 694–697 (2002).
  89. Domeier, M. E. *et al.* A link between RNA interference and nonsense-mediated decay in *Caenorhabditis elegans. Science* 289, 1928–1931 (2000).
- Mourrain, P. et al. Arabidopsis SGS2 and SGS3 genes are required for posttranscriptional gene silencing and natural virus resistance. Cell 101, 533–542 (2000).
- 91. Chan, S. W. et al. RNA silencing genes control *de novo* DNA methylation. *Science* **303**, 1336 (2004). 92. Smardon, A. et al. EGO-1 is related to RNA-directed RNA polymerase and functions in germ-line
- development and RNA interference in *C. elegans. Curr. Biol.* **10**, 169–178 (2000).
  93. Cogoni, C. & Macino, G. Gene silencing in *Neurospora crassa* requires a protein homologous to RNAdependent RNA polymerase. *Nature* **399**, 166–169 (1999).
- 94. Glazov, E. *et al.* A gene encoding an RNase D exonuclease-like protein is required for post-transcriptional silencing in *Arabidopsis. Plant J.* **35**, 342–349 (2003).
- Ketting, R. F., Haverkamp, T. H., van Luenen, H. G. & Plasterk, R. H. Mut-7 of C. elegans, required for transposon silencing and RNA interference, is a homolog of Werner syndrome helicase and RNaseD. Cell 99, 133–141 (1999).
- Winston, W. M., Molodowitch, C. & Hunter, C. P. Systemic RNAi in C. elegans requires the putative transmembrane protein SID-1. Science 295, 2456–2459 (2002).
- Cogoni, C. & Macino, G. Posttranscriptional gene silencing in *Neurospora* by a RecQ DNA helicase. *Science* 286, 2342–2344 (1999).
- Kidner, C. A. & Martienssen, R. A. Spatially restricted microRNA directs leaf polarity through ARGONAUTE1. Nature 428, 81–84 (2004).

**Acknowledgements** We thank W. Fischle, M. Poy and all members of the Tuschl laboratory for comments on the manuscript. G.M. is supported by an Emmy Noether fellowship of the Deutsche Forschungsgemeinschaft.

**Competing interests statement** The authors declare competing financial interests: details accompany the paper on **www.nature.com/nature**.

# insight review articles