



SAPIENZA
UNIVERSITÀ DI ROMA

Environmental geophysics

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1. Seismic methods

Seismic tomography
Data inversion

“Sapienza” University of Rome - DICEA Area Geofisica

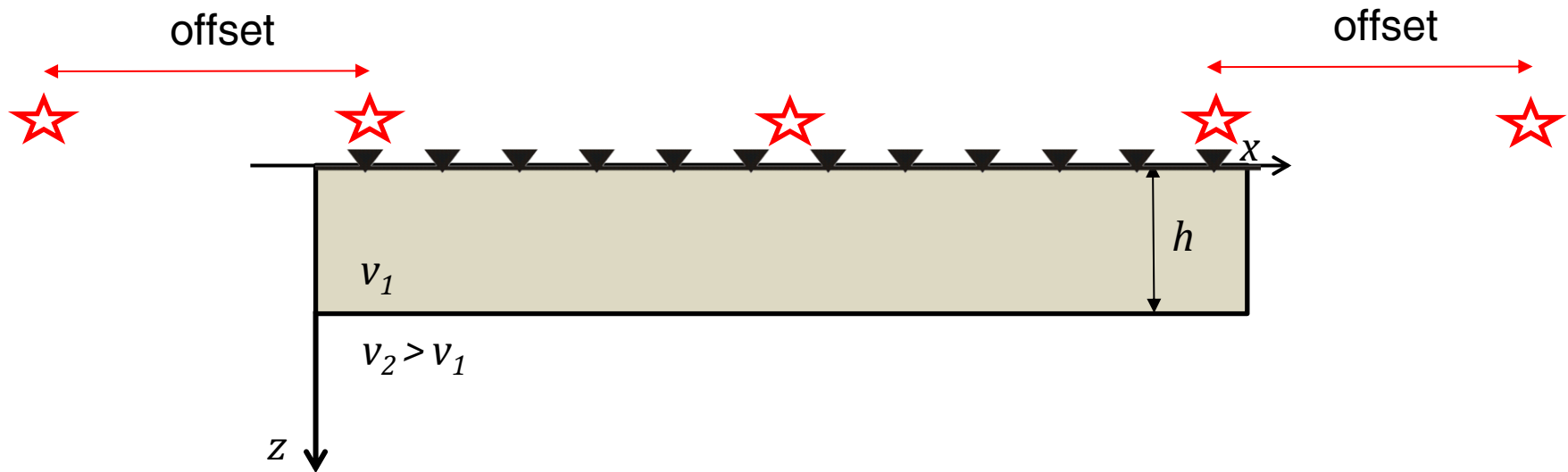
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Seismic refraction – Multichannel acquisition

In classical seismic refraction we have 5 shots and 24 or more receivers

hp. 1-D model (plane and parallel layers) -> $v=f(z)$



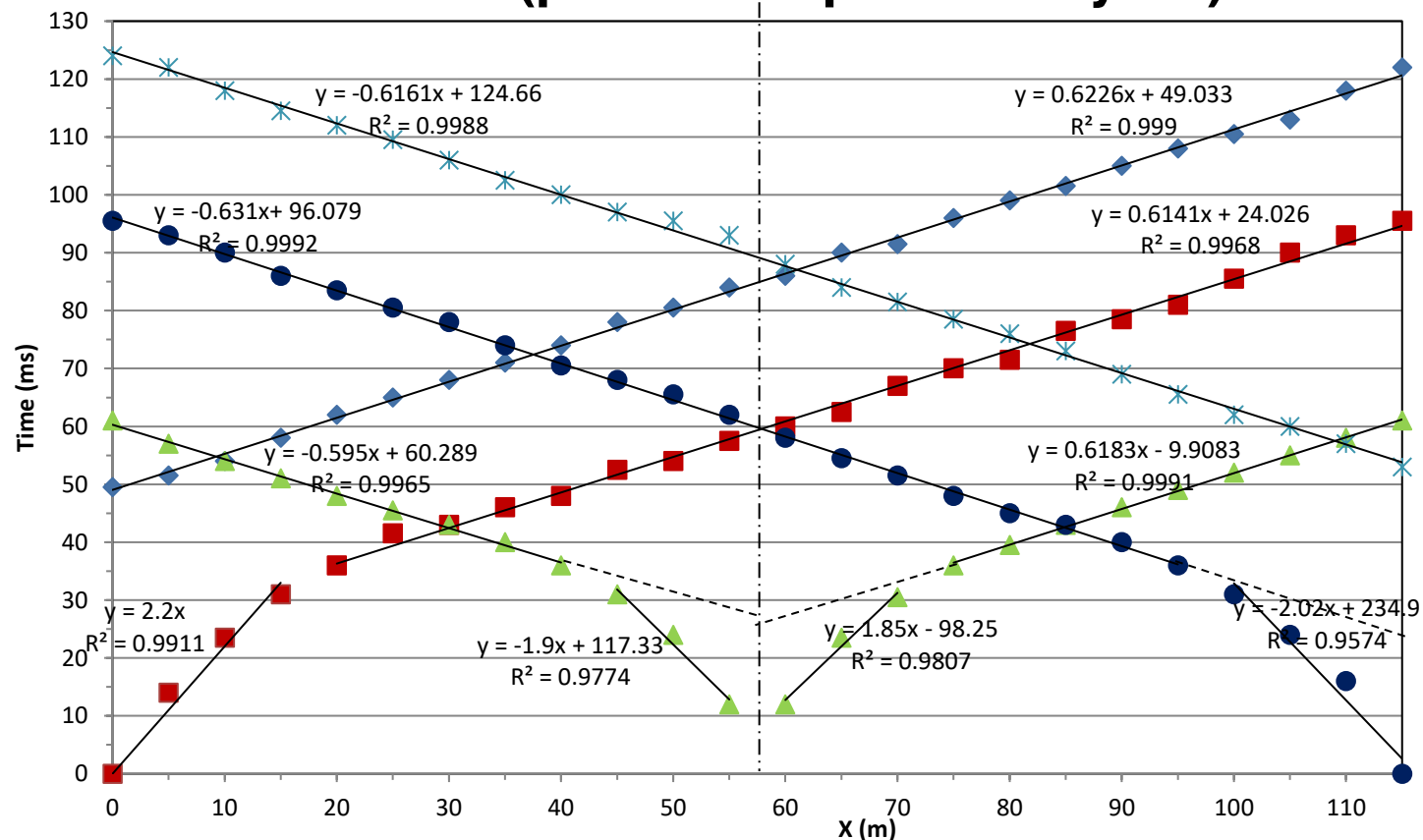
Q. Why do we have 5 shots?

A. To check the hypothesis of 1-D model through the reciprocity of $x-t$ curves. Travel-time recorded at G24 due to shot at G1 should be the same of travel-time recorded at G1 due to the shot at G24 and interpolated lines should have the same slope.

Seismic refraction – Multichannel acquisition

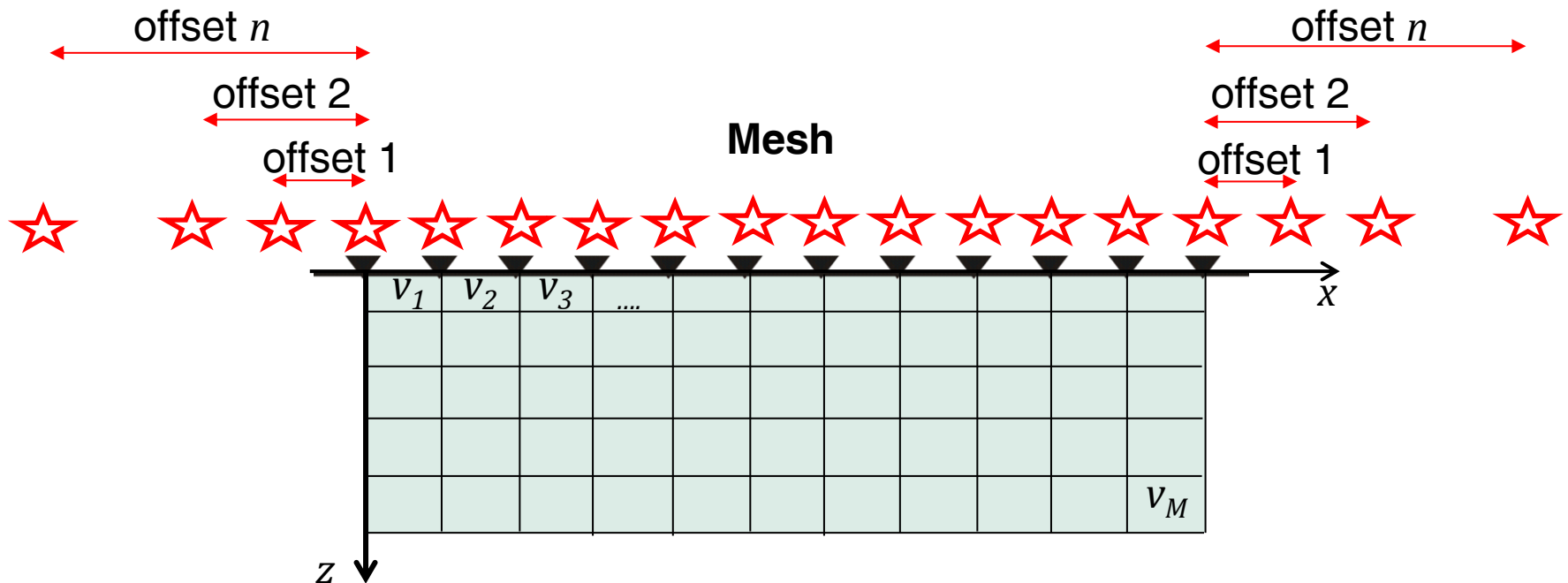
Time-distance plot: compute v_1 , v_2 and h and check for the error on the different shots.

However, this is not a high-resolution image of the subsoil but only a 1-D model (plane and parallel layers)



Seismic tomography

For a tomographic 2-D (or 3-D) reconstruction of the subsoil we need more information: it means more ray-paths and therefore shots should be executed at each receiver position and with many offsets (if feasible). The final image is made by pixels $\rightarrow v=f(x, y, z)$

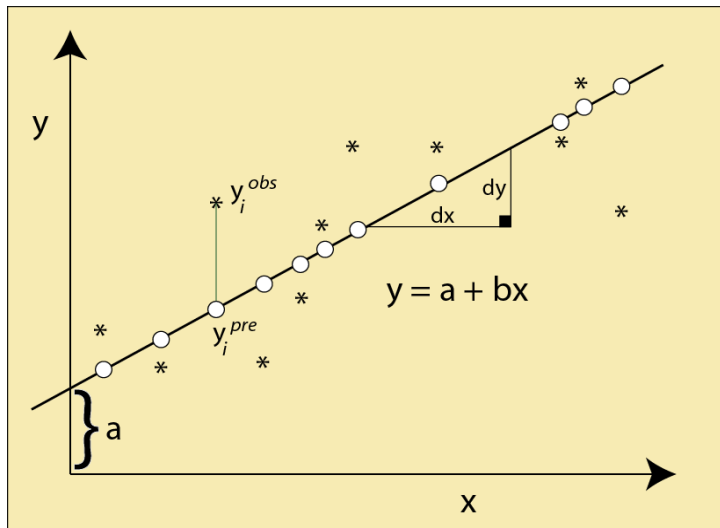


Data inversion - Least-squares method

Q. Why I need more information?

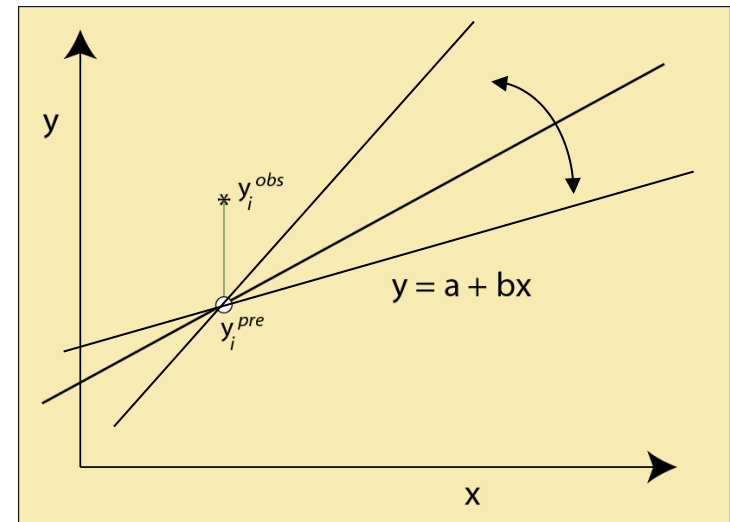
A. Because I have much more unknowns (pixel velocities) to be solved

Can a and b be resolved having only one point (e.g. in **exercises n.2-3**)?



Over-determined

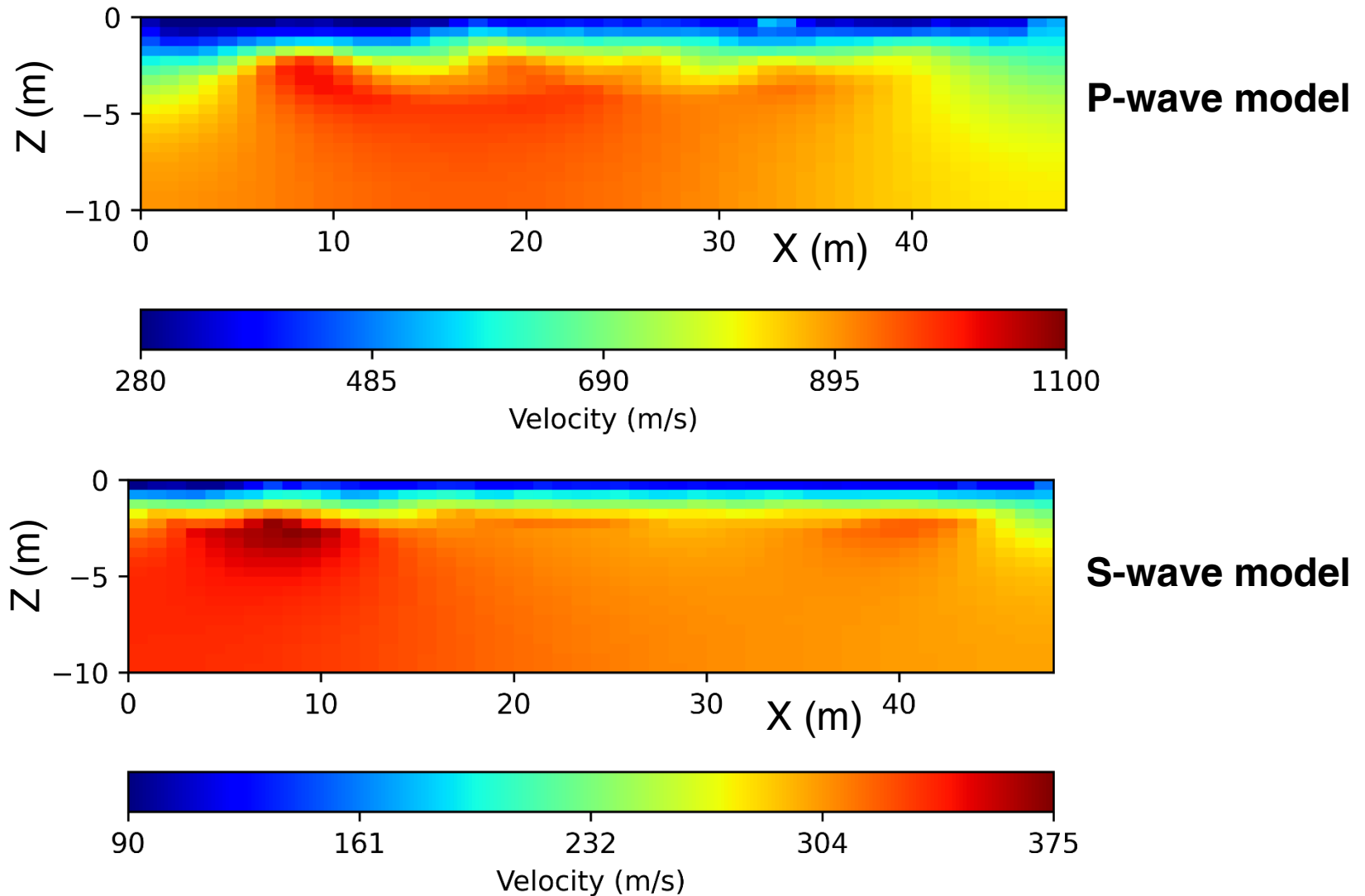
Two unknown parameters and many data points: we can fit these points with a line and estimate the goodness of fit



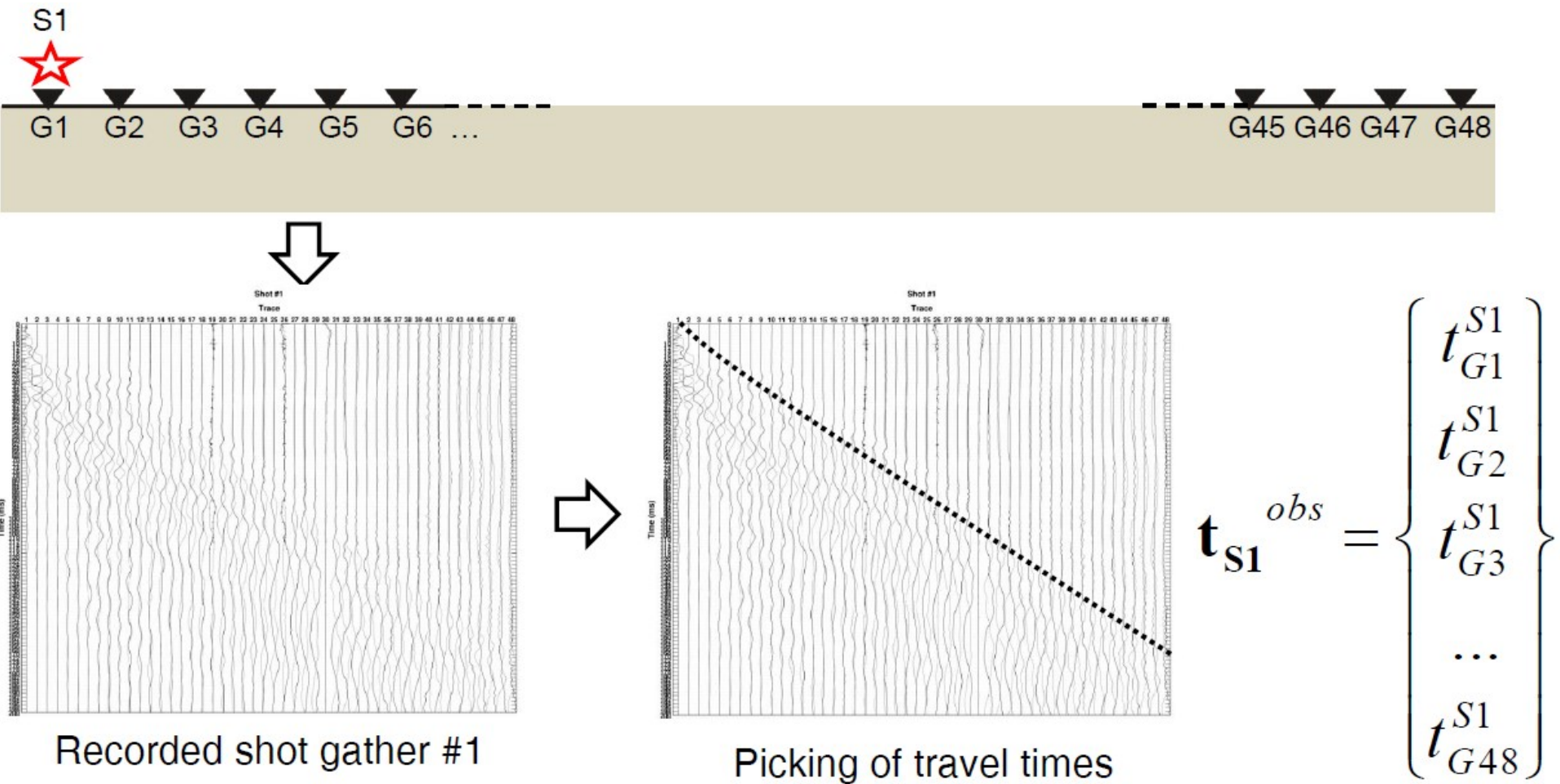
Under-determined

Two unknown parameters and only one data points (infinite lines can fit this point)

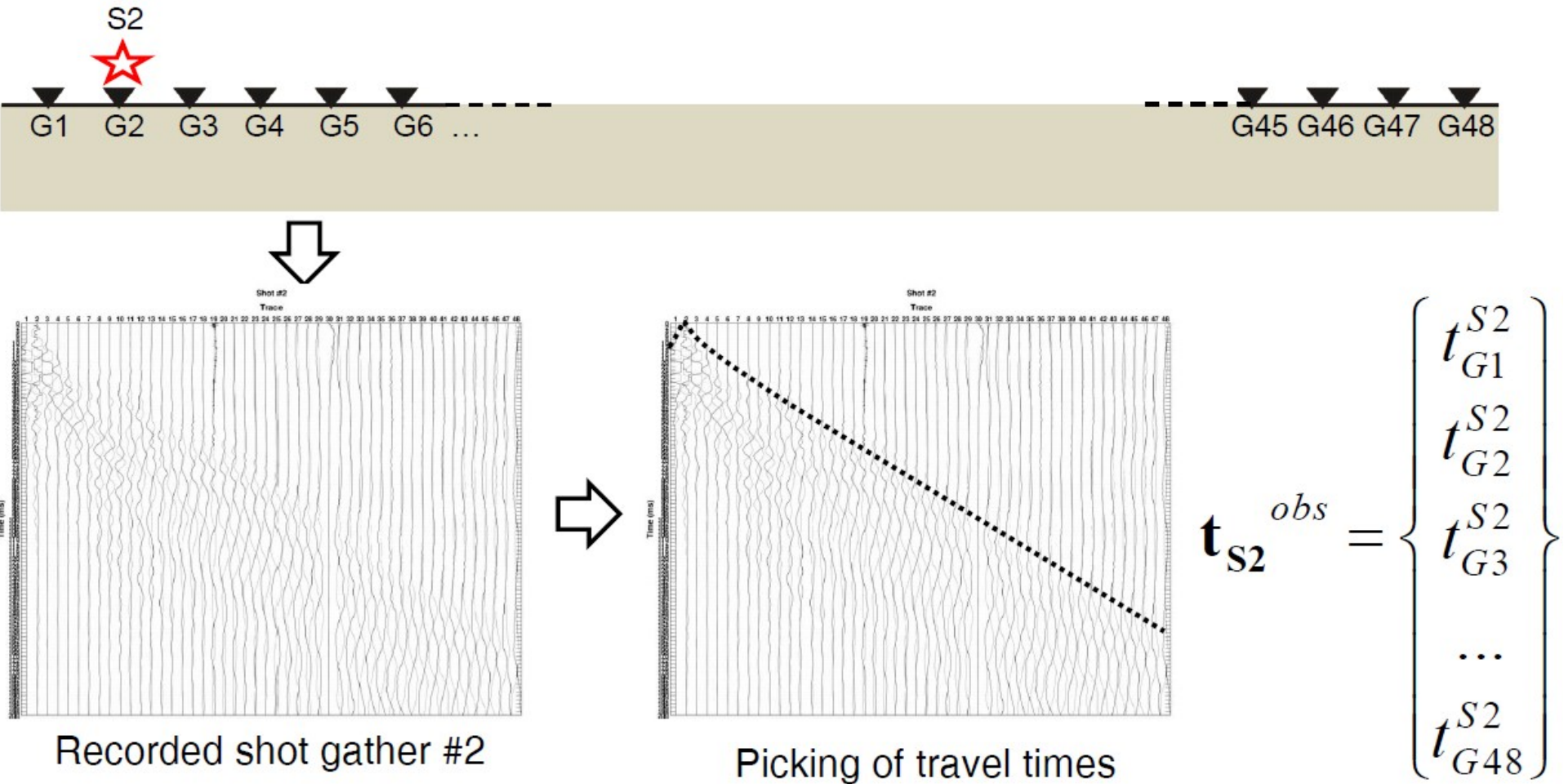
Seismic tomography – Example final 2-D output



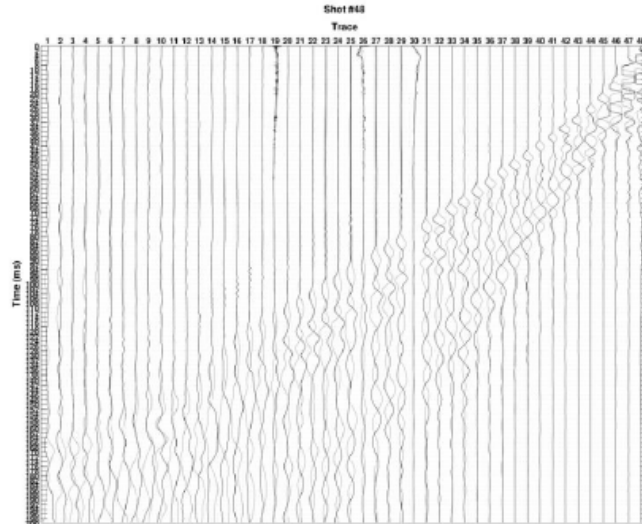
Seismic tomography



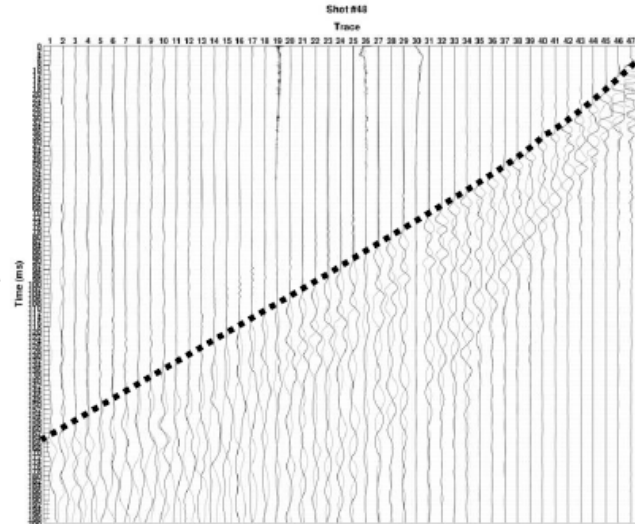
Seismic tomography



Seismic tomography

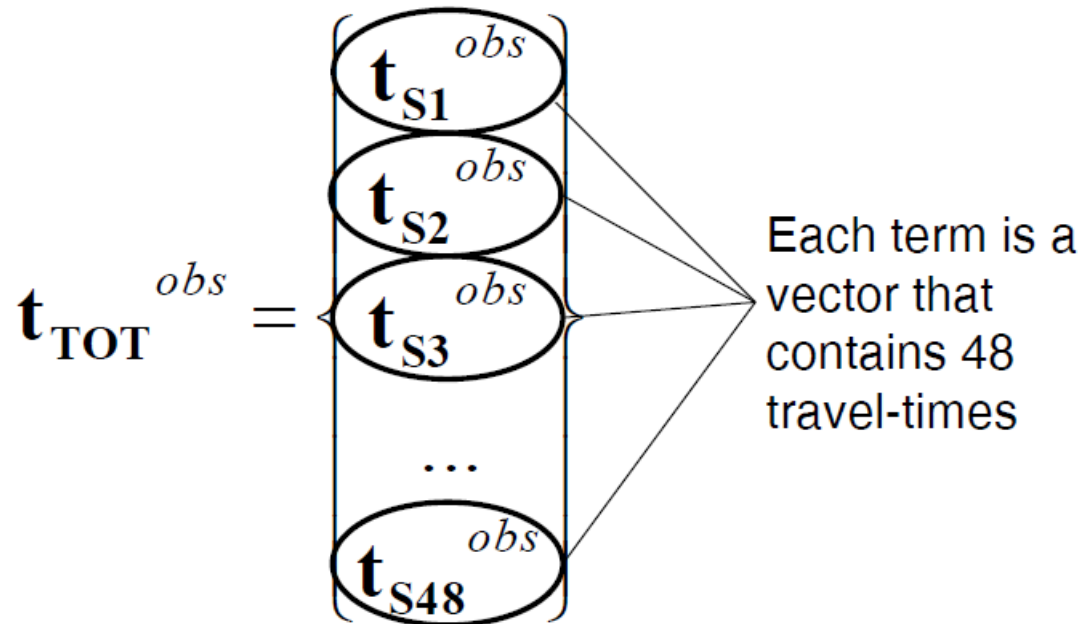


Recorded shot gather #48



Picking of travel times

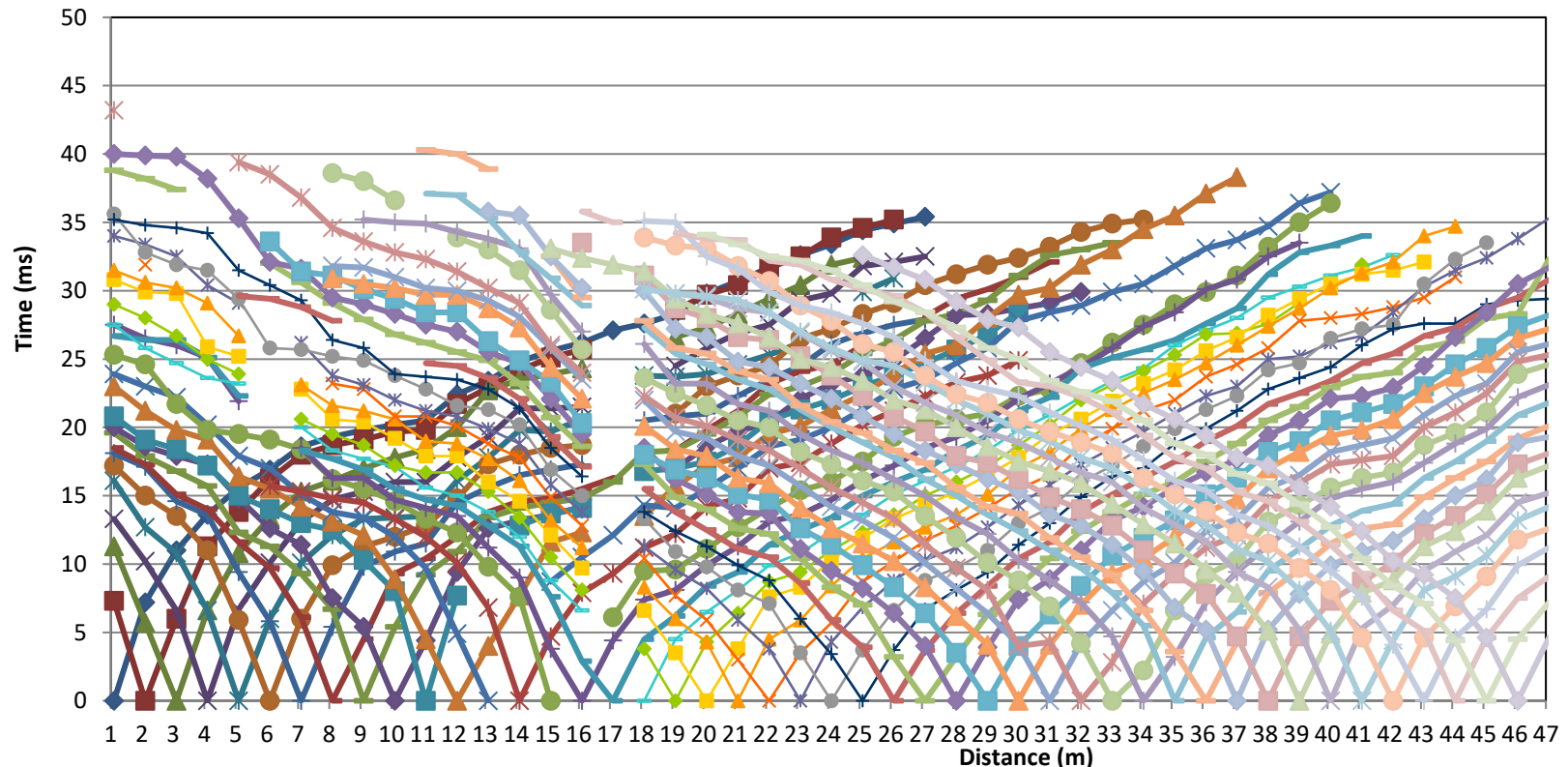
$$\mathbf{t}_{S3}^{obs} = \begin{Bmatrix} t_{G1}^{S48} \\ t_{G2}^{S48} \\ t_{G3}^{S48} \\ \dots \\ t_{G48}^{S48} \end{Bmatrix}$$



TOTAL NUMBER OF
TRAVEL-TIMES AND
CONSEQUENTLY OF
RAY-PATHS
 $\text{SIZE}(\mathbf{t}_{\text{TOT}}) = 48 \times 48 = 2304$

Seismic tomography

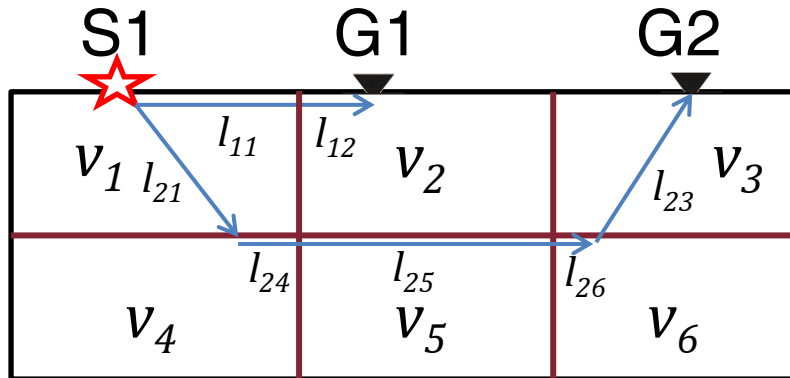
For seismic tomographic we have much more information, and we cannot apply the simple interpretation seen before!
The correct approach is to perform **data inversion**!



Seismic tomography – Data inversion

Ex. 1 – 1 shot, 2 geophones, 6 pixels

First guess of velocity model from prior information $\mathbf{v}^{(1)} = (v_1 = v_2 = v_3 < v_4 = v_5 = v_6)$



We introduce the **slowness** s :

$$s = \frac{1}{v}$$

Therefore, we can **predict** the travel-times:

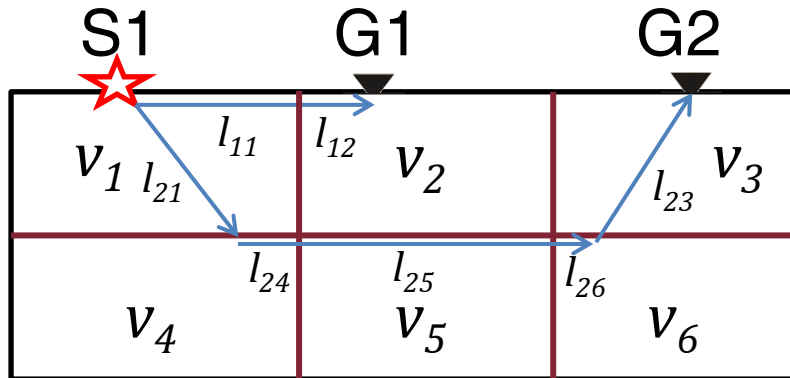
$$\begin{cases} t_1^{pre} = l_{11}s_1 + l_{12}s_2 \\ t_2^{pre} = l_{21}s_1 + l_{24}s_4 + l_{25}s_5 + l_{26}s_6 + l_{23}s_3 \end{cases} \Rightarrow \begin{Bmatrix} t_1^{pre} \\ t_2^{pre} \end{Bmatrix} = \begin{bmatrix} l_{11} & l_{12} & 0 & 0 & 0 & 0 \\ l_{21} & 0 & l_{23} & l_{24} & l_{25} & l_{26} \end{bmatrix} \begin{Bmatrix} s_1 \\ s_2 \\ s_3 \\ s_4 \\ s_5 \\ s_6 \end{Bmatrix}$$

Index of the observation Index of the pixel velocity

Seismic tomography – Data inversion

Ex. 1 – 1 shot, 2 geophones, 6 pixels

First guess of velocity model from prior information $\mathbf{v}^{(1)} = (v_1 = v_2 = v_3 > v_4 = v_5 = v_6)$



We introduce the **slowness** s :

$$s = \frac{1}{v}$$

Generalizing:

$$t_i^{pre} = \sum_{j=1}^M l_{ij} s_j \quad i = 1, 2, \dots, N$$



$$\{\mathbf{T}^{pre}\} = [\mathbf{L}]\{\mathbf{S}\}$$

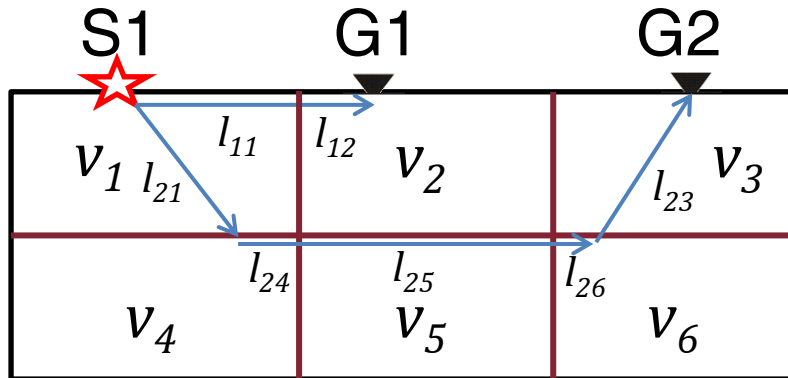
Q. Can I directly find the velocity (slowness) vector by substituting the observed (picked) times to the predicted ones?

A. No! Why?

Seismic tomography – Data inversion

Ex. 1 – 1 shot, 2 geophones, 6 pixels

First guess of velocity model from prior information $\mathbf{v}^{(1)} = (v_1 = v_2 = v_3 > v_4 = v_5 = v_6)$



We introduce the **slowness** s :

$$s = \frac{1}{v}$$

Substituting the observations:

$$\{\mathbf{T}^{obs}\} = [\mathbf{L}]\{\mathbf{S}\}$$



$$\{\mathbf{S}\} = [\mathbf{L}]^{-1}\{\mathbf{T}^{obs}\}$$

A1. Because $[\mathbf{L}]$ is **generally not a square matrix** and cannot be inverted.

A2. I can manage to make $[\mathbf{L}]$ a square matrix i.e. forcing the number of pixels to be equal to the number of observations (difficult but feasible). But the observed **travel-times are affected by errors** (both **systematic and due to measurements** and picking). Hence **the system is inconsistent**: no model can solve it exactly. The best approach is therefore to perform an **iterative inversion process**.

Data inversion



**INVERSION IS AN
ITERATIVE PROCEDURE**

Seismic data acquisition

**Observed
Travel-times**

t_{OBS}

First guess on the velocity
model (prior information)

$v^{(1)}$

Ray-tracing [L] - Fermat's principle

**Predicted
Travel-times**

$t_{\text{PRE}}^{(k)}$

Is the error
small enough?

YES

$v^{(k)}$ is the final
velocity model

NO

**Try a new
model $v^{(k+1)}$**

Data inversion – Misfit functions

$$t^{OBS} - t_{PRE}^{(k)} = \text{DATA MISFIT}$$

We do not minimize the simple sum of data misfit; otherwise, I can have negative values that cancel out the positive ones. It is misleading...

Ex.1 Dataset $\begin{cases} t^{OBS}(1)=5 \text{ ms} \\ t^{OBS}(2)=10 \text{ ms} \\ t^{OBS}(3)=15 \text{ ms} \end{cases}$ hp. At the first iteration $t^{PRE}(1,2,3)=10 \text{ ms}$ **Sum of data misfit = 0**

$$E(\mathbf{m}) = \sum_{i=1}^N (t_i^{obs} - t_i^{pre}(\mathbf{m}))^2 = \min$$

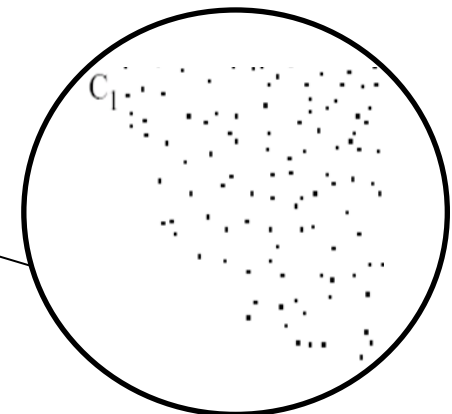
Least-squares method

In presence of inconsistent observations (**outliers**), the minimization of the absolute misfit is more suitable. This method is called **ROBUST INVERSION**

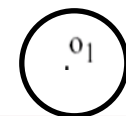
$$E(\mathbf{m}) = \sum_{i=1}^N |t_i^{obs} - t_i^{pre}(\mathbf{m})| = \min$$

Robust method

Observed data



outlier



Data inversion – LS vs robust inversion

$$\text{Ex.2 Dataset} \quad \begin{cases} t_i^{OBS}(1)=8 \text{ ms} \\ t_i^{OBS}(2)=10 \text{ ms} \\ t_i^{OBS}(3)=10 \text{ ms} \\ t_i^{OBS}(4)=12 \text{ ms} \end{cases}$$

Hp. At the first iteration
 $t_i^{PRE}(1,2,\dots,4)=10 \text{ ms}$

$$E(\mathbf{m}) = \sum [4,0,0,4] = 8 \quad \text{LS}$$

$$E(\mathbf{m}) = \sum [2,0,0,2] = 4 \quad \text{Robust}$$

Same weights in the error function to high and low values

$$\text{Ex.3 Dataset} \quad \begin{cases} t_i^{OBS}(1)=1 \text{ ms} \\ t_i^{OBS}(2)=10 \text{ ms} \\ t_i^{OBS}(3)=10 \text{ ms} \\ t_i^{OBS}(4)=30 \text{ ms} \end{cases}$$

Hp. At the first iteration
 $t_i^{PRE}(1,2,\dots,4)=10 \text{ ms}$

$$E(\mathbf{m}) = \sum [81,0,0,400] = 481 \quad \text{LS}$$

$$E(\mathbf{m}) = \sum [9,0,0,20] = 29 \quad \text{Robust}$$

Low values weight less than high values and LS method is highly affected by **outliers**

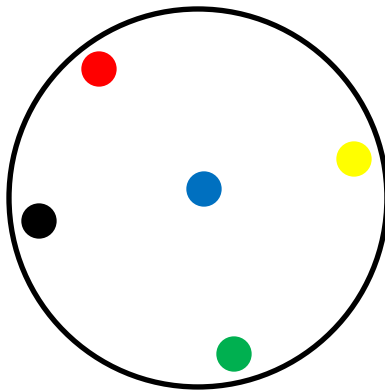
Data inversion – Model search

Q. How the new trial models should be selected?

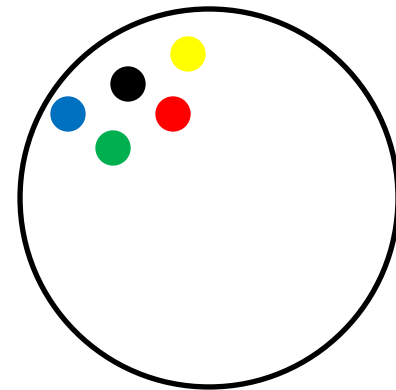
A. I can search for a new model:

GLOBALLY: investigating the whole model space

LOCALLY: investigating only around the initial guess



- 1° trial
- 2° trial
- 3° trial
- 4° trial
- 5° trial

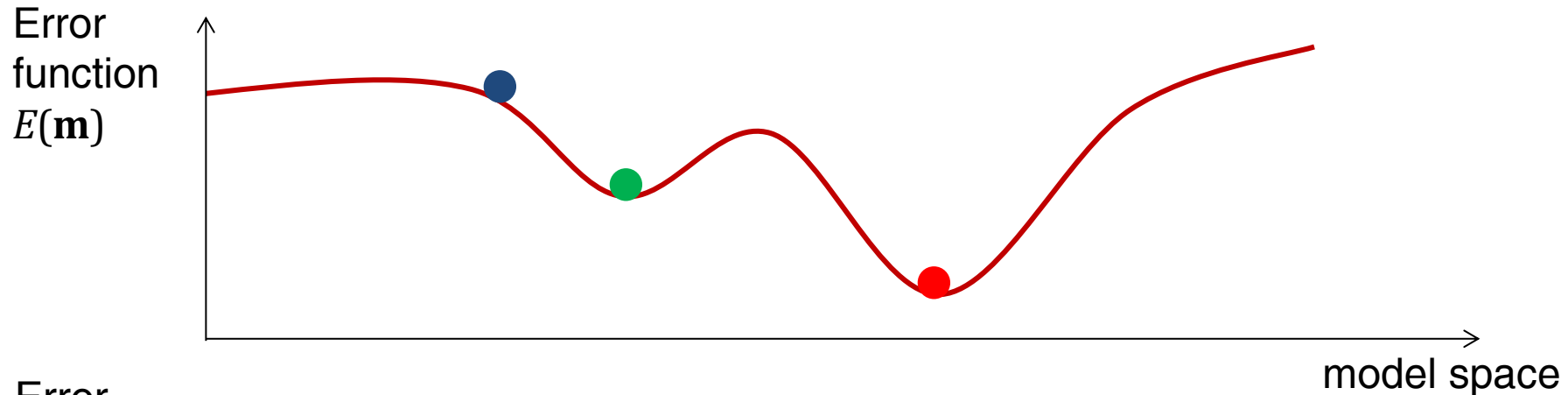


Global methods are slow because they require a number of trials in the model space (often > 10.000): if the forward solver is slow this process can take more than 1 day...

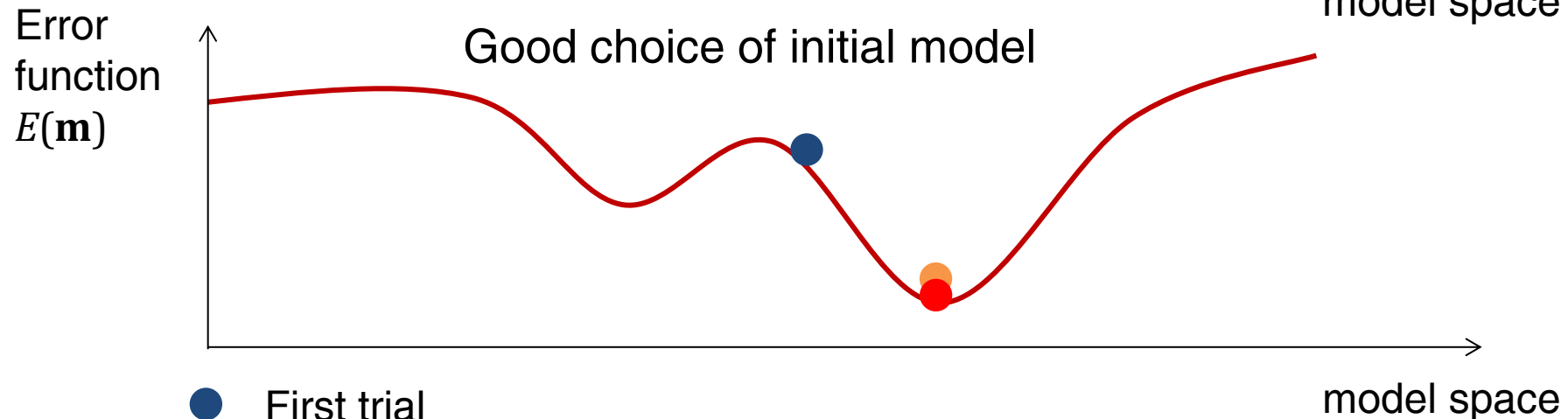
However, using local methods the solution can remain entrapped in a relative minimum: therefore **may be not the best one (it depends on the initial guess)**

Data inversion – Model search

Bad choice of initial model



Good choice of initial model



- First trial
- Relative minimum achieved by local inversion
- Global minimum achieved by global inversion

Data inversion - Goodness of fit

Q. When will the iterative process end?

A. If error at the current iteration is below a certain threshold.

Error is expressed at each iteration k as Root Mean Square Error (RMSE) for LS inversion:

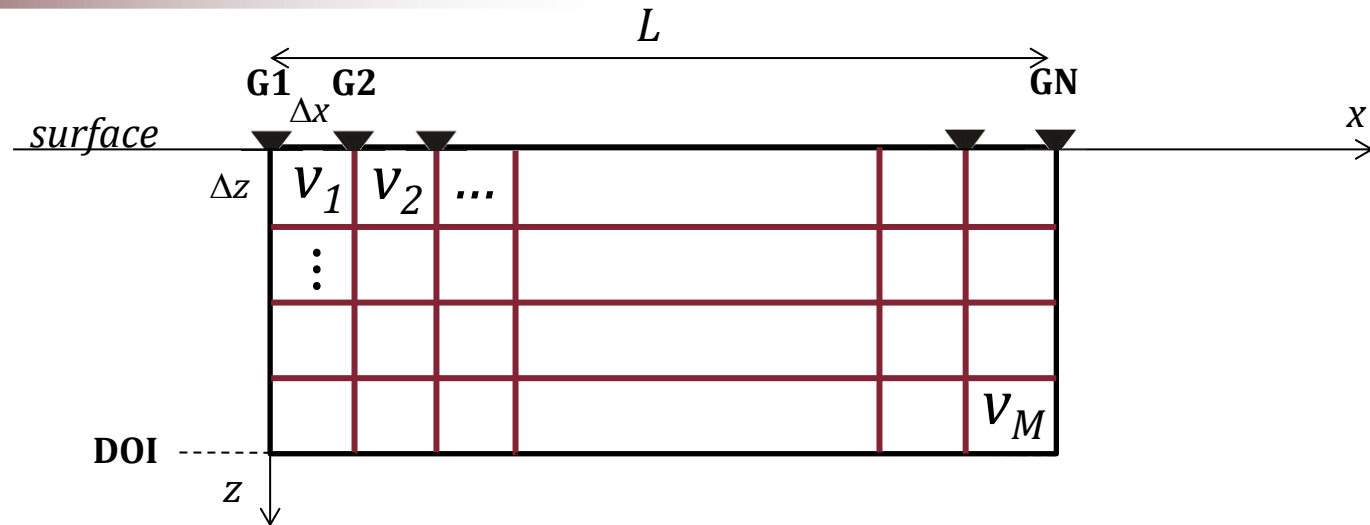
$$RMSE_k(\%) = 100 \sqrt{\frac{\sum_{i=1}^N \left(\frac{t_i^{obs} - t_i^{pre}(\mathbf{m}_k)}{t_i^{obs}} \right)^2}{N}}$$

and Absolute Error (AE) for robust inversion:

$$AE_k(\%) = 100 \frac{\sum_{i=1}^N \left| \frac{t_i^{obs} - t_i^{pre}(\mathbf{m}_k)}{t_i^{obs}} \right|}{N}$$

When the difference between the RMSE value of the iteration k and $k-1$ is below a certain acceptable value (i.e. 1%) the iterative procedure ends.

Data inversion – Mesh choice (Resolution and DOI)



Rule of thumb (both resolution and DOI depend on frequency and geophone spacing)

- Resolution:**
 $hp. \Delta x = \Delta z = \Delta d$

$$\Delta d_{pixel} \geq \sqrt{\frac{v \Delta x}{2f}}$$

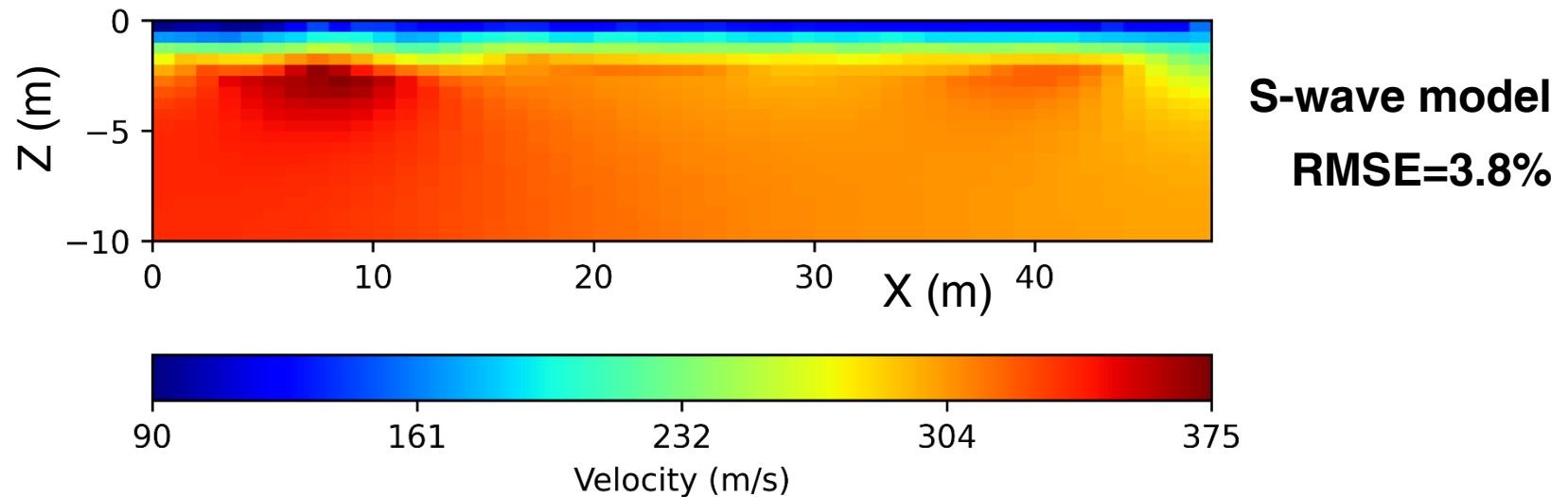
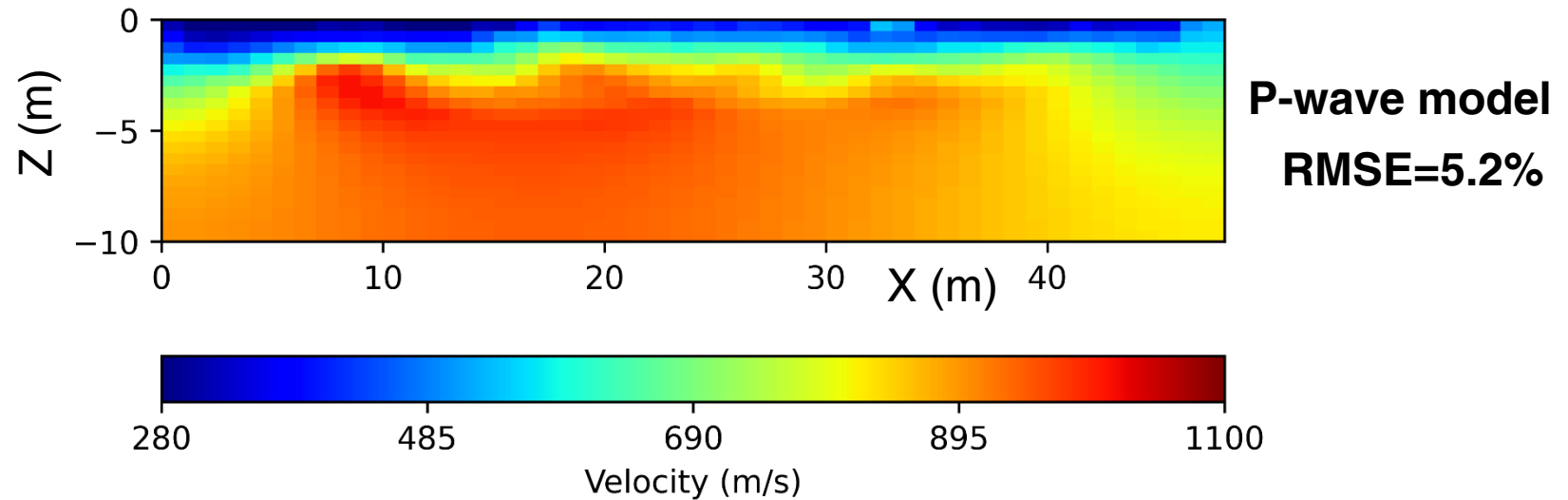
Δx : geophone spacing
 v : seismic (P- or S-wave) expected velocity
 f : seismic wave frequency

Using low-frequency sources and/or large geophone spacings we only get low-resolution models.

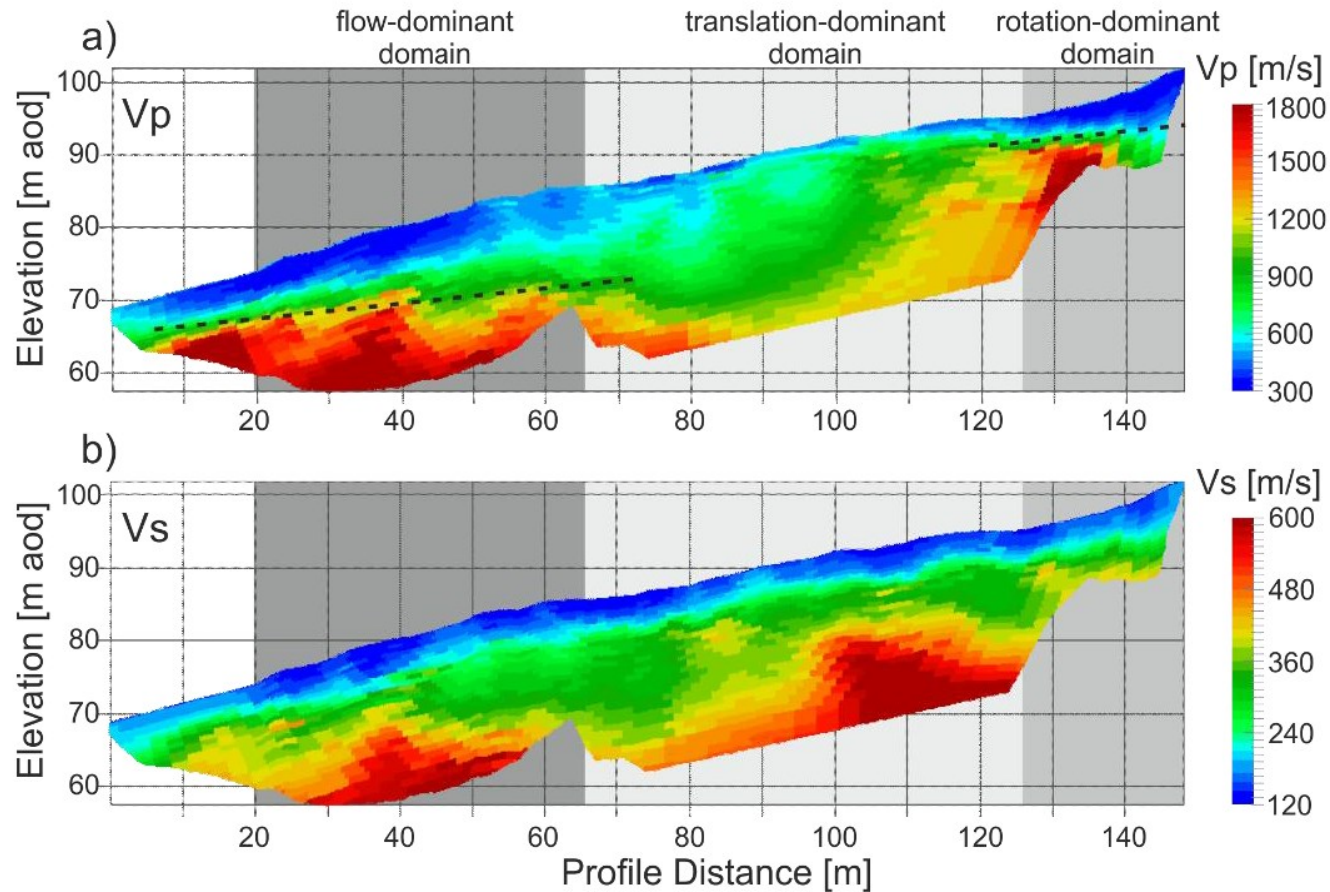
- Depth Of Investigation:** $DOI \sim \frac{1}{4} \div \frac{1}{5} L \sim 20 - 25\% L$ L : line length

Using low-energy or high-frequency sources and/or short lines we only get information on the shallow layers.

Seismic tomography – Inverted models



Uhlemann et al. (2016)



P-wave model
RMSE=1.7 ms

S-wave model
RMSE=3.4 ms