

# Faults and Faulting

## Faults and Faulting

Earth Structure (2019)  
(Processes in Structural Geology & Tectonics)

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Earth Structure (2019)  
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## Rock Stories: Fault

A fault is a surface or zone in rocks on which slip (displacement) occurred. We distinguish dip-slip (normal, reverse), strike-slip (lateral) and their combinations, based on relative displacements of fault blocks on opposing sides.

Faults occur on many scales and control the regional arrangement of rock units. They create surface topography and govern landscape evolution. Faults control the distribution of resources (like, oil, ore bodies) and rock permeability, which, in turn, controls subsurface fluids.

Regional faulting can cause devastating earthquakes and tsunamis.

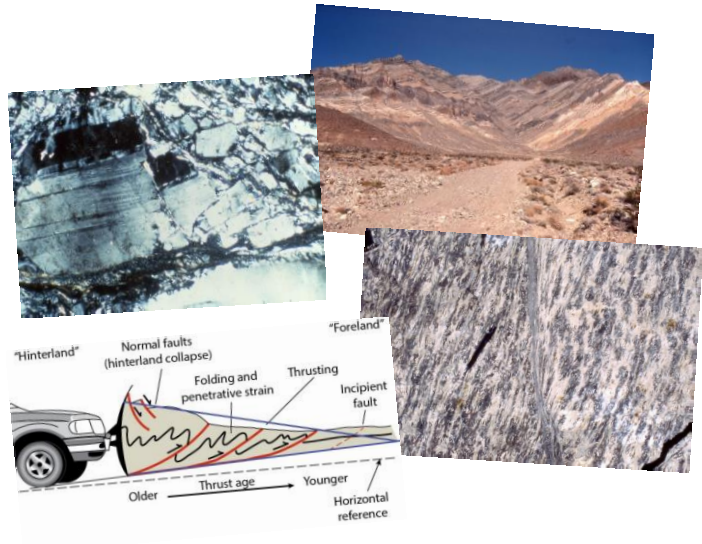


Lewis Thrust, Canada; Eocene reverse fault placing L Carboniferous carbonate on U Cretaceous shale. Regional (top) and fault rock at contact (left).

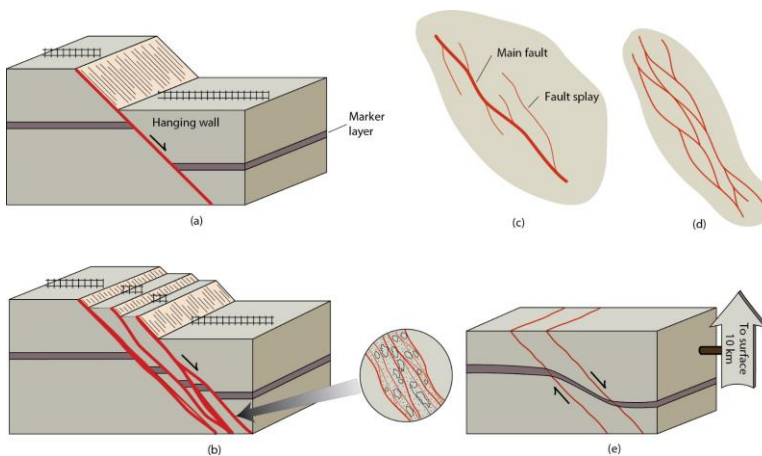
## We Discuss ...

### Faults

- Types and Geometries
- Fault Systems
- Fault bends
- Dimensions
- Fault Surfaces
- Fault Rocks (with depth)
  - Cataclasites
  - Mylonites
  - Pseudotachylytes
- More on Mechanics of (Reverse) Faulting
  - Thrust Paradox
  - Thrust Wedges
- Structure and Society
  - Earthquakes
  - Resources

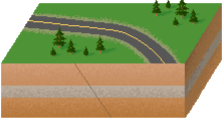
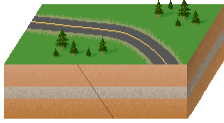


## Faults, Fault Zones and Shear Zones

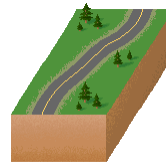
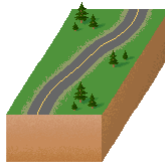


- Fault.
- Fault zone, with cataclastic deformation.
- Principal fault and fault splays.
- Anastomosing faults.
- Shear zone.

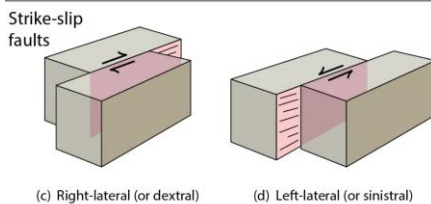
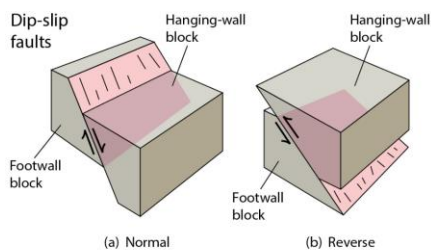
## Fault Types and Characterization



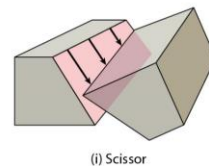
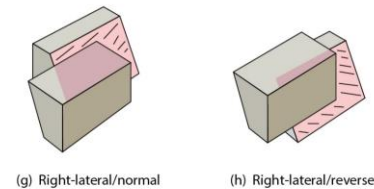
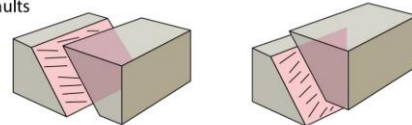
Type	Displacement	Geometry	Kinematics
Dip-slip	Normal (down-dip)	High-angle Low-angle	Horst-graben Detachment
	Reverse (up-dip)	High-angle Low-angle	Accretionary Duplex
Strike-slip	Right-lateral (dextral)	Vertical	Transcurrent Transfer
	Left-lateral (sinistral)	Vertical	Transcurrent Transfer



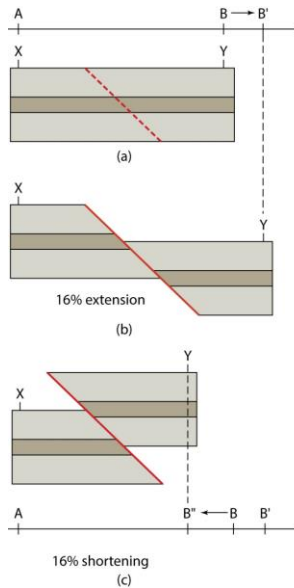
## Fault Types: Oblique-slip Faults



Oblique-slip faults



## Extensional and Contractional Faults



Before slip

Extensional faulting

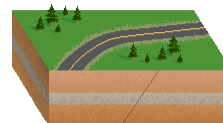
Contractional faulting

- a) Starting condition
- b) Extension
- c) Contraction

Horizontal length change. Shortening strain (e) is:

$$e = \frac{l - l_o}{l_o} = \frac{\delta l}{l_o}$$

## Normal Faults



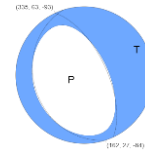
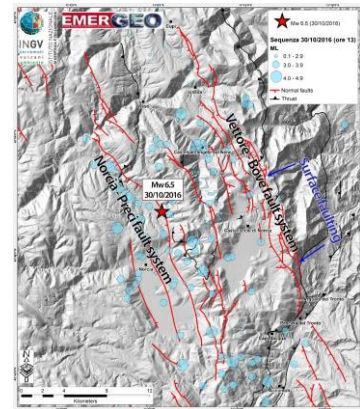
## Modern Fault Scarp: Norcia (Italy) Earthquake (10-30-2016)



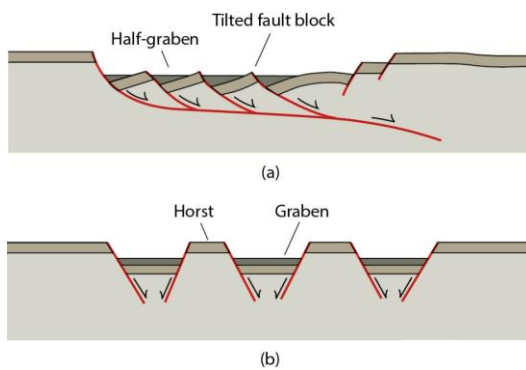
A Notaro, 2014



P Galli, 2016



## Normal Fault Systems



Normal Fault ("detachment") systems  
(a) low-angle  
(b) high-angle



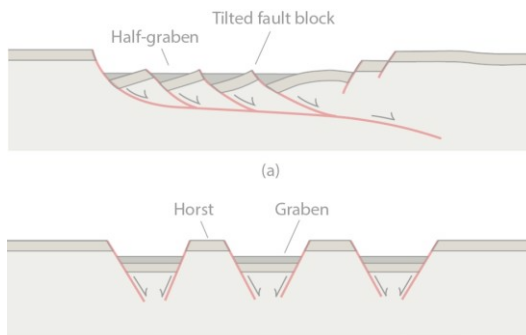


## Low-angle Reverse (or Thrust) Faults

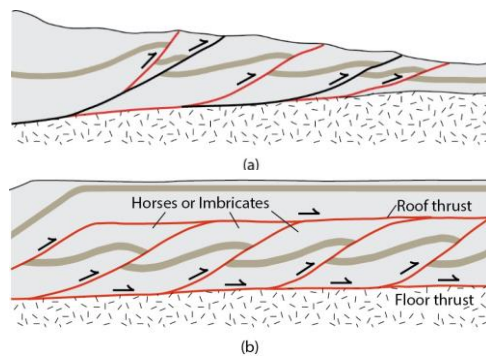


Glarner (or Glarus) Thrust, Swiss Alps

## Reverse Fault Systems

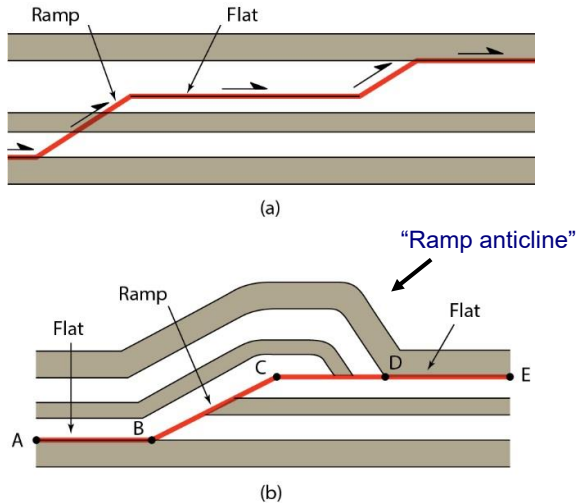


Normal Fault ("detachment") systems  
(a) low-angle  
(b) high-angle



Reverse Fault ("thrust") Systems  
(a) wedge  
(b) duplex

## Fault Bends: Thrust Ramps and Flats



(a) Cross section with future ramps and flats along thrust fault.

(b) Cross section illustrating hanging-wall and footwall flats and ramps, and ramp anticline. (see also Folds and Folding ...)

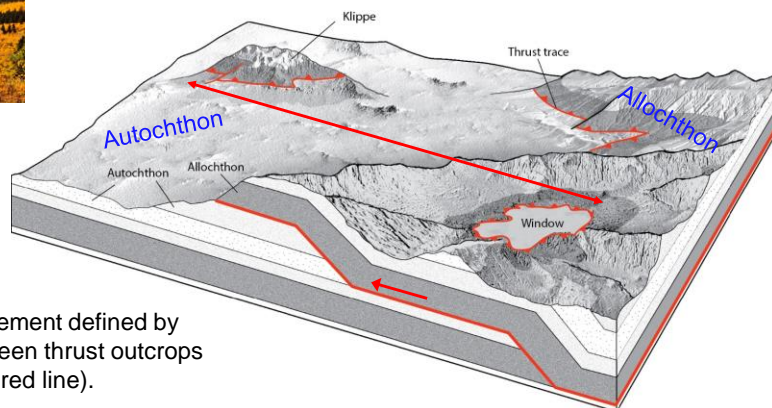
(Segment AB is hangingwall flat on footwall flat. Segment BC is hanging-wall flat on footwall ramp. Segment CD is hangingwall ramp on footwall flat, and segment DE is hanging-wall flat on footwall flat.)

## (Erosional) Klippe and Window



Chief Mtn, MT  
PreC on Cret

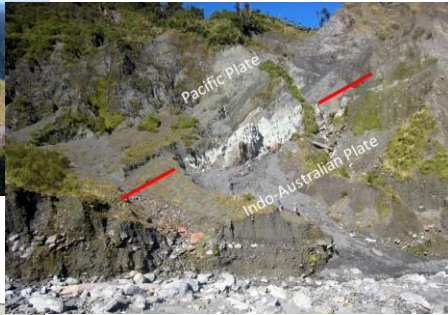
Klippe, window, allochthon and autochthon in thrust-faulted region.



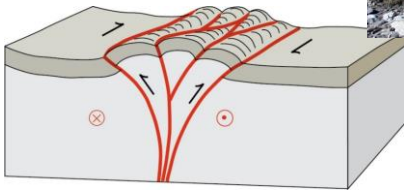
Minimum fault displacement defined by farthest distance between thrust outcrops in klippe and window (red line).



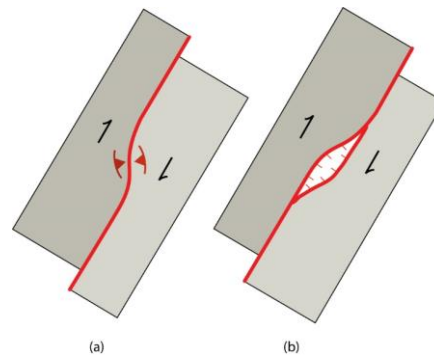
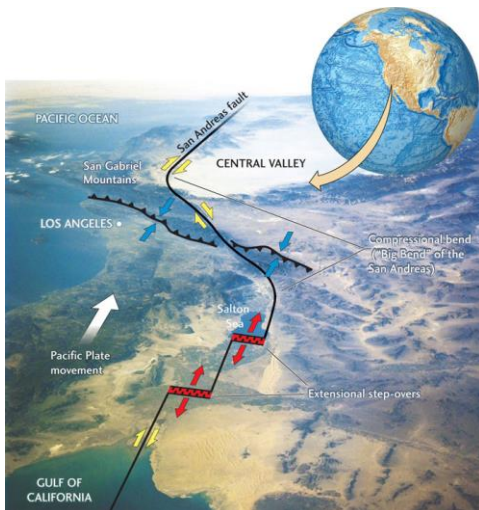
## Lateral-slip (or Strike-slip) Faults



Alpine ("LotR") Fault  
New Zealand (S Island)



## Strike-slip Faults: Restraining and Releasing Bends



Map-views of:

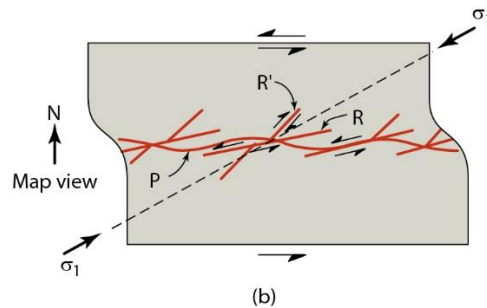
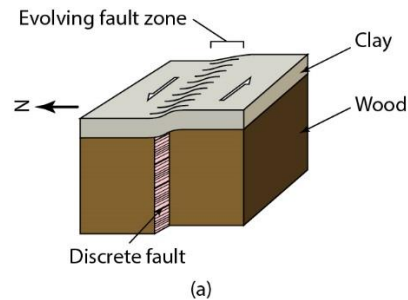
- (a) restraining bend along right-lateral (dextral) slip fault.
- (b) releasing bend along right-lateral (dextral) slip fault.

## Subsidiary Faults

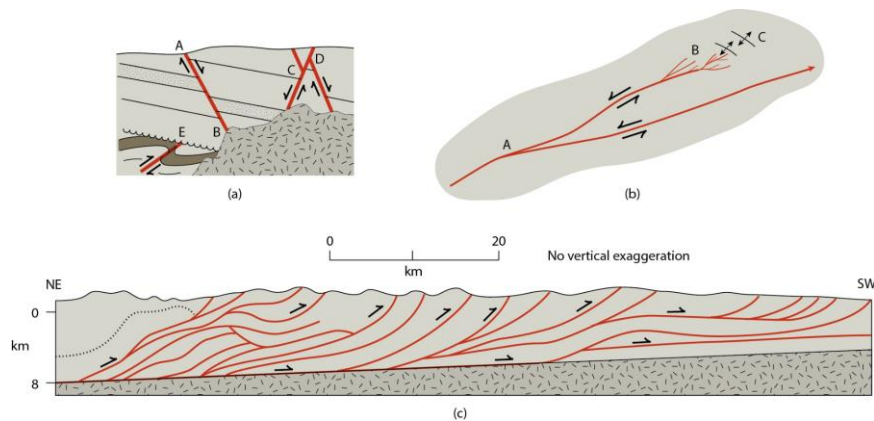
R-, R'-, and P-shears

- (a) Clay deforms when underlying blocks of wood slide past one another.
- (b) Top surface of clay layer, with orientation of Riedel (R), conjugate Riedel (R'), and P-shears.

Note that acute bisector of R- and R'-shears is parallel to  $\sigma_1$  and faults at  $\sim 30^\circ$ , following Andersonian theory.

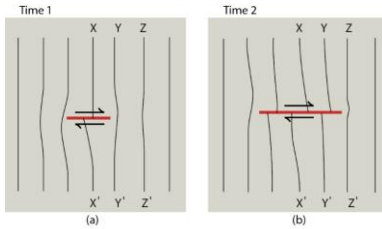


## Fault Terminations



- (a) Fault terminates at surface at A; at B, fault is cut by pluton; at C and D, one fault cuts another; at E, fault eroded at unconformity.
- (b) Termination of fault by merging with another fault (at A), by horsetailing (at B) and dying out into zone of distributed deformation (at C).
- (c) Series of thrusts merging at depth with single fault (floor thrust or "decollement").

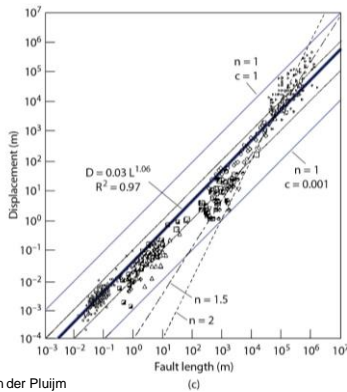
## Fault Size and Self-similarity



Displacement on fault increases as fault grows (i.e., fault length increases)


Measures of maximum displacement and fault length gives empirical relationship:

D(displacement) is ~3% of L(length)



	Length	Displacement	Fault Zone Width
Length	—	$10^2$	$10^4$
Displacement	$10^{-2}$	—	$10^2$
Fault Zone Width	$10^{-4}$	$10^{-2}$	—

Row fault property equals value times column property; for example, width =  $0.01 \times$  displacement ( $W = 0.01 \times D$ ). [From Scholz, 2002]

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24

## Fault Surfaces: Striations and Polish



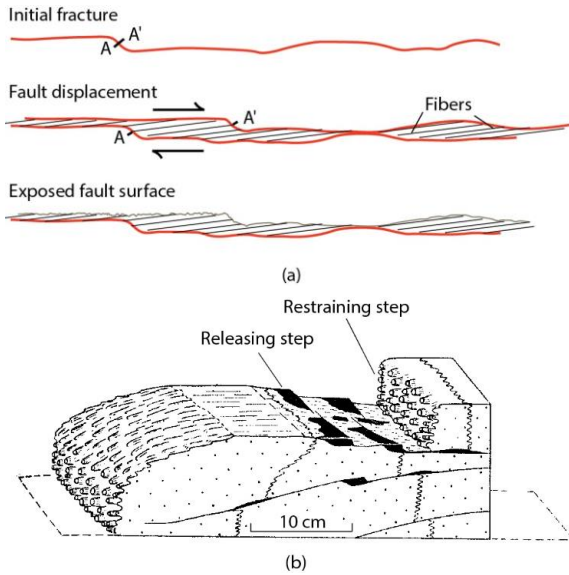
San Andreas Fault Observatory at depth (SAFOD)

 © Ben van der Pluijm

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25

## Fault surfaces: Slickensides (polish) and Slickenlines (fibers)



## Fault Rock Classification

Frictional Regime: Cataclasite



Plastic Regime: Mylonite



Descriptions of fault rock classes by hand-specimen appearance and degree of cohesion:

**Cataclasite**  
Fault gouge

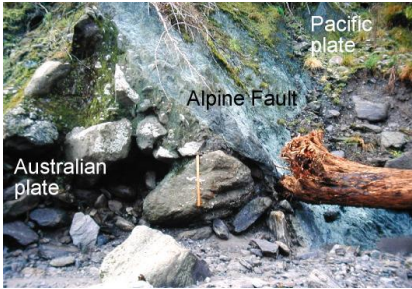
Fault breccia  
Pseudotachylite

Vein-filled breccia  
**Mylonite**

Also used: Foliated vs. Non-foliated.



## Fault Rocks: Cataclasite, (Tectonic) Breccia and Gouge



Gouge



Breccia

## Fault Rocks: Mylonites ("Ductile" Shear Zones)



## Extra: Types of Mylonites

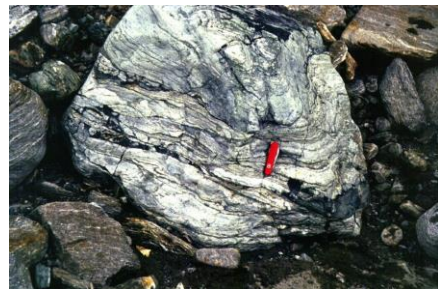
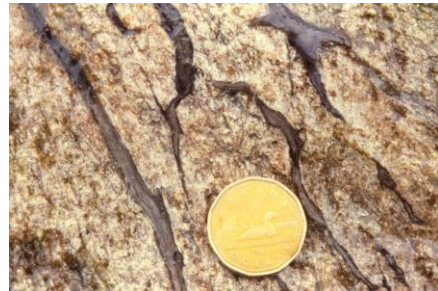


- Blastomylonite** Mylonite that contains relatively large grains that grew during mylonitization (e.g., from metamorphic reactions or secondary grain growth).
- Clastomylonite** Mylonite that contains relatively large grains or aggregates that remain after mylonitization reduced the grain size of most of the host rock (e.g., relatively undeformed feldspar grains or clumps of mafic minerals).
- Phyllonite** Mica-rich mylonite.
- Protomylonite** Mylonite in which the proportion of matrix is <50% (i.e., rocks in which only a minor portion of the minerals underwent grain-size reduction).
- Ultramylonite** Mylonite in which the proportion of matrix is 90–100% (i.e., rocks in which mylonitization was nearly complete).

## Fault Rocks: Pseudotachylite (Friction Melt)

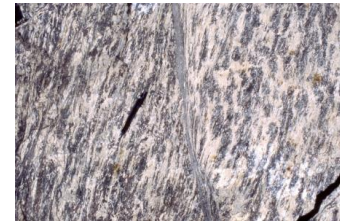
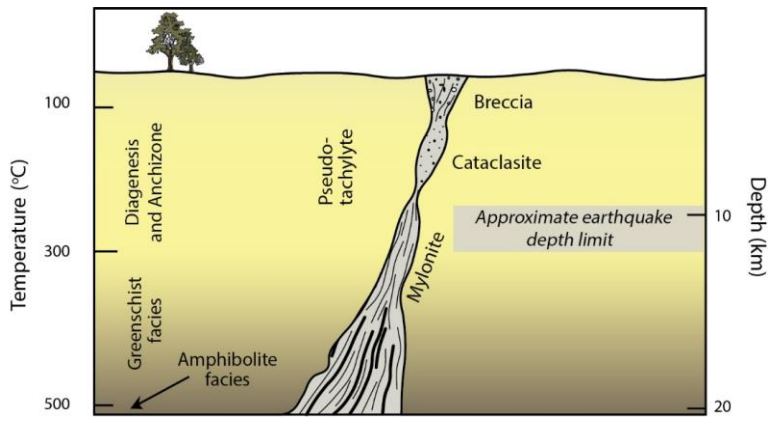


Alpine Fault (~500Ka) and Grenville Front (~1.1Ga)



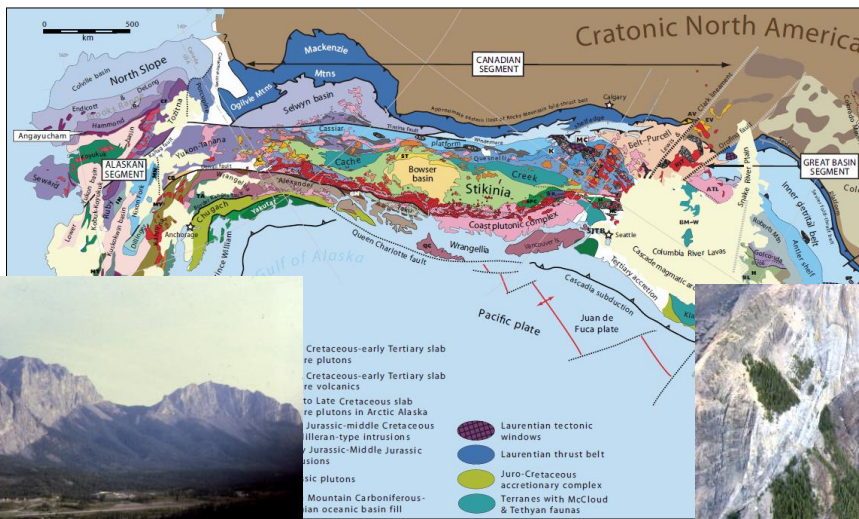


## Synoptic Fault Zone and Character with Depth

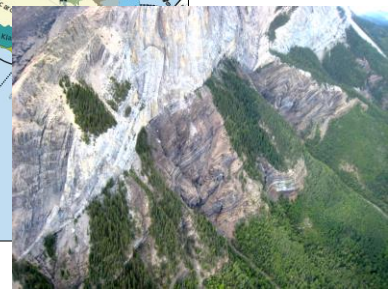


Changing fault character and fault rocks with depth (steeply dipping fault).  
Note change in fault zone width.

## More on Fault Mechanics ...



Canadian Rockies



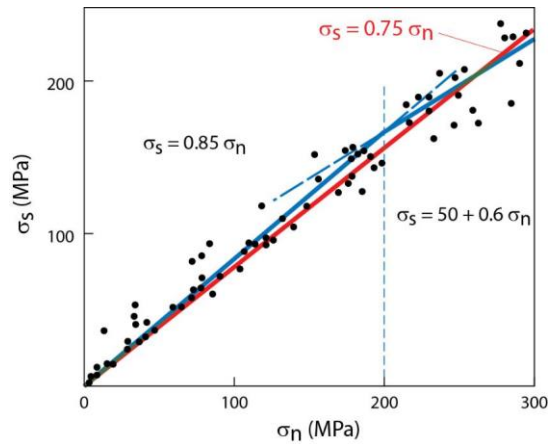
## Frictional Sliding Criterion

Recall:

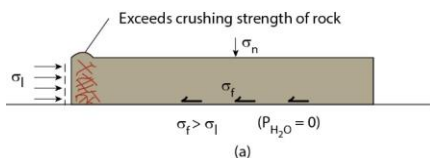
$$\sigma_s / \sigma_n = \text{constant} = \mu$$

= coefficient of friction

$$\mu = \sim 0.75$$



## Mechanics: Thrust Paradox



$\sigma_n$  is stress from loading,  
 $\sigma_f$  is frictional resistance ( $=\sigma_s$ ),  
 $\sigma_l$  is boundary load at end of thrust sheet,  
 $P_{H_2O}$  is pore pressure.

Sliding block:

100(w) x 10(l) x 5(h) km  
 block;  $\rho = 2600 \text{ kg/m}^3$



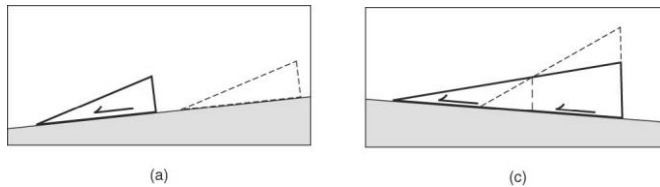
$$\begin{aligned}\sigma_n &= F/\text{area} = (a.m)/\text{area} \\ &= 9.8 \times (2600 \times 10^3 \times 10^4 \times 5 \times 10^3) / 10^5 \times 10^4 \\ &= 127 \times 10^6 \text{ Pa} = 127 \text{ MPa}\end{aligned}$$

$$\begin{aligned}\sigma_f &= \mu \times \sigma_n, \mu = 0.75 \\ \text{so } \sigma_l &\sim 95 \text{ MPa}\end{aligned}$$

Compressive strength of natural rock on same order, so fracturing at front instead of sliding



## Gravity Sliding



Sliding down (a) and up (c) a tilted slope. Downslope is representative for landslides (dry and wet), BUT most thrust belts have a bottom sloping up.

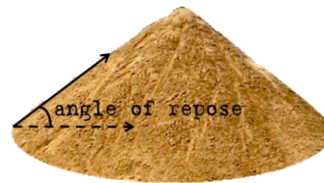
Dry and Wet:

“Dry” sliding: dip is 35-40° (angle of repose)

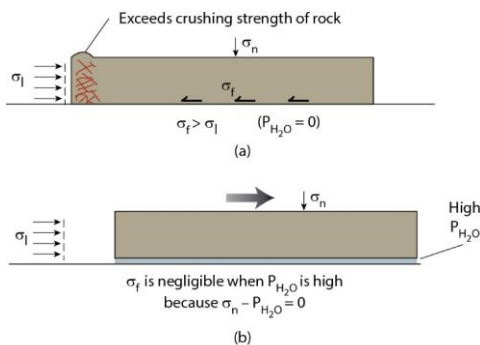
“Wet” sliding:  $\lambda = P_f/P_l$

$\lambda = 1$  ( $P_f = P_{lith}$ ), dip is  $\sim 0^\circ$

$\lambda = 0.8$ , dip  $\sim 10^\circ$



## Effective Friction: Fluid Pressure Scenario



$$\sigma_f = C + \mu (\sigma_n - P_f); \text{ fracture}$$

or

$$\sigma_f = \mu (\sigma_n - P_f); \text{ friction}$$

$$\text{So, } \mu_{\text{effective}} = \mu (1 - P_f/\sigma_n)$$

$$\mu_{\text{effective}} \leq \mu ;$$

$$\text{Note: } P_f < \sigma_3$$

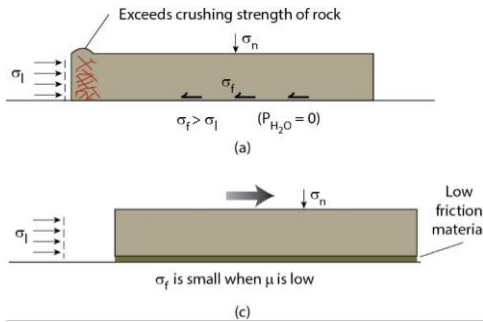
(a) Pushed from rear.

(b) High fluid pressure at base;

$\sigma_n$  is stress from loading,  $\sigma_f$  is frictional resistance ( $=\sigma_s$ ),  $\sigma_l$  is boundary load at end of thrust sheet,  $P_{H_2O}$  is fluid pressure ( $P_f$ ).



## Low Friction: Lubricant Scenario



Sliding block:

100 x 10 x 5 km

$\rho = 2600 \text{ kg/m}^3$

$\sigma_n = F/\text{area} = 127 \text{ MPa}$

$\sigma_f = \mu \times \sigma_n$

$\mu = 0.2$ , so  $\sigma_f \sim 25 \text{ MPa}$

Strength of natural rock  
greater, so sliding



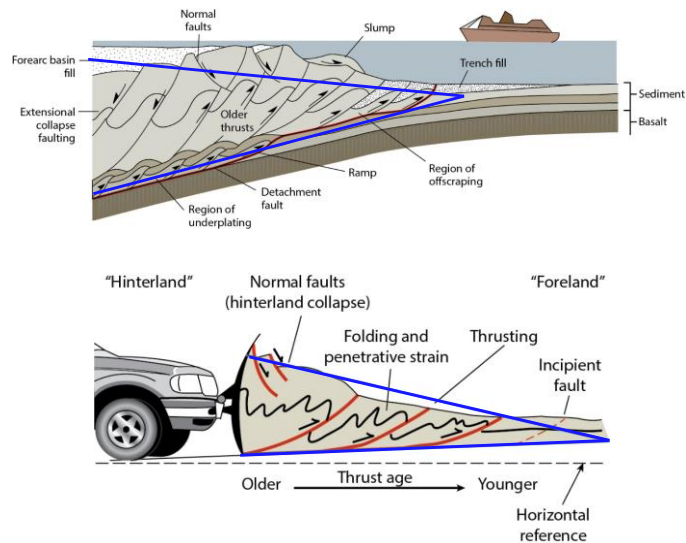
- (a) Pushed from rear.  
(b) Low friction material at base;  
 $\sigma_n$  is stress from loading,  $\sigma_f$  is frictional resistance,  $\sigma_1$  is boundary load at end of thrust sheet.

## Mechanics: Thrust Wedges

Plow analogy:

Wedge of snow or sand extends with continued shortening; thrusts initiate from hinterland to foreland.

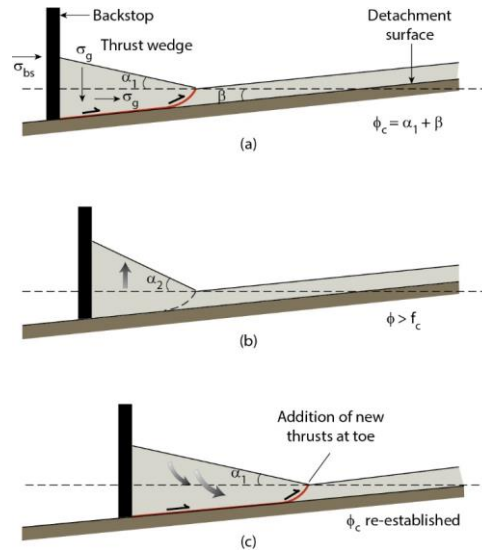
As thrusts add material at toe of wedge, hinterland portions develop penetrative strain, normal faults and slumps.



## Thrust Wedge Mechanics: Critical Taper Theory



Arlo Weil's snow service



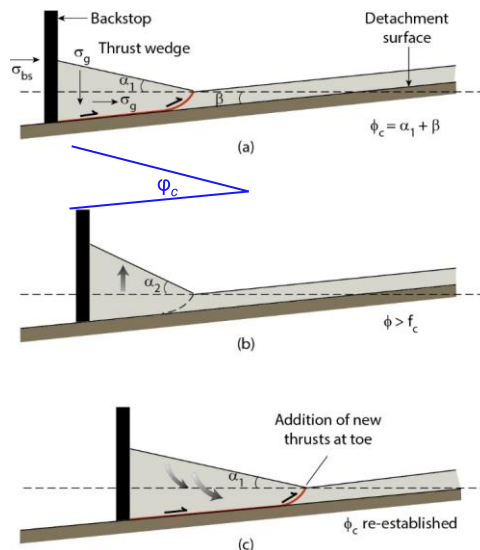
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40

## Thrust Wedge Mechanics: Critical Taper Theory

Critical taper (angle  $\phi_c$ ) is sum of surface slope angle ( $\alpha_1$ ) and basal slope angle ( $\beta$ ).

- Stress acting on wedge, partly horizontal boundary load caused by backstop ( $\sigma_{bs}$ ) and partly caused by gravity ( $\sigma_g$ ).
- If backstop moves, wedge thickens, so surface slope increases, and taper ( $\phi$ ) eventually exceeds  $\phi_c$ .
- Wedge slides toward foreland and new material is added to toe, and extension of wedge occurs so that surface slope decreases.



Faults & Faulting

41

## Structure and Society: Earthquakes

Date	Location	Deaths	Magnitude
January 23, 1556	China, Shansi	830,000	~8
October 11, 1737	India, Calcutta**	300,000	
January 12, 2010	Haiti, Port au Prince	300,000	7.0
July 27, 1976	China, Tangshan	255,000	7.5
August 9, 1138	Syria, Aleppo	230,000	
December 26, 2004	Sumatra, Indonesia	225,000	9.1
May 22, 1927	China, near Xining	200,000	8.3
December 22, 856+	Iran, Damghan	200,000	
December 16, 1920	China, Gansu	200,000	8.6
September 1, 1923	Japan, Kanto/Tokyo	143,000	8.3
October 8, 2005	Pakistan	75,000	7.6
May 12, 2008	China, Sichuan	70,000	7.9
December 28, 1908	Italy, Messina	70,000	7.5
November 1, 1755	Portugal, Lisbon	70,000	8.7
June 20, 1990	Iran	50,000	7.7
August 17, 1999	Turkey, Izmit	45,000	7.4
March 11, 2011	Japan, Sendai	20,000	9.0



Next Lecture



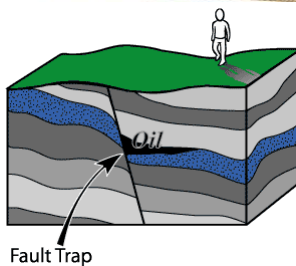
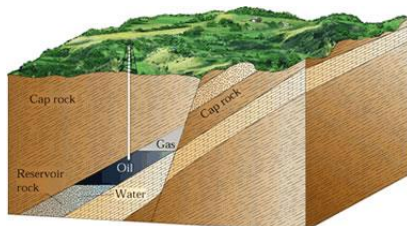
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43

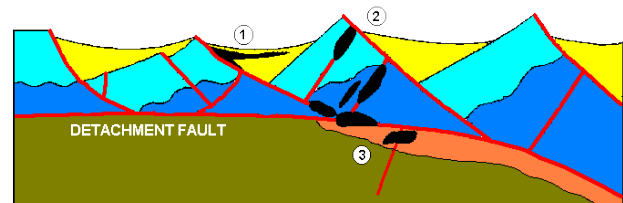
## Structure and Society: Resources

### Resources: Fossil Fuels



Fault Trap

### Resources: Mineralization



EXPLANATION	
	Syntectonic basin fill
	Upper-plate sedimentary and igneous rocks
	Lower-plate metamorphic rocks
	Mylonite
	Mineralization
	Fault
①	Cu-Fe-Pb-Zn-Ag-Au replacement and veins
②	Ba-F veins
③	Mn bedded and veins



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44