



# Environmental geophysics

Giorgio De Donno

## 1. *Seismic methods*

*Seismic tomography*

*Data inversion*

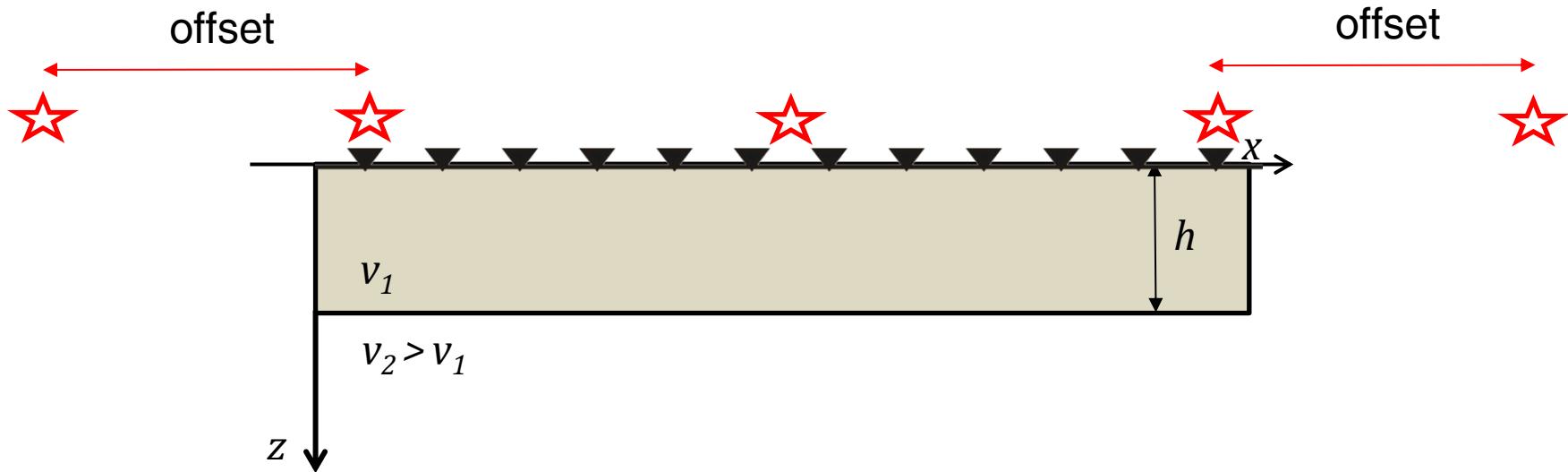
**“Sapienza” University of Rome - DICEA Area Geofisica**

phone: +39 06 44 585 078

email: [giorgio.dedonno@uniroma1.it](mailto:giorgio.dedonno@uniroma1.it)

In classical seismic refraction we have 5 shots and 24 or more receivers

**hp. 1-D model (plane and parallel layers) ->  $v=f(z)$**

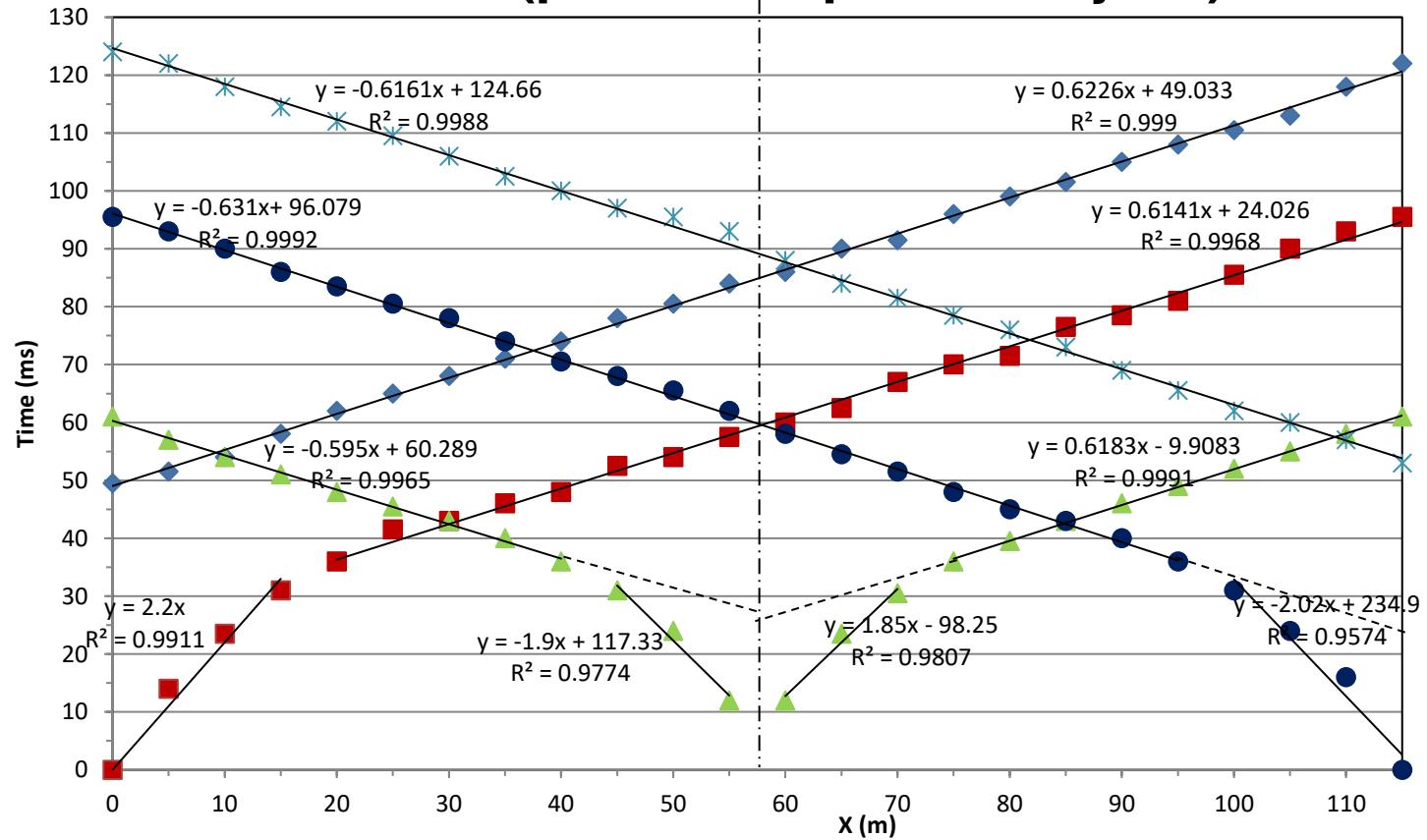


**Q. Why do we have 5 shots?**

**A. To check the hypothesis of 1-D model through the reciprocity of  $x-t$  curves.**  
Travel-time recorded at G24 due to shot at G1 should be the same of travel-time recorded at G1 due to the shot at G24 and interpolated lines should have the same slope.

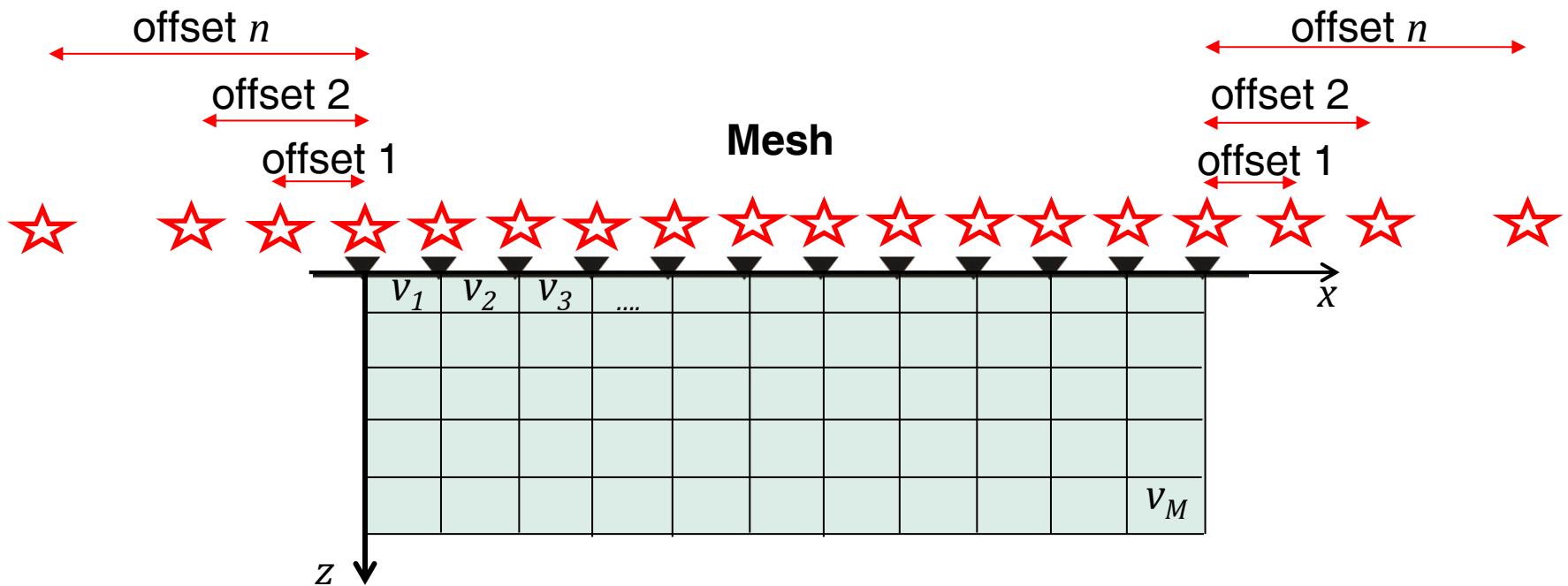
Time-distance plot: compute  $v_1$ ,  $v_2$  and  $h$  and check for the error on the different shots.

**However, this is not a high-resolution image of the subsoil but only a 1-D model (plane and parallel layers)**



# Seismic tomography

For a tomographic 2-D (or 3-D) reconstruction of the subsoil we need more information: it means more ray-paths and therefore shots should be executed at each receiver position and with many offsets (if feasible). The final image is made by pixels-> $v=f(x, y, z)$

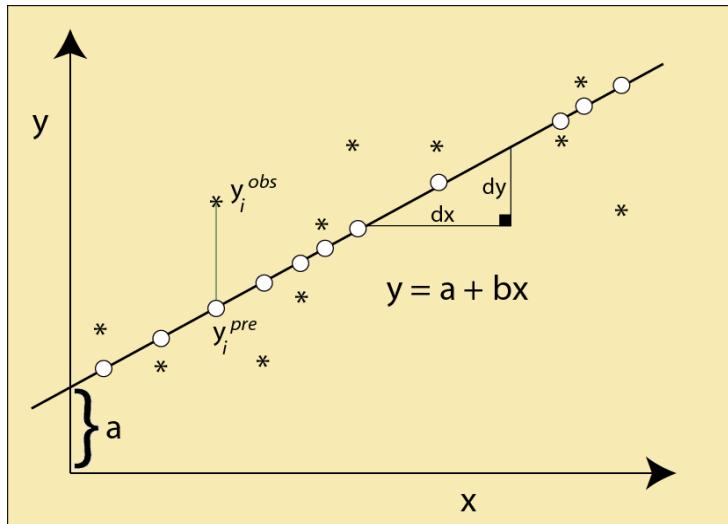


# Data inversion - Least-squares method

## Q. Why I need more information?

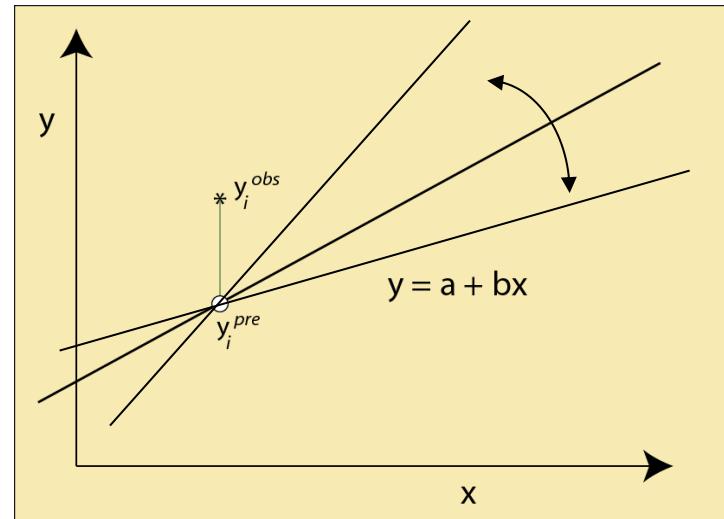
**A. Because I have much more unknowns (pixel velocities) to be solved**

Can  $a$  and  $b$  be resolved having only one point (e.g. in **exercises n.2-3**)?



### Over-determined

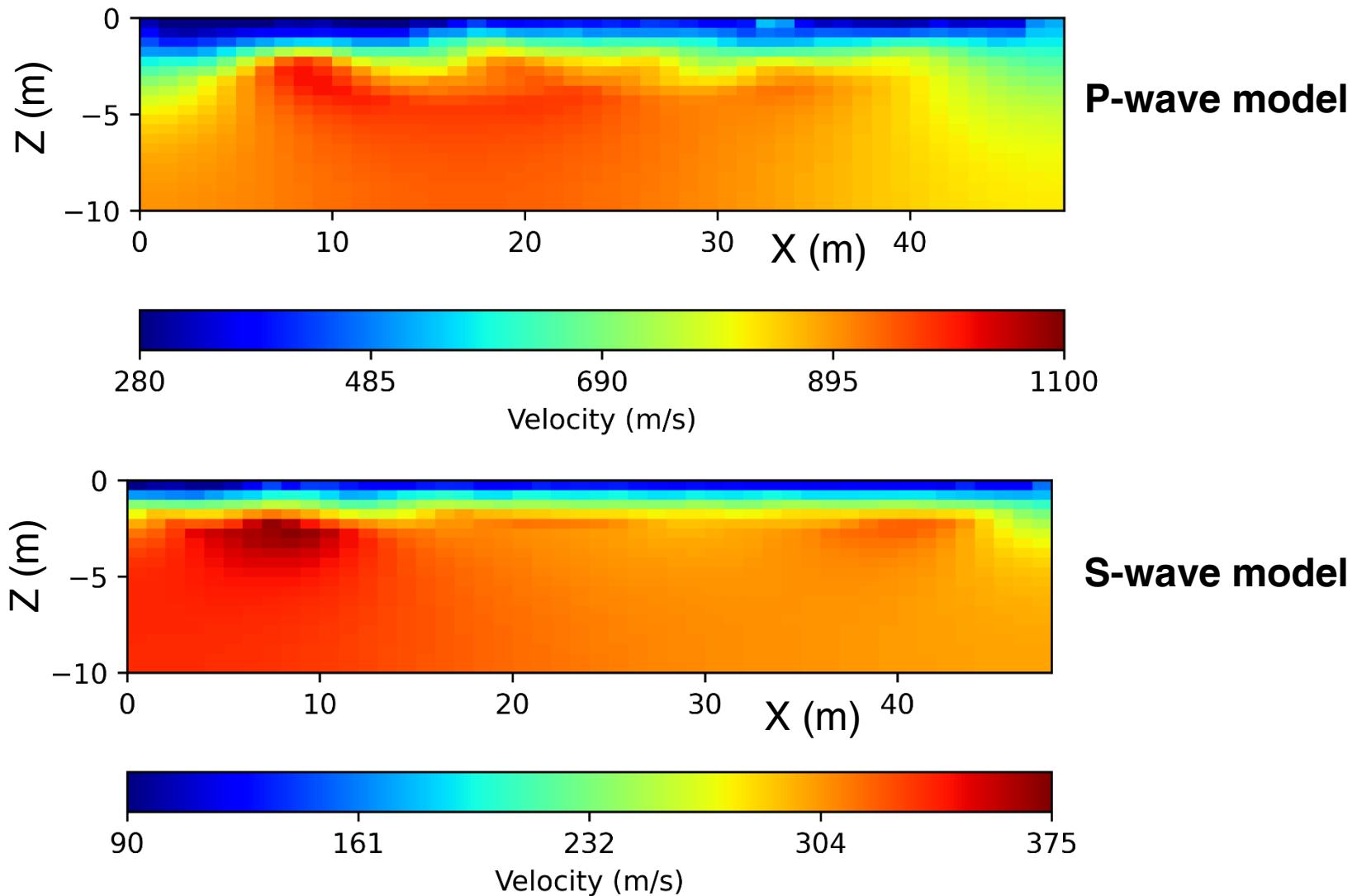
Two unknown parameters and many data points: we can fit these points with a line and estimate the goodness of fit



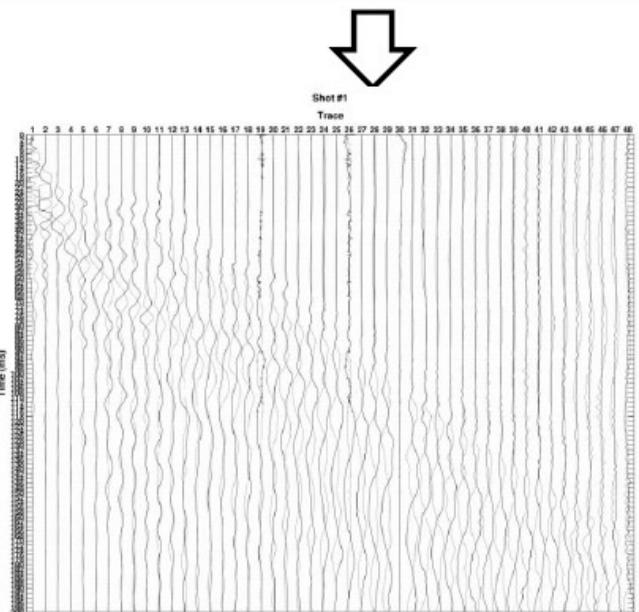
### Under-determined

Two unknown parameters and only one data points (infinite lines can fit this point)

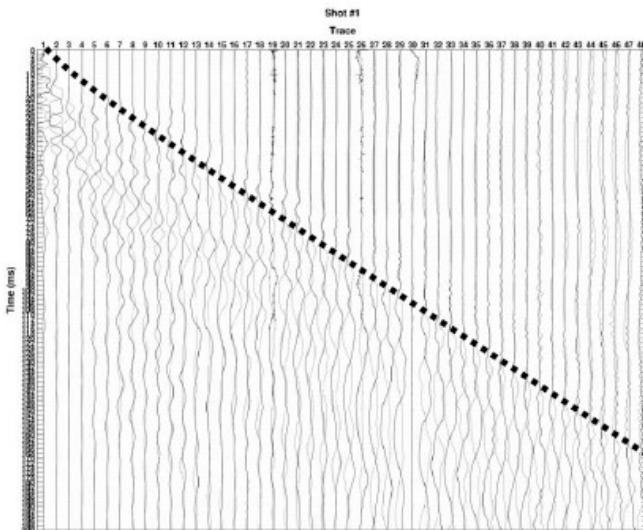
# Seismic tomography – Example final 2-D output



# Seismic tomography



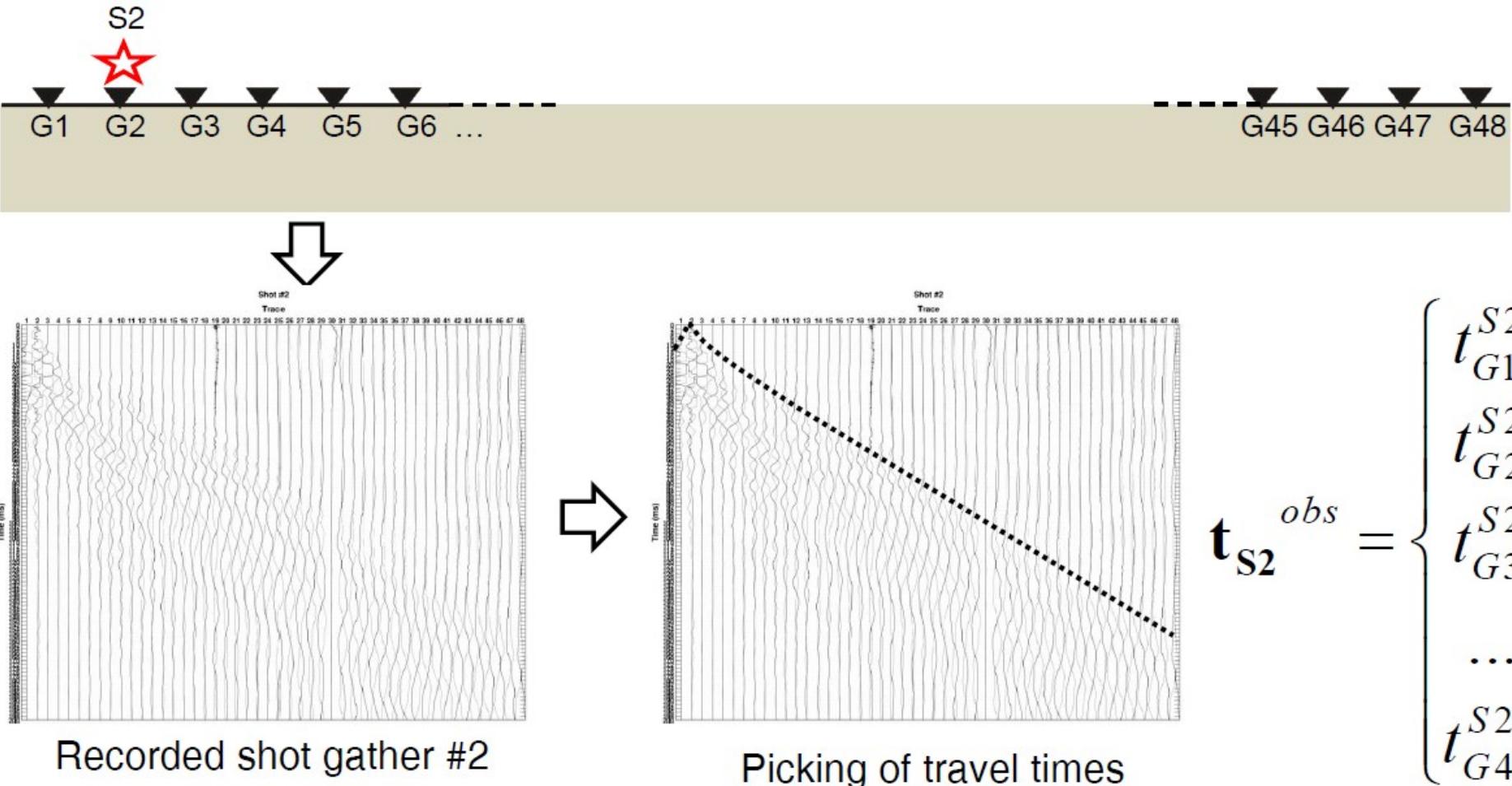
Recorded shot gather #1



Picking of travel times

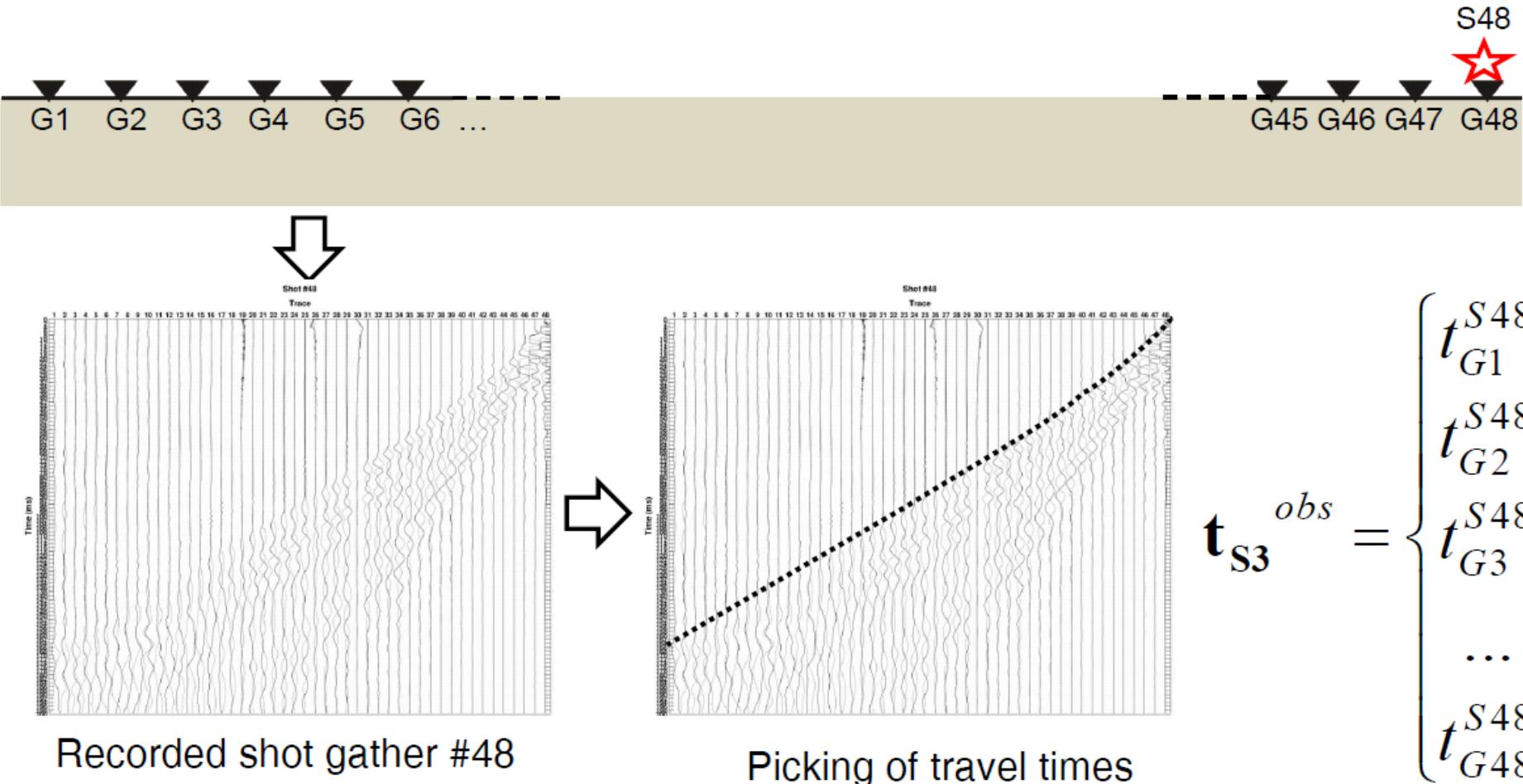
$$\mathbf{t}_{S1}^{obs} = \begin{Bmatrix} t_{G1}^{S1} \\ t_{G2}^{S1} \\ t_{G3}^{S1} \\ \dots \\ t_{G48}^{S1} \end{Bmatrix}$$

# Seismic tomography



$$\mathbf{t}_{S2}^{obs} = \begin{Bmatrix} t_{G1}^{S2} \\ t_{G2}^{S2} \\ t_{G3}^{S2} \\ \dots \\ t_{G48}^{S2} \end{Bmatrix}$$

# Seismic tomography

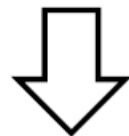
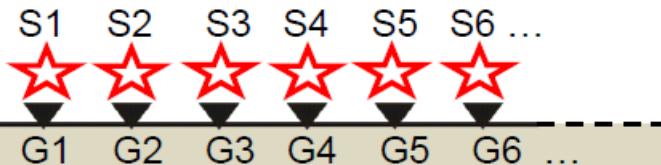


$$\mathbf{t}_{S3}^{obs} = \begin{Bmatrix} t_{G1}^{S48} \\ t_{G2}^{S48} \\ t_{G3}^{S48} \\ \dots \\ t_{G48}^{S48} \end{Bmatrix}$$

Recorded shot gather #48

Picking of travel times

# Seismic tomography



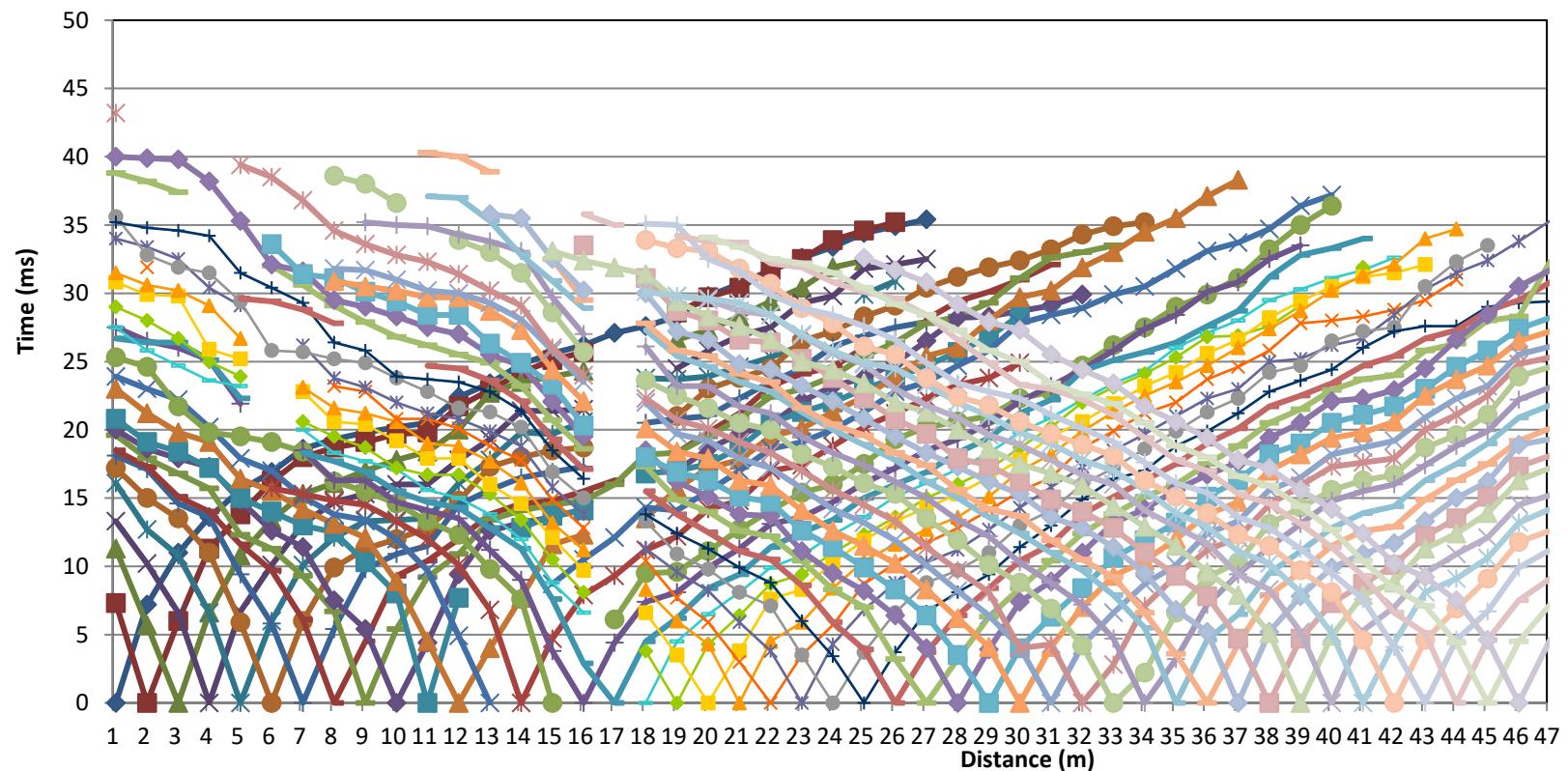
$$\mathbf{t}_{TOT}^{obs} = \begin{bmatrix} \mathbf{t}_{S1}^{obs} \\ \mathbf{t}_{S2}^{obs} \\ \mathbf{t}_{S3}^{obs} \\ \dots \\ \mathbf{t}_{S48}^{obs} \end{bmatrix}$$

Each term is a vector that contains 48 travel-times

TOTAL NUMBER OF TRAVEL-TIMES AND CONSEQUENTLY OF RAY-PATHS  
 $SIZE(\mathbf{t}_{TOT}) = 48 \times 48 = 2304$

# Seismic tomography

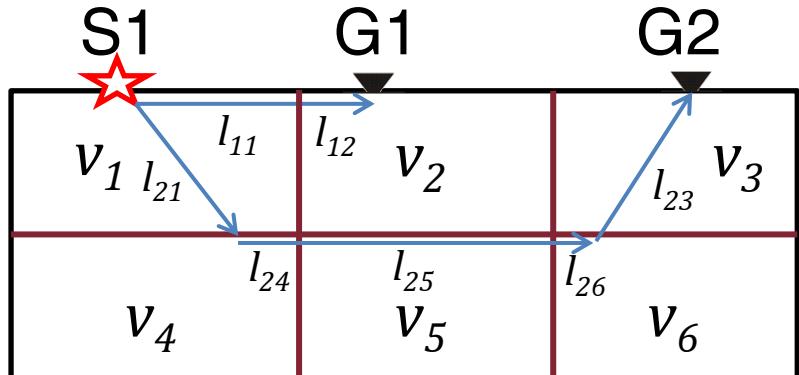
For seismic tomographic we have much more information, and we cannot apply the simple interpretation seen before!  
 The correct approach is to perform **data inversion**!



# Seismic tomography – Data inversion

Ex. 1 – 1 shot, 2 geophones, 6 pixels

First guess of velocity model from prior information  $v^{(1)} = (v_1 = v_2 = v_3 < v_4 = v_5 = v_6)$



We introduce the **slowness**  $s$ :

$$s = \frac{1}{v}$$

Therefore, we can **predict** the travel-times:

$$\begin{cases} t_1^{pre} = l_{11}s_1 + l_{12}s_2 \\ t_2^{pre} = l_{21}s_1 + l_{24}s_4 + l_{25}s_5 + l_{26}s_6 + l_{23}s_3 \end{cases}$$

Index of the observation    Index of the pixel velocity

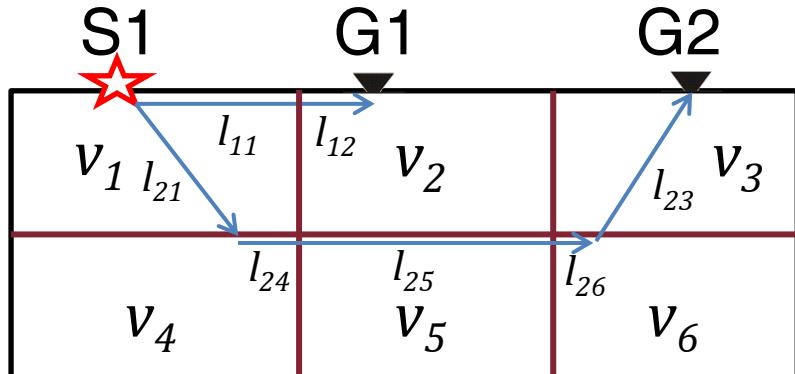


$$\begin{cases} t_1^{pre} \\ t_2^{pre} \end{cases} = \begin{bmatrix} l_{11} & l_{12} & 0 & 0 & 0 & 0 \\ l_{21} & 0 & l_{23} & l_{24} & l_{25} & l_{26} \end{bmatrix} \begin{cases} s_1 \\ s_2 \\ s_3 \\ s_4 \\ s_5 \\ s_6 \end{cases}$$

# Seismic tomography – Data inversion

Ex. 1 – 1 shot, 2 geophones, 6 pixels

First guess of velocity model from prior information  $v^{(1)} = (v_1 = v_2 = v_3 > v_4 = v_5 = v_6)$



We introduce the **slowness**  $s$ :

$$s = \frac{1}{v}$$

Generalizing:

$$t_i^{pre} = \sum_{j=1}^M l_{ij} s_j \quad i = 1, 2, \dots, N$$



$$\{\mathbf{T}^{pre}\} = [\mathbf{L}]\{\mathbf{S}\}$$

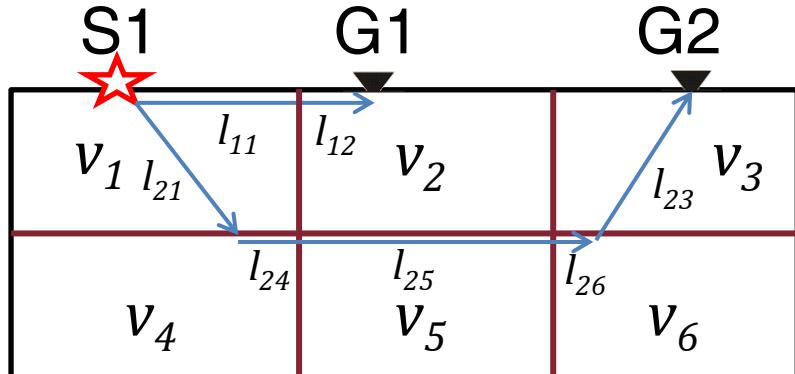
**Q. Can I directly find the velocity (slowness) vector by substituting the observed (picked) times to the predicted ones?**

**A. No! Why?**

# Seismic tomography – Data inversion

Ex. 1 – 1 shot, 2 geophones, 6 pixels

First guess of velocity model from prior information  $v^{(1)} = (v_1 = v_2 = v_3 > v_4 = v_5 = v_6)$

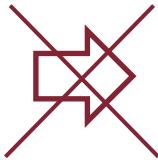


We introduce the **slowness**  $s$ :

$$s = \frac{1}{v}$$

Substituting the observations:

$$\{\mathbf{T}^{obs}\} = [\mathbf{L}]\{\mathbf{S}\}$$



$$\{\mathbf{S}\} = [\mathbf{L}]^{-1}\{\mathbf{T}^{obs}\}$$

A1. Because **[L] is generally not a square matrix** and cannot be inverted.

A2. I can manage to make **[L]** a square matrix i.e. forcing the number of pixels to be equal to the number of observations (difficult but feasible). But the observed **travel-times are affected by errors** (both **systematic and due to measurements** and picking). Hence **the system is inconsistent**: no model can solve it exactly. The best approach is therefore to perform an **iterative inversion process**.

# Data inversion

**INVERSION IS AN ITERATIVE PROCEDURE**

Seismic data acquisition

Observed Travel-times

$t_{OBS}$

First guess on the velocity model (prior information)

$v^{(1)}$

Ray-tracing [L] - Fermat's principle

Predicted Travel-times

$t_{PRE}^{(k)}$

Is the error small enough?

NO

Try a new model  $v^{(k+1)}$

YES

$v^{(k)}$  is the final velocity model

# Data inversion – Misfit functions

$$t^{OBS} - t_{PRE}^{(k)} = \text{DATA MISFIT}$$

We do not minimize the simple sum of data misfit; otherwise, I can have negative values that cancel out the positive ones. It is misleading...

Ex.1 Dataset  $\begin{cases} t^{OBS}(1)=5 \text{ ms} \\ t^{OBS}(2)=10 \text{ ms} \\ t^{OBS}(3)=15 \text{ ms} \end{cases}$  hp. At the first iteration  $t^{PRE}(1,2,3)=10 \text{ ms}$

**Sum of data misfit = 0**

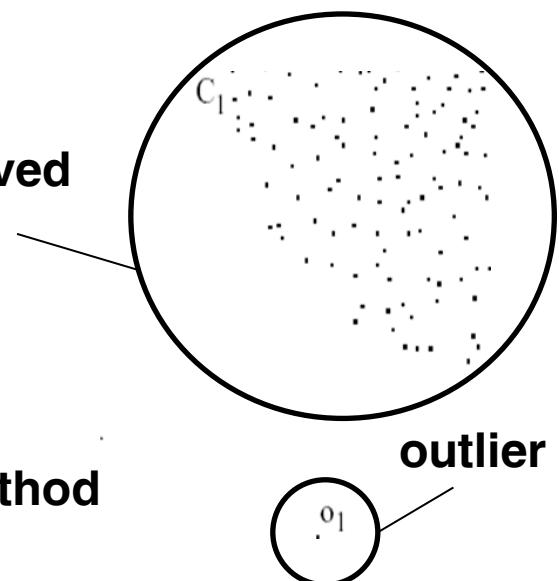
$$E(\mathbf{m}) = \sum_{i=1}^N (t_i^{obs} - t_i^{pre}(\mathbf{m}))^2 = \min$$

**Least-squares method**

In presence of inconsistent observations (**outliers**), the minimization of the absolute misfit is more suitable. This method is called **ROBUST INVERSION**

$$E(\mathbf{m}) = \sum_{i=1}^N |t_i^{obs} - t_i^{pre}(\mathbf{m})| = \min$$

**Robust method**



# Data inversion – LS vs robust inversion

Ex.2 Dataset  $\left\{ \begin{array}{l} t_i^{OBS}(1)=8 \text{ ms} \\ t_i^{OBS}(2)=10 \text{ ms} \\ t_i^{OBS}(3)=10 \text{ ms} \\ t_i^{OBS}(4)=12 \text{ ms} \end{array} \right.$

Hp. At the first iteration  
 $t_i^{PRE}(1,2,\dots,4)=10 \text{ ms}$

$$E(\mathbf{m}) = \sum [4,0,0,4] = 8 \quad \text{LS}$$

$$E(\mathbf{m}) = \sum [2,0,0,2] = 4 \quad \text{Robust}$$

Same weights in the error function to high and low values

Ex.3 Dataset  $\left\{ \begin{array}{l} t_i^{OBS}(1)=1 \text{ ms} \\ t_i^{OBS}(2)=10 \text{ ms} \\ t_i^{OBS}(3)=10 \text{ ms} \\ t_i^{OBS}(4)=30 \text{ ms} \end{array} \right.$

Hp. At the first iteration  
 $t_i^{PRE}(1,2,\dots,4)=10 \text{ ms}$

$$E(\mathbf{m}) = \sum [81,0,0,400] = 481 \quad \text{LS}$$

$$E(\mathbf{m}) = \sum [9,0,0,20] = 29 \quad \text{Robust}$$

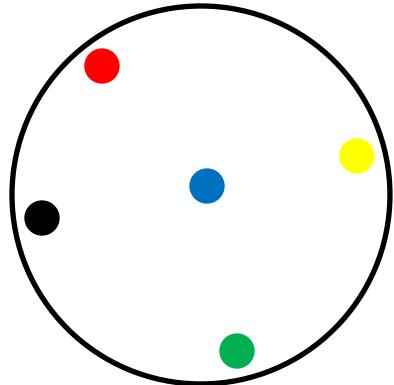
Low values weight less than high values and LS method is highly affected by **outliers**

# Data inversion – Model search

Q. How the new trial models should be selected?

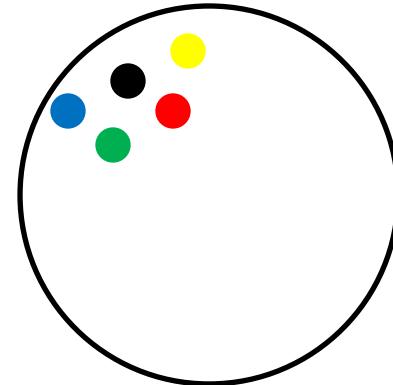
A. I can search for a new model:

**GLOBALLY:** investigating the whole model space



**LOCALLY:** investigating only around the initial guess

- 1° trial
- 2° trial
- 3° trial
- 4° trial
- 5° trial

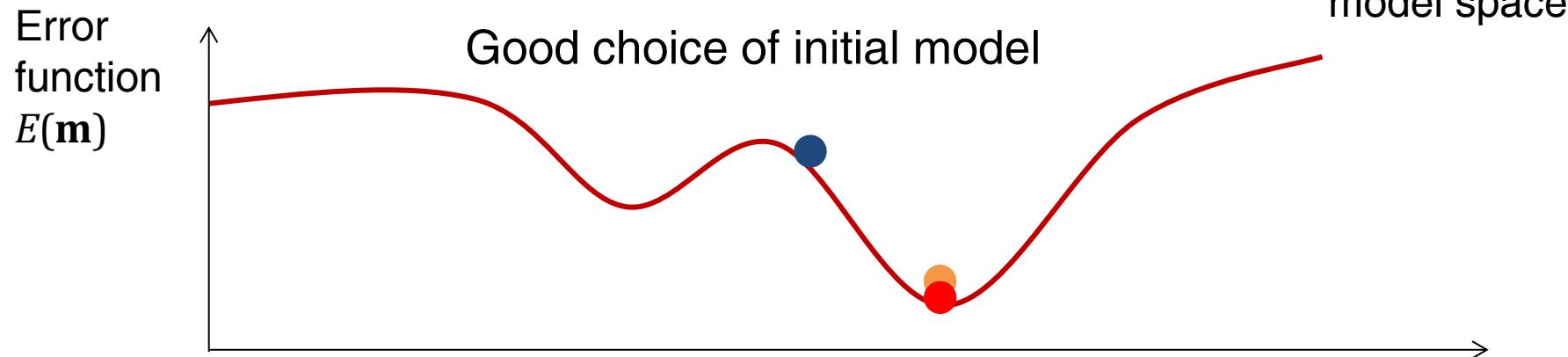
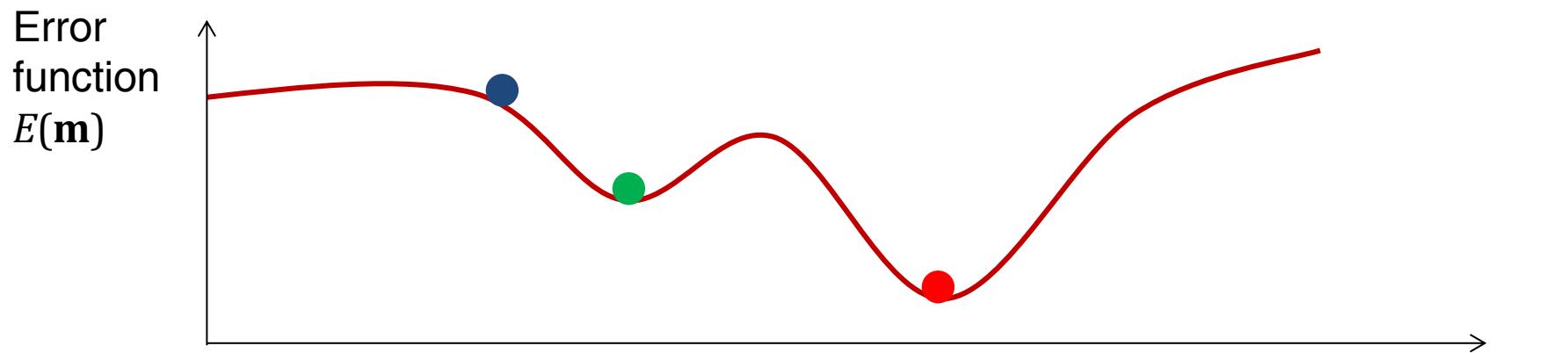


Global methods are slow because they require a number of trials in the model space (often  $> 10.000$ ): if the forward solver is slow this process can take more than 1 day...

However, using local methods the solution can remain entrapped in a relative minimum: therefore **may be not the best one (it depends on the initial guess)**

# Data inversion – Model search

Bad choice of initial model



- First trial
- Relative minimum achieved by local inversion
- Global minimum achieved by global inversion

**Q. When will the iterative process end?**

**A. If error at the current iteration is below a certain threshold.**

**Error is expressed at each iteration  $k$  as Root Mean Square Error (RMSE) for LS inversion:**

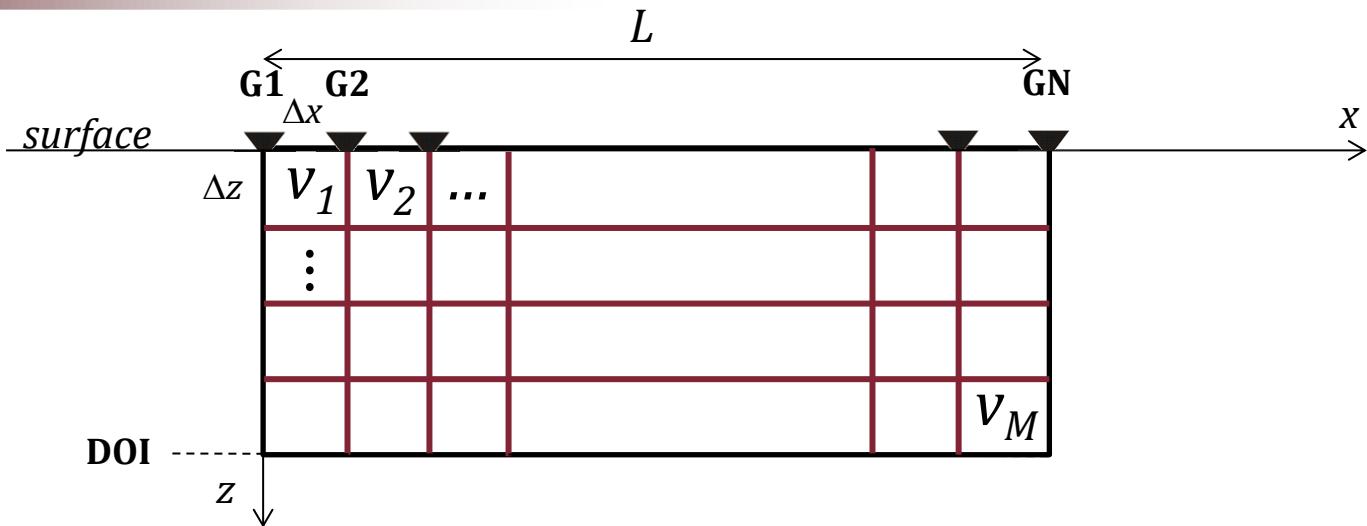
$$RMSE_k(\%) = 100 \sqrt{\frac{\sum_{i=1}^N \left( \frac{t_i^{obs} - t_i^{pre}(\mathbf{m}_k)}{t_i^{obs}} \right)^2}{N}}$$

**and Absolute Error (AE) for robust inversion:**

$$AE_k(\%) = 100 \frac{\sum_{i=1}^N \left| \frac{t_i^{obs} - t_i^{pre}(\mathbf{m}_k)}{t_i^{obs}} \right|}{N}$$

When the difference between the RMSE value of the iteration  $k$  and  $k-1$  is below a certain acceptable value (i.e. 1%) the iterative procedure ends.

# Data inversion – Mesh choice (Resolution and DOI)



**Rule of thumb** (both resolution and DOI depend on frequency and geophone spacing)

- **Resolution:**

$$hp \cdot \Delta x = \Delta z = \Delta d$$

$$\Delta d_{pixel} \geq \sqrt{\frac{v \Delta x}{2f}}$$

$\Delta x$ : geophone spacing

$v$ : seismic (P- or S-wave) expected velocity

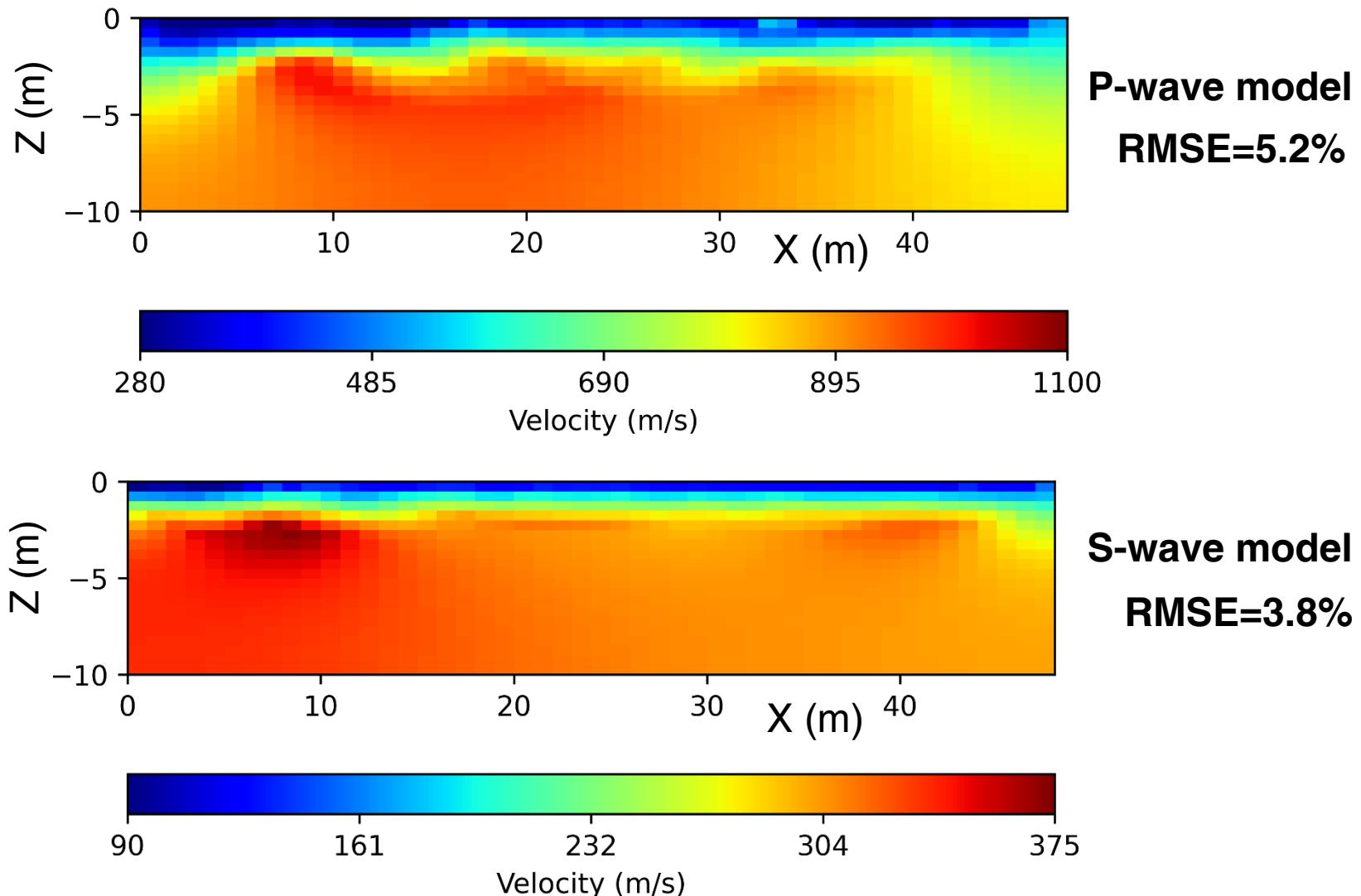
$f$ : seismic wave frequency

Using low-frequency sources and/or large geophone spacings we only get low-resolution models.

- **Depth Of Investigation:**  $DOI \sim \frac{1}{4} \div \frac{1}{5} L \sim 20 - 25\%L$     $L$ : line length

Using low-energy or high-frequency sources and/or short lines we only get information on the shallow layers.

# Seismic tomography – Inverted models



# Seismic tomography – Inverted models

*Uhlemann et al. (2016)*

